

READINESS

# Runtime AI Governance Readiness Checklist

A practical checklist for enterprises assessing AI governance controls across inventory, policy enforcement, human oversight, audit evidence, and regulatory alignment.

**Use this asset to assess readiness, start a governance conversation, and identify candidates for a 6-week Aethryx design partner pilot.**

**Primary audience:** CROs, CIOs, AI governance leads, enterprise risk teams

# How to use this checklist

Use this document to evaluate whether production AI systems are governed at runtime, not only through static policies. Score each area as Not started, Partial, Operational, or Evidence-ready.

## Core readiness checklist

Control area	Questions to assess	Evidence to collect
AI inventory	Do you know where LLMs, RAG systems, agents, and third-party AI tools are deployed?	System registry, owners, risk tier, data sources, model details.
Risk tiering	Are systems classified by customer impact, autonomy, data sensitivity, and regulatory exposure?	Risk rubric, tiering outcomes, approval history.
Policy enforcement	Are policy rules translated into runtime checks and intervention actions?	Policy-to-control mapping, block/redact/escalate logs.
Human oversight	Are high-risk decisions routed to trained reviewers with decision records?	Approval queue, reviewer notes, override logs.
Evidence generation	Can audit, risk, and compliance teams reconstruct what happened?	Decision trace, prompt/context logs, exception trail.
Continuous monitoring	Are unsafe behavior, drift, grounding risk, and tool misuse monitored continuously?	Alerts, dashboards, remediation records.

## Priority actions

- Start with the top 5 AI systems that are closest to customer, regulatory, or financial impact.
- Create a live AI inventory with owner, purpose, model, data source, and risk tier.
- Define intervention rules: allow, warn, redact, require approval, block, or kill switch.
- Collect evidence at the decision level, not only at the model or policy level.

# Readiness Call CTA

## Ready to assess your organization's AI governance maturity?

Book a 30-minute Aethryx AI Governance Readiness Call. We will help identify runtime AI risks, governance gaps, audit evidence requirements, and potential controls for your AI systems.

Recommended next step	Outcome
30-minute readiness call	Confirm priority AI systems, risk areas, and governance gaps.
6-week design partner pilot	Map controls, instrument evidence, and validate runtime governance workflows.
Executive debrief	Provide a concise risk and control roadmap for risk, technology, and audit leaders.