

# RAG Governance Playbook

A practical playbook for governing RAG systems across source quality, grounding, retrieval risk, privacy exposure, hallucination, and response traceability.

**Use this asset to assess readiness, start a governance conversation, and identify candidates for a 6-week Aethryx design partner pilot.**

**Primary audience:** AI teams building GenAI and enterprise knowledge assistants

# RAG-specific governance risks

RAG systems introduce risks that traditional model governance often misses: bad sources, outdated context, weak grounding, data leakage, and responses that cannot be traced back to evidence.

## RAG controls checklist

Risk	Control	Evidence
Poor source quality	Trusted source registry and freshness rules.	Source score, timestamp, document owner.
Weak grounding	Answer-to-context consistency check.	Grounding score and cited chunks.
Sensitive retrieval	PII and confidential data filters before response generation.	Redaction log and retrieval restriction.
Hallucination	Confidence threshold and response refusal policy.	Validation result and fallback action.
No traceability	Capture query, retrieved chunks, model output, and final response.	End-to-end RAG trace.

## Governance design pattern

- Score retrieved context before generation.
- Validate generated response against retrieved context after generation.
- Escalate low-confidence or high-impact responses.
- Store traceable evidence for each enterprise answer.

# Readiness Call CTA

## Ready to assess your organization's AI governance maturity?

Book a 30-minute Aethryx AI Governance Readiness Call. We will help identify runtime AI risks, governance gaps, audit evidence requirements, and potential controls for your AI systems.

Recommended next step	Outcome
30-minute readiness call	Confirm priority AI systems, risk areas, and governance gaps.
6-week design partner pilot	Map controls, instrument evidence, and validate runtime governance workflows.
Executive debrief	Provide a concise risk and control roadmap for risk, technology, and audit leaders.