



**Schedule 7**  
**Noncompliance Events**  
(See attached.)

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**SCHEDULE 7**

**NONCOMPLIANCE EVENTS**


Ref	VOLUME / CHAPTER	Reference	Noncompliance Event Failure to:	Noncompliance Points per occurrence	Cure Period
1	Concession Agreement	Section 6.4(a)	Notify the Authority of the occurrence of any breach or failure specified in this Schedule 7 in accordance with Section 6.4(a) of the Agreement.	2	None
2	Concession Agreement	Section 8.1	Comply with all reporting requirements set forth in Section 8.1 of the Agreement.	2 per report	None
3	Schedule 4. Tolling Limitations	Schedule 4. Tolling Limitations	Comply with any requirement or instruction defined at the Schedule 4.	2	None
4	Operating Standards, Vol I / All Chapters	Performance Time Frames	Comply with the maximum duration of time to perform work in accordance with the applicable tables set forth in the applicable Chapters.	2	None
5	Operating Standards, Vol I / All Chapters	Performance Time Frames	Comply with the minimum frequency of occurrence to perform particular maintenance operations in accordance with the applicable tables set forth in the applicable Chapters.	2	None
6	Operating Standards, Vol I / All Chapters	Technical parameters and KPIs	Comply with all the values defined for the applicable technical parameters (IRI, rut, stripping, ...)	2 per technical parameter or KPI	None

			and KPIs (tolling, ITS, ...) contemplated at the Vol I.		
7	Operating Standards, Vol II / Chapter A: Organization and General Information	Staffing Identification	Create and maintain the Essential Staff Personnel Matrix in accordance with section A.2.4.	2	30 Days
8	Operating Standards, Vol II / Chapter A: Organization and General Information	Submission and Approval of Plans	Submit the Annual Plans and Reports in accordance with section A.5..	4 per each Annual Plan	None
9	Operating Standards, Vol II / Chapter B. Program Management System Plan	Program Management System Plan Preparation Requirements	Create and maintain the Management Organizational Structure submitted by the Concessionaire and approved by the Authority as part of the Program Management Plan pursuant to section B.4.2.	2	30 Days
10	Operating Standards, Vol II / Chapter B. Program Management System Plan	Program Management System Plan Preparation Requirements	Comply with the policies and procedures required to be submitted by the Concessionaire and approved by the Authority as part of the Program Management Plan.	2	30 Days
11	Operating Standards, Volume II / Chapter C. Quality Management System Plan	Policy for Quality Management System Plan	Obtain before the third anniversary and maintain during the Term a Quality ISO certification in 9004 or updated versions covering all the requirements defined in Chapter C.	3	90 Days
12	Operating Standards, Vol II / Chapter D. Safety Plan	Policy for Safety Plan	Comply in all material respects with all the requirements and procedures of the	2	1 Day

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			Safety Plan and carry out all construction, operation and maintenance activities in a manner that protects the safety of project workers and the general public.		
13	Operating Standards, Vol II / Chapter D. Safety Plan	Safety Plan Preparation Requirements	Train all persons working on the Toll Roads in accordance with the Safety Plan.	2	15 Days
14	Operating Standards, Vol II / Chapter E. Equipment Plan	Policy and Equipment Plan	Dispose of, operate and maintain all the equipment submitted and approved as part of the Equipment Plan.	1	15 Days
15	Operating Standards, Vol II / Chapter F. Toll Collection and Operations Plan	Policy for Toll Collection and Operations Plan	Comply in all material respects with all the policies and procedures submitted by the Concessionaire and approved by the Authority as part of the Toll Collection and Operations Plan.	4	7 Days
16	Operating Standards, Vol II / Chapter G. Facilities Operations Plan	Policy for Facilities Operations Plan	Comply in all material respects with all the policies and procedures submitted by the Concessionaire and approved by the Authority as part of the Facilities Operations Plan.	2	14 Days
17	Operating Standards, Vol II / Chapter H. Traffic and Travel Management Plan	Traffic and Travel Management Plan Preparation Requirements	Comply in all material respects with all the resources, policies, arrangements and procedures submitted by the Concessionaire and approved by the Authority as part of the Traffic and	4	7 Days

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			Travel Management Plan.		
18	Operating Standards, Vol II / Chapter H. Traffic and Travel Management Plan	Traffic and Travel Management Plan Preparation Requirements	Dispose of and operate all the Decision-Support Systems submitted by the Concessionaire and approved by the Authority as part of the Traffic and Travel Management Plan.	4	60 Days
19	Operating Standards, Vol II / Chapter H. Traffic and Travel Management Plan	Standard and Protocols – Work Zone Traffic Control	Maintain General Purpose Lane(s) and DTL(s) open and operational according to the requirements and instructions defined for approved lane closures submitted by the Concessionaire and approved by the Authority as part of the Traffic and Travel Management Plan or specific project or maintenance work plans.	4	2 Hour
20	Operating Standards, Vol II / Chapter H. Traffic and Travel Management Plan	Data Management and Performance Measure	Provide to the Authority access to the data collected through the different Decision-Support Systems submitted by the Concessionaire and approved by the Authority as part of the Traffic and Travel Management Plan.	2	1 Days
21	Operating Standards, Vol II / Chapter I. Customer Service Plan	Customer Service Plan Preparation Requirements	Provide all the Information Services and Travel Information Dissemination services submitted by the Concessionaire and approved by the	2	1 Day

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			Authority as part of the Customer Service Plan.		
22	Operating Standards, Vol II / Chapter J. Emergency Management and Operation Plan	Policy for Customer Service Plan	Implement, execute or perform any process, action, analysis, plan or measure submitted by the Concessionaire and approved by the Authority as part of the Emergency Management and Operation Plan.	3	5 Days
23	Operating Standards, Vol II / Chapter K. Design and Construction Requirements	Policy for Design and Construction Requirements	Execute any project according design and construction guidelines submitted by the Concessionaire and approved by the Authority (including modifications thereto which have been agreed between the Concessionaire and the Authority).	2	2 Days
24	Operating Standards, Vol II / Chapter L. Annual State of the Toll Roads and Capital Improvement Program Reports	Policy for Annual State of the Toll Roads and Capital Improvement Program Reports	Present the reports defined at section L.2.3 in accordance with the requirements defined at the Vol II, Chapter L.	3 per each report	30 Days
25	Operating Standards, Vol II / Chapter L. Annual State of the Toll Roads and Capital Improvement Program Reports	Policy for Annual State of the Toll Roads and Capital Improvement Program Reports	Submit the reports defined in section L.2.3 on or before the minimum frequency of occurrence set forth in Table L.2.3 of the Vol II, Chapter L.	3 per each report	30 Days
26	Operating Standards, Vol III / Chapter A: Organization	Initial Submission of Environment Management Plan	Submit to the Annual Environmental Management Plan in accordance with	4	15 Days

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	and General Information		section A.6, including Initial Submission of Environmental Management Plan, following the guidelines and calendars determined therein.		
27	Operating Standards, Vol III / Chapter B: Environmental Management Plan	Performance Time Frames	Submit the Annual Environmental Management Plan in accordance with the conditions established in section B.3.4, including Performance Time Frames, following the guidelines and calendars determined at the Vol III.	4	15 Days
28	Operating Standards, Vol III / Chapter B: Environmental Management Plan	Environmental Management Plan Preparation Requirements	Comply in all material respects with all the resources, policies, arrangements, licenses, permits, plans and procedures submitted by the Concessionaire and Approved by the Authority set forth in the Annual Environmental Management Plan.	4	15 Days

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