

Personal Due Diligence Form

REQUIRED FOR ALL OWNERS & AUTHORIZED SIGNERS

PERSONAL INFORMATION

★ All fields are **REQUIRED** unless otherwise noted. Color copy of valid ID is required for ALL signers and owners with 25% or greater ownership of business.

FULL NAME		SOCIAL SECURITY NUMBER (SSN)	
DATE OF BIRTH	PHYSICAL ADDRESS (NO PO BOX)		
PHONE NUMBER	EMAIL ADDRESS		
MAILING ADDRESS (IF DIFFERENT FROM PHYSICAL)			
OCCUPATION	EMPLOYER		
REFERRED BY	SOURCE OF FUNDS	PERSONAL ACCOUNT PURPOSE	
Current Bank Customer <input type="checkbox"/> YES <input type="checkbox"/> NO		% OWNERSHIP	
IF NO, CURRENT BANK NAME <i>(only complete if not a current customer)</i>			

TRANSACTION ACTIVITY

Depending on your answers, Prism Bank will reach out with some follow up questions if needed.

Will the initial deposit be ≥\$5,000?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
Will you be making cash deposits?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	Amt/month: _____
Will you be making cash withdrawals?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	Amt/month: _____
Will you be depositing checks?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	Amt/month: _____
Will you be making check withdrawals?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	Amt/month: _____
Will you be sending / receiving wires?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	Amt/month: _____
Will you be sending / receiving wires to / from non-US locations?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	Amt/month: _____

COMPLIANCE & BACKGROUND

Depending on your answers, Prism Bank will reach out with some follow up questions if needed.

Are you a U.S. citizen?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Are you currently, or have you ever been a politically exposed person (PEP) or a senior political figure?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Are you an immediate family member or close associate of someone who is currently, or who was a PEP?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Do you act as an intermediary between your clients and the bank, acting on your client's behalf?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Do you need a debit card? (For personal accounts only)	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Will you be using mobile deposit?	<input type="checkbox"/> Yes	<input type="checkbox"/> No

DO YOU HAVE CITIZENSHIP IN ANY OTHER COUNTRY? IF SO, PLEASE SPECIFY	
IF PEP-RELATED, PLEASE STATE YOUR RELATIONSHIP	