

Pre-Trade Validation Checklist

The AI-Augmented Trader · Appendix D reference

Nineteen items. Five categories. The minimum infrastructure required to run the framework at all.

Account and Access

- Brokerage account active.**
SPX options trading enabled; broker approval is not automatic.
- Options approval level confirmed.**
Level 2 minimum for long-option (Phase 1) trading; Level 3 plus margin account plus \$2,000 SOD margin equity required for the framework's defined-risk spreads (Phase 2 / Phase 3). See Ch. 23, §4.
- Funding confirmed and settled.**
ACH 2–5 business days; wire same/next day. Buying power unavailable until funds settle.
- Pattern Day Trader rule understood.**
Sub-\$25K accounts were PDT-limited to three day trades per five-business-day window; the rule is being retired broker-by-broker through Oct 2027. See Ch. 23.

Data Infrastructure

- Barchart Premier subscription active.**
Verify Premier tier; confirm SPX chain CSV export is available.
- SPX options chain export tested.**
Pull a test CSV; confirm strike prices and Greeks fields are present and well-formed.
- Cboe Level 1 live options chain data active.**
Real-time bid/ask/last for SPX, not delayed. Delayed chain data degrades ODTE execution management.
- ES futures data active.**
Overnight session chart + VWAP for the premarket build and intraday reference. TradingView is an accessible secondary source if the trading platform lacks ES.
- ES futures subscription and approval confirmed.**
Exchange fee subscription and, if required by the platform, futures account approval in place.

Platform Configuration

- Webull 1-minute and 5-minute SPX charts configured.**
Entry rules operate on the 1-minute; the 5-minute provides context. Both accessible without reconfiguration at the open.
- ATR(5) on the 1-minute SPX chart.**
Compression signal for the three-signal entry rule. Platform default is 14 — set to 5 explicitly.
- WebullScript GEX level indicators installed and tested.**
Three active indicators — GEX Levels, Expected Move, Intraday HOD/LOD. See Ch. 16. Test against prior-session data before live.

AI Workflow

- Claude access confirmed.**
Active Claude subscription; accessible from the trading workstation. The framework's six prompts are designed for Claude.
- Premarket Setup prompt tested with a real CSV.**
Confirm the output is a valid three-block WebullScript session build. Resolve any malformed output before live.
- All six framework prompts reviewed and accessible.**
Premarket Setup, Intraday Update, Trade Setup Evaluation, Position Monitor, EOD Post-Mortem, Session Framework Load. See Ch. 11.

Trading Discipline

- Paper trading mode active.**
70% win rate documented over a minimum of forty paper sessions before live capital. See Ch. 23, §2.
- Trading journal active.**
Every session logged — entry criteria, exit execution, post-mortem. See Ch. 20.
- Macro calendar checked for the session ahead.**
Tier 1 events (FOMC, NFP, CPI) suspend the framework; Tier 2 requires 45–60 min ATR confirmation. See Ch. 21.
- Framework rules reviewed.**
Chapters 15–18 read in sequence before the first live session: structure selection, three-signal entry, fade variant, trade management and exits. Exit rules are not optional.

Run this list once before the first paper session, and again before the first live session. The framework is the rules; the checklist is what the framework runs on.