

From Insight to Impact

A CONTINUITY PLAYBOOK FOR FISCAL GOVERNANCE

Navigate a changing landscape to remain compliant and competitive.

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Tips to Ensure Fiscal Stability



Document

Map recurring processes, approvals, deadlines, and decision rules.



Cross-train

Ensure more than one person knows how core fiscal routines work.



Test

Periodically review controls before auditors or monitors do.



Update

Revise policies and procedures when guidance, staffing, or systems change.

A Quick Fiscal Governance Self-check

- ✓ **Resource Visibility:** We know what funds are available and what rules apply.
- ✓ **Role Clarity:** We know who approves, reviews, reconciles, and monitors.
- ✓ **Defensible Decisions:** Procurement and expenditure decisions can be reconstructed.
- ✓ **Continuity:** Core routines are documented and cross-trained.
- ✓ **Audit Readiness:** Records, policies, and corrective actions are current.

Questions Leaders Should Be Asking

- 1 Who is accountable for fiscal risk and performance?
- 2 Can we trace reports back to source documentation?
- 3 Are controls documented or living in someone's head?
- 4 Do program, fiscal, procurement, and leadership staff use the same assumptions?
- 5 If our fiscal lead left tomorrow, what would break first?
- 6 Do we test controls before monitors or auditors test them for us?

Safal's Virtual Fiscal Academy



Explore our Academy Video Resources

Access on demand videos for practical guidance, tools, and real-world insights.



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Let's Connect

Safal's workforce and WIOA experts can help your organization meet current challenges and be well-positioned for performance and compliance.

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