



IEX OPTIONS MARKET MAKER UNDERLYING SYMBOL APPOINTMENT LIST SPECIFICATION

Version 1.01

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OVERVIEW

The Market Maker Underlying Symbol Appointment list provides a daily list of all Underlying Symbols to which the MPID is appointed as a Market Maker alongside the Market Maker Type in that symbol. Symbol appointments are effective the following business day.

Recipients

This report is made available at the CRD level for Market Makers.

Frequency and Timing

This report will be made available by 10 PM ET daily (on T-1) for symbol appointments that are effective the following business day.

File Transfer Mechanism

All IEX Options reports will be made available via SFTP. Setup request form available [here](#).

Please contact Market Operations for setup and with any questions.

Formatting, Naming Conventions, and Updates

1. All files will be comma delimited.
2. Header records will be provided.
3. Files will be compressed and delivered as.csv.gz. Naming conventions are as follows:

Recipient	Frequency	Naming Convention
CRD level	Daily	IEXO_MM_APP_<CRD>_{YYYY.MM.DD}.csv.gz

4. This report will be placed in parent folders labeled with the trade date (YYYYMMDD format) to the SFTP containers.
5. Once placed onto the SFTP, reports will remain available for 90 days before being removed.
6. Advanced notification of upcoming changes as well as a migration timeline will be provided to members as enhancements and changes are made to these reports. Once the new version is available, members can expect to receive both current and new versions onto the SFTP. All new versions will be named accordingly with a version number which will then allow the recipient to migrate to the new file format when ready. After the migration timeframe has expired, customers will see only the latest version in their folders with the standard naming convention.

Contact Us

IEX Options Market Operations can be contacted by emailing optionsmktops@iextrading.com.



REPORT FORMAT

No	Field Name	Field Descriptions	Format	Valid Values
1	TradeDate	Trading Date that appointments will be effective for; Represents assignments for following day	YYYYMMDD	
2	FirmCRD	Represents the Firm for which information is being reported	Integer	
3	MPID	Represents the MPID associated with the appointment	String	
4	UnderlyingID	IEX Options internal identifier for the underlying	Integer	
5	UnderlyingSymbol	Underlying Symbol for which volume and rank information is being reported	String	
6	AppointmentStatus	Firm Market Maker Type	String	RegisteredMarketMaker DirectedMarketMaker Specialist

APPENDIX

REVISION HISTORY

Version	Date	Change
1.00	8/20/2026	Initial publication of document.
1.01	9/24/2026	Updates to folder structure and file availability