Seneca

Sophisticated Investor Certificate

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Seneca

Certificate by a Qualified Accountant

Sophisticated Investor and Wholesale Client

I am a Qualified Accountant as defined by section 88B of the Corporations Act (Cth). I certify:

- ☐ (a) that I am a member of the CPA Australia Limited who is entitled to use the post-nominals 'CPA' or 'FCPA' and is subject to and
- ☐ (b) that I am a member of the Chartered Accountants Australia and New Zealand Limited who is entitled to use the post-nominals 'ACA', 'CA' or 'FCA', and is subject to and complies with the institute's continuing professional education requirements; or
- ☐ (c) that I am a member of the Institute of Public Accountants who is entitled to use the post-nominals 'AIPA', 'MIPA' or 'FIPA' and is subject to and complies with the institute's continuing professional requirements; or
- ☐ (d) that I am a member of an eligible foreign professional body (see requirements below).

In accordance with Section 708(8)(c) and Section 761(7)(c) of the Corporations Act I hereby certify that:

Name of Individual(s)	
Whose residential address is	

- ☐ (a) has net assets of at least $2.5 million; or
- ☐ (b) earned gross income for each of the last the (2) financial years of at least $250,000 a year.

Where I have named more than one individual, I certify that each meets the necessary criteria. I also certify that the following companies or trusts are controlled by the above name Sophisticated Investor(s) and therefore may also be treated as a Sophisticated Investor in accordance with the Corporation Act.

Trust or Company 1	
Trust or Company 2	
Trust or Company 3	
Trust or Company 4	

Accountants Details

Accountant Signature	Name of Firm and ABN
Name of Accountant	Business Address
Date	
Membership no. of Professional Body^ (REQUIRED)	
Accountant Email	Phone Number

You will satisfy the criteria for a qualified accountant if you are a member of one of the eligible foreign professional bodies listed below and you:

- have at least three years' practical experience in accounting or auditing, and
- are only providing a certificate for the purpose of s708(8)(c) and 761G(7)(c) to a person who is a resident in the same country (other than Australia) as yourself.

Eligible foreign professional bodies are:

- The American Institute of Certified Public Accountants;
- Association of Certified Chartered Accountants (United Kingdom);
- Canadian Institute of Chartered Accountants;
- Institute of Chartered Accountants New Zealand;
- The Institute of Chartered Accountants in England and Wales;
- The Institute of Chartered Accountants in Ireland; and
- The Institute of Chartered Accountants of Scotland

Please email or post a completed certificate to:

Seneca Financial Solutions Pty Ltd
PO Box 24250 Melbourne VIC 3000
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(03) 8639 1600

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