

Privacy Policy

Rainbrook Capital LLC (“Rainbrook Capital”, “the firm”, “we”) recognizes that our relationships with clients are based on integrity and trust. The firm works hard to maintain your privacy and to preserve the private nature of our relationship with you. We place the highest value on the information you share with us. Rainbrook Capital does not sell your personal information. The firm wants our clients to understand what information we collect, how we use it, and how we protect it responsibly.

Why We Collect Your Information

We gather information about you so that we can:

- Design, implement, manage, and administer the investment and financial planning related services we provide you; and
- Comply with the Federal and State laws and regulations that govern us.

What Information We Collect and Maintain

We may collect the following types of “non-public personal information” about you:

- Information from our initial meeting or subsequent consultations about your identity, such as your name, address, social security number, date of birth, and financial information.
- Information that we generate to service your financial needs.
- Information that we may receive from third parties with respect to your financial profile.

What Information We Disclose

In order for Rainbrook Capital to share nonpublic client information with unaffiliated third parties we require clients to “Opt-In” to the sharing of this information.

For example, clients may want Rainbrook Capital to share financial information with their estate planning attorney, accountant/CPA, insurance agent, mortgage banker/ broker or lender. “Opting-In” will allow Rainbrook Capital to share specific non-public personal client information with specific individuals or entities as directed in writing by the client.

“Opting-In” of Third-Party Disclosures: If you want Rainbrook Capital LLC to share your personal non-public information, you may request this by contacting us by phone at (501) 838-0183 and/or by email at adam@rainbrookcapital.com. Otherwise, Rainbrook Capital LLC will not disclose any personal information about you or your account(s) unless one of the following conditions is met:

- We receive your prior written consent; or
- We have documentation that the recipient is your authorized representative; or
- We are required by law to disclose information to the recipient

Arrangements with companies not affiliated with Rainbrook Capital LLC may be subject to confidentiality agreements.

How We Protect Your Personal Information

Privacy and protecting clients’ information is important to us and therefore we restrict and/or limit access to client information to those who need to carry out their specific business functions. We maintain physical, electronic, and procedural safeguards to protect your confidential personal information.

If you have any questions about our Privacy Policy or its contents, please contact:

Mr. Adam W. Raines, President & CCO, at (501) 838-0183 or by email: adam@rainbrookcapital.com