

Rainbrook Capital LLC
Investment Advisory Firm CRD# 341512

Brochure - ADV Part 2B For:

Adam Westbrook Raines
Investment Adviser Representative | CRD# 5534330

June 9, 2026

Rainbrook Capital LLC
425 W. Capitol Ave., Ste 1205
Little Rock, AR 72201
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This brochure supplement provides information about Mr. Adam W. Raines that supplements the Rainbrook Capital LLC. firm brochure, ADV Part 2A for CRD #341512. If you did not receive Rainbrook Capital LLC's firm brochure or if you have any questions about its contents and/or this supplement contents please contact Mr. Adam W. Raines at (501) 838-0183 or by email at: adam@rainbrookcapital.com. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority. Disciplinary history of the Registrant or its representatives may be obtained by calling the Arkansas Securities Department at (501) 324-9260.

Additional information about Rainbrook Capital LLC and/or Mr. Adam W. Raines is also available on the SEC's website at www.adviserinfo.sec.gov

Registration does not imply a certain level of skill or training.

Item 2: Name, Educational and Business Experience

Name:

Adam Westbrook Raines

Born: 1983

Education:

University of Arkansas | Bachelor's in Finance | 05/2005

Industry Exams Passed:

Series 65 | Uniform Investment Adviser Law Examination | 04/2026

Series 57 | Securities Trader Examination | 01/2023

SIE | Securities Industry Essentials Examination | 10/2018

Series 55 | Limited Representative-Equity Trader Examination | 09/2008

Series 7 | General Securities Representative Examination | 08/2008

Financial Services Background:

Rainbrook Capital LLC | President & CCO | Little Rock, AR | 03/2026 – Present

Stephens | Institutional Equity Sales Trader | Little Rock, AR | 5/2008 – 04/2026

Item 3: Disciplinary Information

As an Investment Advisor Representative, you are required to disclose all material facts regarding any legal or disciplinary events that would be material in your evaluation. Currently, Adam W. Raines has no industry related information applicable to this requirement. For more information about Adam W. Raines, please visit FINRA's Broker Check at www.finra.org/brokercheckand/or the SEC's Investment Advisor Search at www.adviserinfo.sec.gov.

Item 4: Other Business Activities

Mr. Adam W. Raines is not actively engaged in any other investment advisory - related business or occupation at this time.

Item 5: Additional Compensation

Mr. Adam W. Raines does not receive any economic benefit from any person, company, or organization in the investment advisory industry, outside of Rainbrook Capital LLC.

Item 6: Supervision

Mr. Adam W. Raines is the President and Chief Compliance Officer (CCO) for Rainbrook Capital LLC. His contact information is on the cover page of this disclosure document. Mr. Adam W. Raines adheres to all required regulations regarding the activities of an Investment Adviser Representative and follows all policies and procedures outlined in the firm's policies and procedures manual, the firm's compliance manual, including the code of ethics, and appropriate securities regulatory requirements.

Item 7: Requirements for State-Registered Advisers

Mr. Adam W. Raines has never been found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:

- (a) an investment or an investment-related business or activity;
- (b) fraud, false statement(s), or omissions;
- (c) theft, embezzlement, or other wrongful taking of property;
- (d) bribery, forgery, counterfeiting, or extortion; or
- (e) dishonest, unfair, or unethical practices.

Mr. Adam W. Raines has never been found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:

- (a) an investment or an investment-related business or activity;
- (b) fraud, false statement(s), or omissions;
- (c) theft, embezzlement, or other wrongful taking of property;
- (d) bribery, forgery, counterfeiting, or extortion; or
- (e) dishonest, unfair, or unethical practices.

Mr. Adam W. Raines has never been involved in or has been the subject of a bankruptcy petition.

Clients can obtain the disciplinary history of the Registrant, Rainbrook Capital LLC, and/or its representatives from the Division upon request.

For more information about Adam W. Raines, please visit FINRA's Broker Check at www.finra.org/brokercheck and/or the SEC's Investment Advisor Search at www.adviserinfo.sec.gov