



IEX OPTIONS MARKET MAKER PERFORMANCE REPORT SPECIFICATION

Version 1.01

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OVERVIEW

The Market Maker Performance Report provides Market Makers with a view of performance, including volume executed and quoting statistics. Reports are provided for both previous day, as well as a month-to-date through previous trading day.

Recipients

This report is made available at the CRD level for Market Makers.

Frequency and Timing

This report will be made available after 7 AM ET on T+1.

File Transfer Mechanism

All IEX Options reports will be made available via SFTP. Setup request form available [here](#).

Please contact Market Operations for setup and with any questions.

Formatting, Naming Conventions, and Updates

1. All files will be comma delimited.
2. Header records will be provided.
3. Files will be compressed and delivered as.csv.gz. Naming conventions are as follows:

Recipient	Frequency	Naming Convention
CRD level	Daily	IEXO_DLY_MM_PERF_<CRD>_{YYYY.MM.DD}.csv.gz
CRD level	Daily (MTD)	IEXO_MTD_MM_PERF_<CRD>_{YYYY.MM.DD}.csv.gz

4. This report will be placed in parent folders labeled with the trade date (YYYYMMDD format) to the SFTP containers.
5. Once placed onto the SFTP, reports will remain available for 90 days before being removed.
6. Advanced notification of upcoming changes as well as a migration timeline will be provided to members as enhancements and changes are made to these reports. Once the new version is available, members can expect to receive both current and new versions onto the SFTP. All new versions will be named accordingly with a version number which will then allow the recipient to migrate to the new file format when ready. After the migration timeframe has expired, customers will see only the latest version in their folders with the standard naming convention.

Contact Us

IEX Options Market Operations can be contacted by emailing optionsmktops@iextrading.com.



REPORT FORMAT

No	Field Name	Field Descriptions	Format	Valid Values
1	TradeDate	Trading Date for this file	YYYYMMDD	Since generated T+1, will always be previous Trade Date. For MTD, indicates all days in the month through (inclusive of) the Trade Date specified.
2	FirmCRD	Represents the Firm for which information is being reported	Integer	
3	UnderlyingSymbol	Underlying Symbol for which volume and rank information is being reported	String	
4	MPID	Represents the MPID associated with the Market Maker volume and rank information being reported	String	
5	MMType	Firm Market Maker Type	Integer	1 = IEX Options Registered Market Maker 2 = IEX Options Directed Market Maker 3 = IEX Options Specialist
6	ConsolidatedVolume	Sum of all Customer, Firm, and Market Maker OCC volume.	Integer	
7	FirmVolume	Sum of all Firm capacity OCC volume.	Integer	
8	CustomerVolume	Sum of all Customer capacity OCC volume.	Integer	
9	MarketMakerVolume	Sum of all Market Maker capacity OCC volume.	Integer	
10	MPIDMarketMakerVolume	Sum of all Market Maker capacity volume on IEX Options for this MPID.	Integer	
11	MPIDMarketMakerPostedVolume	MM capacity adding liquidity volume for this MPID.	Integer	
12	MPIDTakeVolume	MM capacity removing liquidity volume for this MPID.	Integer	
13	MPIDMMPctAtNBB	The percentage of time where this MPID's MM quote equaled the NBB price.	Decimal, 2 decimal places	
14	MPIDMMPctAtNBO	The percentage of time where this MPID's MM quote equaled the NBO price.	Decimal, 2 decimal places	
15	MPIDMMPctAtNBBO	The percentage of time where this MPID's MM quote equaled both the NBB and NBO price.	Decimal, 2 decimal places	



16	MPIDMMPctSetNBB	The percentage of time where this MPID's MM quote set the NBB price.	Decimal, 2 decimal places	
17	MPIDMMPctSetNBO	The percentage of time where this MPID's MM quote set the NBO price.	Decimal, 2 decimal places	
18	MPIDMMPctSetNBBO	The percentage of time where this MPID's MM quote set both the NBB and NBO price.	Decimal, 2 decimal places	
19	MPIDMMTrades	Count of all Market Maker capacity trades for this MPID.	Integer	
20	MPIDMMPostingTrades	Count of all Market Maker capacity trades for this MPID while posting.	Integer	
21	Tradesfor5orFewerContracts	Count of all Market Maker capacity trades for this MPID in which the contra-side order was for 5 contracts or fewer.	Integer	
22	DirectedOrdersReceived	Count of all orders submitted that were directed to this MPID.	Integer	Only applicable if MM Type = 3 (Specialist) or = 2 (DMM)
23	DirectedOrdersTraded	Count of orders directed to this MPID that traded against this MPID.	Integer	Only applicable if MM Type = 3 (Specialist) or = 2 (DMM)
24	DirectedVolumeReceived	Total volume of contracts across all orders submitted that were directed to this MPID.	Integer	Only applicable if MM Type = 3 (Specialist) or = 2 (DMM)
25	DirectedVolumeTraded	Total volume of contracts from orders directed to this MPID that traded against this MPID.	Integer	Only applicable if MM Type = 3 (Specialist) or = 2 (DMM)

APPENDIX

REVISION HISTORY

Version	Date	Change
1.00	8/20/2026	Initial publication of document.
1.01	9/24/2026	Updates to folder structure and file availability