

Awards Methodology

The SP Intelligence Awards for Excellence recognize the very best in the industry - institutions that not only strive for greatness, but consistently deliver measurable impact, sustained innovation, and market-leading performance.

What sets the SP Intelligence Awards apart is the depth, independence, and rigor of our evaluation process. Winners are not selected through popularity or superficial metrics. Instead, each participant is assessed using our proprietary, independent data, combined with detailed submissions outlining achievements, innovations, and performance over the past 12 months. This is further reinforced by the collection of consistent, comparable data across all participants, enabling a truly objective benchmarking of competing banks.

As a result, these awards are among the most difficult to win and carry one of the highest reputations in the market.

Each Award for Excellence is granted only to the participant that demonstrates clear and outstanding leadership in its category. Criteria include market share, sustained growth, relative outperformance versus peers, and the ability to adapt to evolving market conditions and client needs - particularly through meaningful innovation.

Following the submission process, our team of researchers, together with an independent jury, conducts a comprehensive and meticulous review of every entry.

Thank you for your continued support of the awards. We look forward to welcoming you to our events.

Submission Guidelines

Each candidate **must submit** a file detailing all products sold for the award, highlighting key aspects of performance over the 12-month period from July, 2025 to June, 2026. Submissions must not exceed four A4 pages and should be sent by email to tiago.fernandes@wsd.com by **August 31 2026**.



The Award Categories

Derivatives House 2026

This award recognizes the leading derivatives house dedicated to hedge structured products and Equity Derivatives. The winner demonstrates excellence in innovation, pricing, and issuance of the most structured products for the US market, as indicated by SPI's league tables. Each broker-dealer and independent broker-dealer will be part of jury, responsible for ranking the finalists based on their performance.

Best Annuity

This award recognizes the best annuity available to consumers over the past 12 months. The jury will primarily consider investors success (total AUM gathered), innovation and estimated performance to investors.

Best Hedge Provider

This award recognizes the provider with higher market share hedging derivatives with carriers and those which provide better post-sales service and the delivery of innovation (submission-based).

Best Carrier

Carriers submitted for this award should demonstrate a proven track record in best-in-class AUM, client service, diversification, and innovation.

Best New Index

This award recognizes the best new index of the year, which outlines the performance, risk diversification and value to investors.

Best Non-Traditional Index Provider

This award recognizes the best non-traditional index provider of the year, which outlines its methodology and value to investors.

Best Issuer

This award recognizes the leading Issuer in USA. The winner demonstrates excellence in innovation (number of payoffs and unique underlyings offered), performance (average return on expired/matured products), and market share (by volume) and growth of the USA market, as indicated by SPI's league table.

Issuer Rising Star

This award celebrates the provider of structured products that had highest growth across US. Each candidate should submit an editorial highlighting growth, size of team, and any other information that helps recognize the winner.

Best Offshore Issuer

This award recognizes the issuer of structured products that delivered the highest innovation, best pricing and issuance of the most structured products for the offshore market (using SPIs league tables).

Best RIA Platform

RIA Platform providers submitting for this award will need to send a submission to demonstrate a proven track record of the quality of their services, including cutting-edge technology, post-sales support, and educational support.

Best Broker Dealer

This award recognizes the best wirehouse in the U.S. for structured products. The winner will be selected based on its position in the sector and the breadth of offering and post-sales service.

Best Wholesaler

This award recognizes the best wholesaler in the U.S. for structured products. The winner will be selected based on its position in the sector and the breadth of offering and post-sales service.

Deal of the Year

The independent jury will recognize the best deal of the year, taking into account deal significance, innovation, and financial impact.

Best MLCD Provider

This award recognizes the issuer of MLCDs that delivered the highest innovation, best pricing, and issuance (using SPI's league tables).

Best Independent Broker-Dealer

Independent broker-dealers submitted for this award will need to demonstrate a proven track record of the quality of their services to the Financial Advisors community including innovation, post-sales, and education support.

Best Structured ETF

This award recognizes the ETF issuer that has most effectively translated structured product payoffs — buffers, caps, and defined-outcome strategies — into the ETF wrapper. The jury will consider AUM growth, number of new fund launches, tracking and replication precision against stated outcomes, and innovation in payoff design over the past 12 months (using SPI's ETF data, supported by issuer submissions).

Hall of Fame

The jury will recognize the winner in this category for their impact on the structured products industry. Any industry stakeholder can submit a candidate who will be analyzed by the independent jury considering the longevity, impact in the industry and highest ethical standards/reputation.