

Key staff involved in Complaints and Appeals Policy:

Role	Name(s)
Head of Centre	Doug Thomas
Exams Officers	Kathy Ollis-Brown, Rebecca Newey
SLT Member(s)	Sam Channon, Jemma Lyons
SENDCo	Frankie Myers

Purpose of the policy

This policy confirms West Sussex Alternative Provision College's (WSAPC) compliance with JCQ's General Regulations for Approved Centres (General Regulations section 5.8.e) that the centre will draw to the attention of candidates and their parents/carers their written complaints policy which will cover general complaints regarding the centre's delivery or administration of a qualification and our internal appeals procedure.

Grounds for complaint

A candidate (or their parent/carer) may make a complaint on the grounds below (this is not an exhaustive list).

Teaching and learning

- Quality of teaching and learning, for example:
 - Non-subject specialist teacher without adequate training/subject matter expertise utilised on a long-term basis.
 - Teacher lacking knowledge of new specification/incorrect core content studied/taught.
 - Core content not adequately covered.
 - Inadequate feedback for a candidate following assessment(s).
- Pre-release/advance material/set task issued by the awarding body not provided on time to an exam candidate.
- The taking of an assessment, which contributes to the final grade of the qualification, not conducted according to the JCQ/awarding body instructions.
- Candidate not informed of his/her centre assessed marks prior to marks being submitted to the awarding body.
- Candidate not informed of his/her centre assessed marks in sufficient time to request/appeal a review of marking prior to marks being submitted to the awarding body.

- Candidate not given sufficient time to review materials to make a decision whether to request a review of centre assessed marks.
- Candidate unhappy with internal assessment decision (complaint to refer via Head of Centre to the centre's *internal appeals procedure*).
- Centre fails to adhere to its *internal appeals procedure*.

Access arrangements

- Candidate not assessed by the centre's appointed assessor.
- Candidate not involved in decisions made regarding his/her access arrangements.
- Candidate did not consent to personal data being shared electronically (by the non-acquisition of a signed data protection notice/candidate data personal consent form).
- Candidate not informed/adequately informed of the arrangements in place and the subjects or components of subjects where the arrangements would not apply.
- Exam information not appropriately adapted for a disabled candidate to access it
- Adapted equipment put in place failed during exam/assessment.
- Approved access arrangement(s) not put in place at the time of an exam/assessment.
- Appropriate arrangements not put in place at the time of an exam/assessment because of a temporary injury or impairment.
- Candidate unhappy with centre decision relating to access arrangements or special consideration (complaint to refer via Head of Centre to the centre's *internal appeals procedure*).
- Centre fails to adhere to its *internal appeals procedure*.

Entries

- Failure to clearly explain a decision of early entry for a qualification to candidate (or parent/carer).
- Candidate not entered/entered late (incurring a late entry fee) for a required exam/assessment.
- Candidate entered for a wrong exam/assessment.
 - Candidate entered for a wrong tier of entry.

Conducting examinations

- Failure to adequately brief candidate on exam timetable/exam regulations prior to exam/assessment taking place.
- Room in which exam held did not provide candidate with appropriate conditions for taking the exam.
- Inadequate invigilation in exam room.
- Failure to conduct exam according to the regulations.
- Online system failed during (on-screen) exam/assessment.
- Disruption during exam/assessment.
- Alleged, suspected or actual malpractice incident not investigated/reported.
- Eligible application for special consideration for a candidate not submitted/not submitted to timescale.
 - Failure to inform/update candidate on the outcome of a special consideration application.

Results and post-results

- Before exams, candidate not made aware of the arrangements for post-results services and the accessibility of senior members of centre staff after the publication of results.
- Candidate not having access to a member of senior staff after the publication of results to discuss/make decision on the submission of a review/enquiry.
- Candidate request for return of work after moderation and work not available/disposed of earlier than allowed in the regulations.
- Candidate (or parent/carers) unhappy with a result (complainant to refer via exams officer to awarding body *post-results services*).
- Candidate (or parent/carers) unhappy with a centre decision not to support a clerical re-check, a review of marking, a review of moderation or an appeal (complainant to refer via the exams officer to the centre's *internal appeals procedure*).
- Centre fails to adhere to its *internal appeals procedure*.
- Centre applied for the wrong post-results service/for the wrong exam script for a candidate.

- Centre missed awarding body deadline to apply for a post-results service.
- Centre applied for a post-results service for candidate without gaining required candidate consent/permission.

Raising a concern / complaint

If a candidate (or his/her parent/carer) has a general concern or complaint about the centre's delivery or administration of a qualification he/she is following, WSAPC encourages him/her to try to resolve this informally in the first instance. A concern or complaint should be made in person, by telephone or in writing to the head of centre, Doug Thomas.

If a complaint fails to be resolved informally, the candidate (or his/her parent/carer) is then at liberty to make a formal complaint.

How to make a formal complaint

A formal complaint should be submitted in writing by completing a **complaints form**

- Forms are available from the centre or by emailing hcentral@wsapc.co.uk
- Completed forms should be returned to hcentral@wsapc.co.uk
 - Forms received will be logged by the centre and acknowledged within five working days.

How a formal complaint is investigated

- The head of centre will further investigate or appoint a member of the senior leadership team (who is not involved in the grounds for complaint and has no personal interest in the outcome) to investigate the complaint and report on the findings and conclusion].
 - The findings and conclusion will be provided to the complainant within 4 working weeks.

Internal appeals procedure

Following the outcome, if the complainant remains dissatisfied and believes there are clear grounds, an appeal can be submitted.

- Any appeal must be submitted by following the centre's internal appeals procedure completing an **internal appeals form**.

- Forms received will be logged by the centre and acknowledged within five working days.
- The appeal will be referred to the Chair of Governors for consideration.
- The Chair of Governors will inform the appellant of the conclusion in due course.

Monitoring and Review

ADOPTED BY WSAPC	January 2020
REVIEWED	November 2025
NEXT REVIEW DATE	November 2026

Complaints form	FOR CENTRE USE ONLY	
	Date received	
	Reference No.	

Please tick box to indicate the nature of your complaint

- ☐ Complaint against the centre's delivery of a qualification
- ☐ Complaint against the centre's administration of a qualification

This form must be completed in full; an incomplete form will be returned to the complainant

On receipt, all complaints are assigned a reference number and logged. Outcome and outcome date is also recorded

[illegible]