

Personal Due Diligence Form

REQUIRED FOR ALL OWNERS & AUTHORIZED SIGNERS

PERSONAL INFORMATION

★ All fields are **REQUIRED** unless otherwise noted.

FULL NAME		SOCIAL SECURITY NUMBER (SSN)	
DATE OF BIRTH	PHYSICAL ADDRESS (NO PO BOX)		
PHONE NUMBER		EMAIL ADDRESS	
MAILING ADDRESS (IF DIFFERENT FROM PHYSICAL)			
OCCUPATION		EMPLOYER	
REFERRED BY	SOURCE OF FUNDS	PERSONAL ACCOUNT PURPOSE	
Current Bank Customer ☐ YES ☐ NO		% OWNERSHIP	
IF NO, CURRENT BANK NAME (only complete if not a current customer)			

TRANSACTION ACTIVITY

Depending on your answers, Prism Bank will reach out with some follow up questions if needed.

Will the initial deposit be ≥\$5,000?	☐ Yes	☐ No	
Will you be making cash deposits?	☐ Yes	☐ No	Amt/month: _____
Will you be making cash withdrawals?	☐ Yes	☐ No	Amt/month: _____
Will you be depositing checks?	☐ Yes	☐ No	Amt/month: _____
Will you be making check withdrawals?	☐ Yes	☐ No	Amt/month: _____
Will you be sending / receiving wires?	☐ Yes	☐ No	Amt/month: _____
Will you be sending / receiving wires to / from non-US locations?	☐ Yes	☐ No	Amt/month: _____

COMPLIANCE & BACKGROUND

Depending on your answers, Prism Bank will reach out with some follow up questions if needed.

Are you a U.S. citizen?	☐ Yes	☐ No
Are you currently, or have you ever been a politically exposed person (PEP) or a senior political figure?	☐ Yes	☐ No
Are you an immediate family member or close associate of someone who is currently, or who was a PEP?	☐ Yes	☐ No
Do you act as an intermediary between your clients and the bank, acting on your client's behalf?	☐ Yes	☐ No
Do you need a debit card? (For personal accounts only)	☐ Yes	☐ No
Will you be using mobile deposit?	☐ Yes	☐ No
DO YOU HAVE CITIZENSHIP IN ANY OTHER COUNTRY? IF SO, PLEASE SPECIFY		
IF PEP-RELATED, PLEASE STATE YOUR RELATIONSHIP		

Statement Delivery Options: ☐ Print (for paper statements) ☐ Digital (for e-statements) ☐ Digital and Email (for e-statements)