



May 01, 2026

Form CRS Client Relationship Summary

Item 1 - Is an investment advisory account right for you?

Autumn Lane Advisory LLC is registered as an investment adviser with the State of Massachusetts and may be registered in other states where required. Brokerage and investment advisory services and fees differ, and it is important for you to understand these differences. Free and simple tools are available at www.investor.gov/CRS.

Item 2 - What investment services and advice can you provide me?

We provide portfolio management and private markets advisory services to individuals, family offices, and institutional clients.

- We will offer all clients investment advice on a regular basis. After a discussion designed to better understand your investment goals, we will create with you a strategy to achieve those investment goals. We will regularly monitor your portfolio on an ongoing basis and review your account at least quarterly. We will contact you (by phone or e-mail) at least annually to discuss your portfolio.
- You have the option to choose an account that allows us to buy and sell investments in your account without asking you in advance (a “**discretionary account**”), or we may give you advice and you can decide what investments to buy and sell (a “**non-discretionary account**”).
- We are held to a fiduciary standard that covers the entire advisory relationship with you. We are required to act in your best interest throughout our investment advisory relationship and not place our interests ahead of yours. As part of this obligation, we monitor your portfolio and investment strategy on an ongoing basis.
- We do not require a minimum account size, but minimum fees may apply.

For additional information, please see our Form ADV, Part 2A brochure, specifically Items 4 and 7.

Conversation Starters: Please ask financial professionals the following:

- Given my financial situation, should I choose an investment advisory service? Why or why not?
- How will you choose investments to recommend to me?
- What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?

Item 3 - What fees will I pay?

The following list provides a summary of the principal fees and costs associated with engaging our firm for investment advisory services.

- **Asset-Based Fees:** You will pay an ongoing fee based on a percentage of the assets in your account that we manage. These fees are billed quarterly in advance and are invoiced directly to you. Because our fees are based on the value of your account, we have an incentive to encourage you to increase the assets in your account.
- **Fixed Advisory Fees:** We may charge hourly or fixed fees for financial planning or non-discretionary advisory services. The specific fee structure will be agreed upon in advance.
- **Other Fees and Costs:** In addition to our fees, you will incur fees charged by third parties, including custodial fees, brokerage commissions, and fees associated with mutual funds, ETFs, or other investments.

For more detailed information, please see Item 5 of our Form ADV Part 2A.

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce the amount of money you make over time. Please make sure you understand what fees and costs you are paying.

Conversation Starter: Please ask financial professionals the following:

- Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?

What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?

When we act as your investment adviser, we must act in your best interest and not place our interests ahead of yours. However, the way we are compensated creates some conflicts of interest. For example, we may recommend that you maintain your account with a particular custodian with whom we have a relationship and from which we receive certain operational or research benefits. This creates an incentive to recommend that custodian, although you ultimately decide where your account is held. Because our fees are generally based on assets under management, we have an incentive to encourage you to increase the assets in your account. We may also provide consulting services to private market participants and may have economic interests in certain investments that could be considered for client portfolios. We address these conflicts through disclosure and by adhering to our fiduciary duty.

Conversation Starter: Please ask financial professionals the following:

- How might your conflicts of interest affect me, and how will you address them?

For more detailed information, please see **Item 10, Item 12, and Item 14 of our Form ADV Part 2A.**

How do your financial professionals make money?

Our financial professionals are compensated based on the revenue generated from advisory and consulting services, which may include a share of advisory fees and discretionary compensation. Because compensation is tied to the level of client assets and overall client relationships, financial professionals have an incentive to increase assets under management and maintain client relationships.

Item 4 – Do you or your financial professionals have legal or disciplinary history?

No, our firm and our financial professionals currently do not have any legal or disciplinary history to disclose. Visit www.investor.gov/CRS for a free and simple tool to research us and our financial professionals.

Conversation Starter: Please ask financial professionals the following:

- As a financial professional, do you have any disciplinary history? For what type of conduct?

Item 5 – Additional Information

For additional information about our advisory services, please refer to our Form ADV Part 2A brochure and Form ADV Part 2B brochure supplement(s), which are available at <https://adviserinfo.sec.gov/> by searching for our firm name. You may also contact us directly to request up-to-date information or a copy of this Client Relationship Summary at (617) 256-7660.

Conversation Starters: Please ask financial professionals the following:

- Who is my primary contact person?
- Is he or she a representative of an investment adviser or a broker-dealer?
- Who can I talk to if I have concerns about how this person is treating me?