
OFFICE OF RESEARCH AND SPONSORED PROGRAMS
University of New Orleans

Internal Management Directive IMD – 85.004

Effective Date: 7/1/2014

SUBJECT: One For Three Program

PURPOSE

The purpose of the One for Three Program is threefold:

1. Encourage faculty members to seek external funding for research.
 2. Encourage faculty to use external sources of funding to fund doctoral research assistants.
 3. Promote and encourage the recruitment of highly qualified doctoral students.
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DEFINITIONS

Academic Year: Fall and Spring semesters

Full time doctoral graduate RA: Graduate assistant whose duties consist of various research assignments. The student is employed 20 hours/week in this capacity.

External grants: Those grants for which an entity, other than UNO, is the grantor agency;
Ex.: BoR, NSF, NIH, DoD, e.g.

GENERAL POLICY

Academic doctoral departments that support three full-time doctoral research assistantships from external grants for the academic year will be awarded one third of the externally funded support as internal grants for doctoral research assistants the following year. Continuation of this program is decided annually based upon the financial conditions of ORSP and the Graduate School.

AUTHORITY

Internal Management Directives, IMDs, are issued in accord with AP-OP-01.1.

POLICY

At the end of the fiscal year, chairs and graduate coordinators will provide to ORSP a list of doctoral students whose research assistant salaries and/or tuition were funded from external sources. This list will include the student's name, student ID, funding agency name, speed key, and salary/tuition paid (either on a fiscal or academic appointment) during that fiscal year. Students funded from departmental funds, Doctoral Graduate Enhancement funds, Performance and Accountability funds, and any other assistantship funded from internal university funds (including this program and cost share) will not be used in determining the amount of One for Three funding.

The threshold for a department to receive One for Three funding is as follows:

The department must support at least three full-time doctoral research assistantships from external grants for the academic year.

Once the threshold is met, One for Three funding will be calculated as follows:

For every three dollars of doctoral research assistantship support funded from external funds during a given fiscal year, the university will provide one dollar of doctoral assistantship funding to the department to use in the following fiscal year.

The funding under this program is awarded to the department. Therefore, the chair or a departmental committee will determine the doctoral student(s) whose RA position(s) will be supported by this funding.

Examples:

- One or more PI's (Principle Investigators) within a department fund three doctoral research assistants in the Fall and Spring. Each RA receives a \$15,000 stipend and \$6300 in tuition. The department would receive \$21,300 the following fiscal year.
- A department receives \$40,000 from the One for Three program. The department may decide to cover \$6,000 in tuition support for one RA whose stipend is supported via another source; \$15000 in stipend and \$6,000 in tuition support for a second student, and \$13,000 in stipend support (but no tuition) for a third student. Other combinations would also be possible.
- A department funds two doctoral research assistants in the Fall and Spring for \$15,000, and funds a third doctoral student as a full time research assistant in the Summer and Fall (but not the Spring) for \$15,000. The department would receive

\$15,000 in One for Three funding the following fiscal year. This is because the third student's Summer and Fall appointment constituted the equivalent of an academic year full time RA, thus allowing the department to meet the threshold.

- A department received \$45,000 in One for Three funding this current year (FYa). For the following year (FYb), the department only qualified for \$30,000. The amount of funding would be \$30,000 in FYb, regardless of which students were funded via the One for Three awards in FYa, and whether or not any of them had graduated.
- A department received \$45,000 in One for Three funding this current year (FYa). For the following year (FYb), the department did not qualify for One for Three funding. No One for Three funding would be allocated in FYb, regardless of which students were funded via the One for Three awards in FYa, and whether or not any of them had graduated.

Kenneth W. Sewell
Vice President for Research and Economic
Development