Note: any attachments referenced herein are available in the Form 1 filings of Investors' Exchange LLC, which may be accessed through the SEC's EDGAR database.

Investors' Exchange LLC

- *A list of the officers, governors, members of all standing committees, or persons performing similar functions, who presently hold or have held their offices or positions during the previous year, indicating the following for each:*

Officers of Investors' Exchange LLC

The following persons are officers of Investors' Exchange LLC:

Officers		
Name	**Title**	**Term of Service**
Bradley T. Katsuyama	Chief Executive Officer, Chairman of the Board	June 2015 - Present
Bryan Harkins	President	May 2024 - Present
Robert Park	Senior Vice President, Chief Technology Officer	June 2015 - Present
Rachel Barnett	Senior Vice President, Chief Legal Officer, Secretary	April 2021 - Present
Claudia Crowley	Senior Vice President, Chief Regulatory Officer	June 2015 - Present
Andrea Ledford	Senior Vice President, Chief People Officer	August 2019 - Present
Stan Feldman	Senior Vice President, Chief Operating Officer and Co-Head of Equities	December 2021 - Present
Craig Resnick	Senior Vice President, Chief Financial Officer, Treasurer	September 2021 – Present

Directors of Investors' Exchange LLC

The following persons are directors of Investors' Exchange LLC:

Board of Directors				
Name	**Title**	**Classification**	**Business**	**Term of Service**
Bradley T. Katsuyama	Chairperson	Industry / CEO	CEO and Chairman of the Board of Investors' Exchange LLC	June 2016 - Present
Urvashi Batra	Board Member	Industry / Member Representative	Barclays, Head of Equities Electronic Trading,	February 2023 - Present

			Americas	
Jeff Charney	Board Member	Non-Industry / Independent	MKHSTRY, Founder and CEO	June 2016 - Present
Brian Levine	Board Member	Non-Industry / Independent	Major League Pickleball, Strategic Advisor and Board Member	June 2016 - June 2019, February 2022 - Present
Jonathan Mariner	Board Member	Non-Industry / Independent	TaxDay, Founder and President	June 2016 - Present
Wade I. Massad	Board Member	Non-Industry / Independent	Cleveland Capital Management L.L.C., Co-Founder and Co-Managing Member	June 2016 - Present
David Jeria	Board Member	Industry / Member Representative	Goldman Sachs, Managing Director of Equity Execution Solutions, Americas	March 2026 - Present
Joseph Scafidi	Board Member	Non-Industry / Independent	Brandes Investment Partners, L.P., Director of Trading and Member of Board of Directors	June 2016 - Present
Helen S. Scott	Board Member	Non-Industry / Independent	New York University School of Law, Professor of Law Emerita and Founder, Jacobson Leadership Program in Law and Business	June 2016 - Present

The following persons were directors of Investors' Exchange LLC during the previous year:

Previous Directors During the Past Year				
Name	**Title**	**Classification**	**Business**	**Term of Service**
Jeffrey Sonnenfeld	Board Member	Non-Industry / Independent	Yale School of Management, Senior Associate Dean for Leadership Programs and Lester Crown Professor in the Practice of Management	June 2016 – October 2026
Noel Reyes	Board Member	Industry / Member Representative	Goldman Sachs, Head of Electronic Trading Product	October 2023 – March 2026

Member Nominating Committee of Investors' Exchange LLC

The following persons are members of the Member Nominating Committee of Investors' Exchange LLC:

Member Nominating Committee				
Name	**Title**	**Classification**	**Business**	**Term of Service**
Urvashi Batra	Chairperson	Industry / Member Representative	Barclays, Head of Equities Electronic Trading, Americas	February 2023 - Present
David Jeria	Committee Member	Industry / Member Representative	Goldman Sachs, Managing Director of Equity Execution Solutions, Americas	March 2026 - Present

The following persons were members of the Member Nominating Committee of Investors' Exchange LLC during the previous year:

Previous Members of the Member Nominating Committee During the Past Year				
Name	**Title**	**Classification**	**Business**	**Term of Service**
Noel Reyes	Committee Member	Industry / Member Representative	Goldman Sachs, Head of Electronic Trading Product	October 2023 – March 2026

Regulatory Oversight Committee of Investors' Exchange LLC

The following persons are members of the Regulatory Oversight Committee of Investors' Exchange LLC:

Regulatory Oversight Committee				
Name	**Title**	**Classification**	**Business**	**Term of Service**
Helen S. Scott	Chairperson	Non-Industry / Independent	New York University School of Law, Professor of Law Emerita and Founder, Jacobson Leadership Program in Law and Business	June 2016 - Present
Joseph Scafidi	Committee Member	Non-Industry / Independent	Brandes Investment Partners, L.P., Director of Trading and Member of Board of Directors	June 2016 - Present
Wade I. Massad	Committee Member	Non-Industry / Independent	Cleveland Capital Management L.L.C., Co-Founder and Co-Managing Member	June 2016 - Present

Nominating Committee of Investors' Exchange LLC

The following persons are members of the Nominating Committee of Investors' Exchange LLC:

Nominating Committee				
Name	**Title**	**Classification**	**Business**	**Term of Service**
Jeff Charney	Chairperson	Non-Industry / Independent	MKHSTRY, Founder and CEO	June 2016 - Present
Wade I. Massad	Committee Member	Non-Industry / Independent	Cleveland Capital Management L.L.C., Co-Founder and Co-Managing Member	June 2016 - Present

The following persons were members of the Nominating Committee of Investors' Exchange LLC during the previous year:

Previous Members of the Nominating Committee During the Past Year				
Name	**Title**	**Classification**	**Business**	**Term of Service**
Jeffrey Sonnenfeld	Committee Member	Non-Industry / Independent	Yale School of Management, Senior Associate Dean for Leadership Programs and Lester Crown Professor in the Practice of Management	June 2016 – October 2026

Audit Committee of Investors' Exchange LLC

The following persons are members of the Audit Committee of Investors' Exchange LLC:

Audit Committee				
Name	**Title**	**Classification**	**Business**	**Term of Service**
Jonathan Mariner	Chairperson	Non-Industry / Independent	TaxDay, Founder and President	June 2016 - Present
Joseph Scafidi	Committee Member	Non-Industry / Independent	Brandes Investment Partners, L.P., Director of Trading and Member of Board of Directors	June 2016 - Present
Wade I. Massad	Committee Member	Non-Industry / Independent	Cleveland Capital Management L.L.C., Co-Founder and Co-Managing Member	June 2016 - Present

Appeals Committee of Investors' Exchange LLC

The following persons are members of the Appeals Committee of Investors' Exchange LLC:

Appeals Committee				
Name	**Title**	**Classification**	**Business**	**Term of Service**
Joseph Scafidi	Chairperson	Non-Industry / Independent	Brandes Investment Partners, L.P., Director of Trading and Member of Board of Directors	June 2016 - Present
Urvashi Batra	Committee Member	Industry / Member Representative	Barclays, Head of Equities Electronic Trading, Americas	February 2023 - Present
Jonathan Mariner	Committee Member	Non-Industry / Independent	TaxDay, Founder and President	June 2016 - Present

IEX Ventures LLC

- *Name*: IEX Ventures LLC
- *Address*: 3 World Trade Center, 175 Greenwich Avenue, 58th Floor, New York, NY 10007
- *Form of organization*: Limited Liability Company
- *Name of state, statute under which organized and date of incorporation*: Delaware, Delaware Limited Liability Company Act (6 Del. C. § 18-101, et seq.), on June 3, 2015
- *Brief description of nature and extent of affiliation*: IEX Ventures LLC is a wholly-owned subsidiary of IEX Group, Inc.
- *Brief description of business or functions*: IEX Ventures LLC is a holding company which holds 100% of the equity of IEX Data Services LLC f/k/a IEX Cloud Services LLC and IEX Fund LLC.
- *A copy of the constitution*: N/A
- *A copy of the articles of incorporation or association including all amendments*: attached as Addendum C-1 is the Certificate of Formation of IEX Ventures LLC.
- *A copy of existing by-laws or corresponding rules or instruments*: attached as Addendum C-2 is the Operating Agreement of IEX Ventures LLC.

- *Name and title of the present officers, governors, members of all standing committees, or persons performing similar functions*:

  Officers of IEX Ventures LLC

  The following persons are officers of IEX Ventures LLC:

Name	Title
Bradley T. Katsuyama	President
Rachel Barnett	Vice President, Chief Legal Officer, Secretary
Craig Resnick	Vice President, Treasurer

  Managers of IEX Ventures LLC

  The following persons are managers of IEX Ventures LLC:

Managers
Bradley T. Katsuyama
Craig Resnick

IEX Data Services LLC f/k/a IEX Cloud Services LLC

- *Name*: IEX Data Services LLC f/k/a IEX Cloud Services LLC
- *Address*: 3 World Trade Center, 175 Greenwich Avenue, 58th Floor, New York, NY 10007
- *Form of organization*: Limited Liability Company
- *Name of state, statute under which organized and date of incorporation*: Delaware, Delaware Limited Liability Company Act (6 Del. C. § 18-101, et seq.), on August 15, 2018
- *Brief description of nature and extent of affiliation*: IEX Data Services LLC f/k/a IEX Cloud Services LLC is a wholly-owned subsidiary of IEX Ventures LLC which is a wholly-owned subsidiary of IEX Group, Inc.
- *Brief description of business or functions*: IEX Data Services LLC f/k/a IEX Cloud Services LLC is a platform that provides market data to customers.
- *A copy of the constitution*: N/A
- *A copy of the articles of incorporation or association including all amendments*: attached as Addendum C-3 is the Certificate of Formation of IEX Data Services LLC f/k/a IEX Cloud Services LLC.

- *A copy of existing by-laws or corresponding rules or instruments*: attached as Addendum C-4 is the Operating Agreement of IEX Data Services LLC f/k/a IEX Cloud Services LLC.

- *Name and title of the present officers, governors, members of all standing committees, or persons performing similar functions*:

  Officers of IEX Data Services LLC f/k/a IEX Cloud Services LLC

  The following persons are officers of IEX Data Services LLC f/k/a IEX Cloud Services LLC:

Name	Title
Bradley T. Katsuyama	President
Rachel Barnett	Vice President, Chief Legal Officer, Secretary
Craig Resnick	Vice President, Treasurer

  Managers of IEX Data Services LLC f/k/a IEX Cloud Services LLC

  The following persons are managers of IEX Data Services LLC f/k/a IEX Cloud Services LLC:

Managers
Bradley T. Katsuyama
Robert Park

IEX Group, Inc.

- *Name*: IEX Group, Inc.

- *Address*: 3 World Trade Center, 175 Greenwich Avenue, 58th Floor, New York, NY 10007

- *Form of organization*: Corporation

- *Name of state, statute under which organized and date of incorporation*: Delaware, Delaware General Corporation Law (8 Del. C. § 1-101, et seq.), on June 27, 2012

- *Brief description of nature and extent of affiliation*: Investors' Exchange LLC is a wholly-owned subsidiary of IEX Group, Inc.

- *Brief description of business or functions*: IEX Group, Inc. is a holding company which holds 100% of the equity of IEX Services, LLC, Investors' Exchange LLC, IEX Ventures LLC, and IEX DAP Group LLC. IEX Group, Inc. also owns a minority interest in SwXtch.io Inc.

- *A copy of the constitution*: N/A

- *A copy of the articles of incorporation or association including all amendments*: attached as Addendum C-5 is the Third Amended and Restated Certificate of Incorporation of IEX Group, Inc.

- *A copy of existing by-laws or corresponding rules or instruments*: attached as Addendum C-6 are the By-Laws of IEX Group, Inc.

- *Name and title of the present officers, governors, members of all standing committees, or persons performing similar functions*:

  Officers of IEX Group, Inc.

  The following persons are officers of IEX Group, Inc.:

Name	Title
Bradley T. Katsuyama	Chief Executive Officer
Bryan Harkins	President
Ronan Ryan	Chief Operating Officer
Craig Resnick	Chief Financial Officer
Andrea Ledford	Chief People Officer
Rachel Barnett	Chief Legal Officer
Robert Park	Chief Technology Officer

  Directors of IEX Group, Inc.

  The following persons are directors of IEX Group, Inc.:

Directors
Bradley T. Katsuyama
Ronan Ryan
Justin LaFayette
Bridget van Kralingen
Hythem El-Nazer
Michael Lynton

  Compensation Committee of IEX Group, Inc.

  The following persons are members of the Compensation Committee of IEX Group, Inc.:

Compensation Committee
Michael Lynton
Justin Lafayette

IEX Services LLC

- *Name*: IEX Services LLC
- *Address*: 3 World Trade Center, 175 Greenwich Avenue, 58th Floor, New York, NY 10007
- *Form of organization*: Limited Liability Company
- *Name of state, statute under which organized and date of incorporation*: Delaware, Delaware Limited Liability Company Act (6 Del. C. § 18-101, et seq.), on January 22, 2013
- *Brief description of nature and extent of affiliation*: IEX Services LLC is a wholly-owned subsidiary of IEX Group, Inc.
- *Brief description of business or functions*: IEX Services LLC is a routing FINRA member and SEC registered broker-dealer for Investors' Exchange LLC in connection with the operation of the System.
- *A copy of the constitution*: N/A
- *A copy of the articles of incorporation or association including all amendments*: attached as Addendum C-7 is the Certificate of Formation of IEX Services LLC.
- *A copy of existing by-laws or corresponding rules or instruments*: attached as Addendum C-8 is the Second Amended and Restated Operating Agreement of IEX Services LLC.
- *Name and title of the present officers, governors, members of all standing committees, or persons performing similar functions*:

Officers of IEX Services LLC

The following persons are officers of IEX Services LLC:

Name	Title
Bradley T. Katsuyama	President, Chief Executive Officer
Ronan Ryan	Senior Vice President
Bryan Harkins	Senior Vice President
Craig Resnick	Vice President, Chief Financial Officer, Principal Financial Officer, Treasurer
Robert Dukesherer	Vice President, Chief Compliance Officer, Anti-Money Laundering Compliance Officer
Alphonse Asare	Financial and Operations Principal (FINOP) and Principal Operations Officer

Managers of IEX Services LLC

The following persons are managers of IEX Services LLC:

Managers
Bradley T. Katsuyama
Ronan Ryan

IEX Options LLC

- *Name*: IEX Options LLC
- *Address*: 3 World Trade Center, 175 Greenwich Avenue, 58th Floor, New York, NY 10007
- *Form of organization*: Limited Liability Company
- *Name of state, statute under which organized and date of incorporation*: Delaware, Delaware Limited Liability Company Act (6 Del. C. § 18-101, et seq.), on September 11, 2024
- *Brief description of nature and extent of affiliation*: IEX Options LLC is a wholly-owned subsidiary of Investors' Exchange LLC which is a wholly-owned subsidiary of IEX Group, Inc.
- *Brief description of business or functions*: IEX Options LLC is an options exchange operating as a "facility", as that term is defined under Section 3(a)(2) of the Securities Exchange Act of 1934, of Investors' Exchange LLC.
- *A copy of the constitution*: N/A
- *A copy of the articles of incorporation or association including all amendments*: attached as Addendum C-9 is the Certificate of Formation of IEX Options LLC.
- *A copy of existing by-laws or corresponding rules or instruments*: attached as Addendum C-10 is the Operating Agreement of IEX Options LLC.
- *Name and title of the present officers, governors, members of all standing committees, or persons performing similar functions*:

  Officers of IEX Options LLC

  The following persons are officers of IEX Options LLC:

Name	Title
Bradley T. Katsuyama	Chief Executive Officer
Bryan Harkins	President
Robert Park	Senior Vice President, Chief Technology Officer

Craig Resnick	Senior Vice President, Chief Financial Officer and Treasurer
Rachel Barnett	Senior Vice President, Chief Legal Officer and Secretary
Claudia Crowley	Senior Vice President, Chief Regulatory Officer
Ivan Brown	Senior Vice President, Head of Options

Georgian Partners Growth LP

- *Name*: Georgian Partners Growth LP
- *Address*: 2 St. Clair Avenue West, 1400, Toronto, Ontario, Canada, M4V 1L
- *Form of organization*: Canadian Limited Partnership
- *Name of state, statute under which organized and date of incorporation*: Ontario, Canada on June 21, 2012, pursuant to the Ontario Limited Partnerships Act
- *Brief description of nature and extent of affiliation*: Georgian Partners Growth LP owns a minority interest in IEX Group, Inc.
- *Brief description of business or functions*: Georgian Partners Growth LP is an exempt reporting advisor ("ERA") that acts as an adviser to private funds.
- *A copy of the constitution*: N/A
- *A copy of the articles of incorporation or association including all amendments*: attached as Addendum C-11 is the Declaration of an Ontario Limited Partnership of Georgian Partners Growth LP.
- *A copy of existing by-laws or corresponding rules or instruments*: attached as Addendum C-12 is the Limited Partnership Agreement of Georgian Partners Growth LP.
- *Name and title of the present officers, governors, members of all standing committees, or persons performing similar functions*:

  Officers of Georgian Partners Growth LP

  The following persons are officers of Georgian Partners Growth LP:

Name	Title
Justin LaFayette	Lead Investor, Head of Firm
John Berton	Head of Operations

Simon Cheemun Chong	Head of Customer Operations
Megan Kathleen Vesely	Chief Legal Officer
Michael Joseph Ruthard	Chief Financial Officer

IEX DAX LLC

- *Name*: IEX DAX LLC
- *Address*: 3 World Trade Center, 175 Greenwich Avenue, 58th Floor, New York, NY 10007
- *Form of organization*: Limited Liability Company
- *Name of state, statute under which organized and date of incorporation*: Delaware, Delaware Limited Liability Company Act (6 Del. C. § 18-101, et seq.), on May 6, 2022
- *Brief description of nature and extent of affiliation*: IEX DAX LLC is a wholly-owned subsidiary of Investors' Exchange LLC which is a wholly-owned subsidiary of IEX Group, Inc.
- *Brief description of business or functions*: IEX DAX LLC is not currently operational.
- *A copy of the constitution*: N/A
- *A copy of the articles of incorporation or association including all amendments*: attached as Addendum C-13 is the Certificate of Formation of IEX DAX LLC.
- *A copy of existing by-laws or corresponding rules or instruments*: N/A
- *Name and title of the present officers, governors, members of all standing committees, or persons performing similar functions*: N/A

IEX DAP Group LLC

- *Name*: IEX DAP Group LLC
- *Address*: 3 World Trade Center, 175 Greenwich Avenue, 58th Floor, New York, NY 10007
- *Form of organization*: Limited Liability Company
- *Name of state, statute under which organized and date of incorporation*: Delaware, Delaware Limited Liability Company Act (6 Del. C. § 18-101, et seq.), on October 20, 2021
- *Brief description of nature and extent of affiliation*: IEX DAP Group LLC is a wholly-owned subsidiary of IEX Group, Inc.

- *Brief description of business or functions*: IEX DAP Group LLC is a holding company which holds 100% of the equity of IEX DAP LLC and IEX DAP Services LLC.

- *A copy of the constitution*: N/A

- *A copy of the articles of incorporation or association including all amendments*: attached as Addendum C-14 is the Certificate of Formation of IEX DAP Group LLC.

- *A copy of existing by-laws or corresponding rules or instruments*: attached as Addendum C-15 is the Limited Liability Company Agreement of IEX DAP Group LLC.

- *Name and title of the present officers, governors, members of all standing committees, or persons performing similar functions*:

  Officers of IEX DAP Group LLC

  The following persons are officers of IEX DAP Group LLC:

Name	Title
Bradley T. Katsuyama	Chief Executive Officer, President
Rachel Barnett	Chief Legal Officer, Secretary
Craig Resnick	Chief Financial Officer
Andrea Ledford	Chief People Officer
Robert Park	Chief Technology Officer

  Manager of IEX DAP Group LLC

  The following person is the manager of IEX DAP Group LLC:

Manager
Bradley T. Katsuyama

IEX DAP LLC

- *Name*: IEX DAP LLC

- *Address*: 3 World Trade Center, 175 Greenwich Avenue, 58th Floor, New York, NY 10007

- *Form of organization*: Limited Liability Company

- *Name of state, statute under which organized and date of incorporation*: Delaware, Delaware Limited Liability Company Act (6 Del. C. § 18-101, et seq.), on October 20, 2021

- *Brief description of nature and extent of affiliation*: IEX DAP LLC is a wholly-owned subsidiary of IEX DAP Group LLC which is a wholly-owned subsidiary of IEX Group, Inc.

- *Brief description of business or functions*: IEX DAP LLC is a SEC registered broker-dealer and FINRA member authorized to operate an alternative trading system ("ATS") to facilitate trading in digital asset securities.

- *A copy of the constitution*: N/A

- *A copy of the articles of incorporation or association including all amendments*: attached as Addendum C-16 is the Certificate of Formation of IEX DAP LLC.

- *A copy of existing by-laws or corresponding rules or instruments*: attached as Addendum C-17 is the Limited Liability Company Agreement of IEX DAP LLC.

- *Name and title of the present officers, governors, members of all standing committees, or persons performing similar functions*:

  Officers of IEX DAP LLC

  The following persons are officers of IEX DAP LLC:

Name	Title
Bradley T. Katsuyama	President
Craig Resnick	Chief Financial Officer
Robert Dukesherer	Chief Compliance Officer
Terrance O'Connell	Principal Financial Officer
Alphonse Asare	Principal Operations Officer

  Manager of IEX DAP LLC

  The following person is the manager of IEX DAP LLC:

Manager
Bradley T. Katsuyama

IEX DAP Services LLC

- *Name*: IEX DAP Services LLC

- *Address*: 3 World Trade Center, 175 Greenwich Avenue, 58th Floor, New York, NY 10007

- *Form of organization*: Limited Liability Company

- *Name of state, statute under which organized and date of incorporation*: Delaware, Delaware Limited Liability Company Act (6 Del. C. § 18-101, et seq.), on October 20, 2021

- *Brief description of nature and extent of affiliation*: IEX DAP Services LLC is a wholly-owned subsidiary of IEX DAP Group LLC which is a wholly-owned subsidiary of IEX Group, Inc.

- *Brief description of business or functions*: IEX DAP Services LLC is not currently operational.

- *A copy of the constitution*: N/A

- *A copy of the articles of incorporation or association including all amendments*: attached as Addendum C-18 is the Certificate of Formation of IEX DAP Services LLC.

- *A copy of existing by-laws or corresponding rules or instruments*: attached as Addendum C-19 is the Limited Liability Company Agreement of IEX DAP Services LLC.

- *Name and title of the present officers, governors, members of all standing committees, or persons performing similar functions*:

  Officers of IEX DAP Services LLC

  The following persons are officers of IEX DAP Services LLC:

Name	Title
Bradley T. Katsuyama	President
Robert Dukesherer	Chief Compliance Officer
Terrance O'Connell	Financial and Operations Principal, Principal Financial Officer
Alphonse Asare	Principal Operations Officer

  Manager of IEX DAP Services LLC

  The following person is the manager of DAP Services LLC:

Manager
Bradley T. Katsuyama

IEX Fund LLC

- *Name*: IEX Fund LLC

- *Address*: 3 World Trade Center, 175 Greenwich Avenue, 58th Floor, New York, NY 10007

- *Form of organization*: Limited Liability Company

- *Name of state, statute under which organized and date of incorporation*: Delaware, Delaware Limited Liability Company Act (6 Del. C. § 18-101, et seq.), on July 21, 2022

- *Brief description of nature and extent of affiliation*: IEX Fund LLC is a wholly-owned subsidiary of IEX Ventures LLC which is a wholly-owned subsidiary of IEX Group, Inc.

- *Brief description of business or functions*: IEX Fund LLC is a shareholder in certain early-stage private companies.

- *A copy of the constitution*: N/A

- *A copy of the articles of incorporation or association including all amendments*: attached as Addendum C-20 is the Certificate of Formation of IEX Fund LLC.

- *A copy of existing by-laws or corresponding rules or instruments*: attached as Addendum C-21 is the Limited Liability Company Agreement of IEX Fund LLC.

- *Name and title of the present officers, governors, members of all standing committees, or persons performing similar functions*:

  Officers of IEX Fund LLC

  The following persons are officers of IEX Fund LLC:

Name	Title
Bradley T. Katsuyama	President
Rachel Barnett	Vice President, Chief Legal Officer, Secretary
Craig Resnick	Vice President, Treasurer

  Managers of IEX Fund LLC

  The following persons are managers of IEX Fund LLC:

Managers
Bradley T. Katsuyama
Ronan Ryan