

Legacy Generation
P3A SOQ Evaluation Worksheet

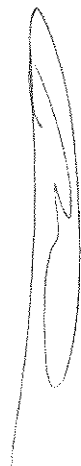
Respondent: AREGSA-11700 Casanovic

Overall Rating: 6.5

Narrative: _____

Evaluation Scores

Summary Evaluation	
Compliance with Requirements of the PPP Act and Act 120	Pass / Fail
SOQ Requirements and Procedures	Pass / Fail
Background & Team Information (25%)	52 / 10
Financial Capabilities (10%)	53 / 10
Technical & Operational Capabilities (50%)	695 / 10
Safety Performance (15%)	72 / 10



1. Compliance with Requirements of PPP Act and Act 120

Compliance with Requirements of PPP Act and Act 120

Pass / Fail for Section:

Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee

Requirements	Score	Pass/Fail	Comments
Respondent provides evidence or certification that it shall be properly registered, or capable of being properly registered, to do business in Puerto Rico at the time of execution of the PPP Contract		<i>Pass</i>	
<i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFO)</i>			
Respondent provides statement it shall comply with all applicable Puerto Rico and U.S. laws and/or requirements		<i>Pass</i>	
<i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFO)</i>			
Each Respondent and Team Member provides a certification that complies with the requirements of Section 3, Part 1, 1.2 of the RFO		<i>Pass</i>	
<i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFO)</i>			
Respondent provides executed Document Acknowledgement and Contact Information letter, on behalf of the Respondent and each Team Member (executed by the contact person ("Respondent Representative") for all future communication between the Authority and the Respondent)		<i>Pass</i>	
<i>(Satisfied by executing the Form of Document Acknowledgement & Contact Information in Appendix B of the RFO)</i>			
Respondent provides an Appendix B Form of Document Acknowledgement & Contact Information stating the documents related to the RFP that were downloaded.		<i>Pass</i>	

Pass

2. SOQ Requirements and Procedures

SOQ Requirements

Pass / Fail for Section: Pass
Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee

Requirements	Pass/Fail	Comments
Respondents shall comply with the SOQ format requirements:		
SOQ must be prepared in English	Pass	
Cover page (to include identification of all management Team Members)	Pass	
Cover Letter (two pages maximum)	Pass	
Table of Contents	Pass	
Executive Summary (two pages maximum)	Pass	
SOQ is delivered no later than the Submission Deadline	Pass	
Respondent declares, and agrees to be under an obligation to declare, that it does not have knowledge of or the ability to avail itself of confidential information of the Government, PREPA or the Authority relevant to the Project, except to the extent it has been expressly authorized by the Government, PREPA, or the Authority	Pass	
Respondent declares, if applicable, any Conflicts of Interest or any situation that may be reasonably perceived as a Conflict of Interest that exists now or may exist in the future, in accordance with Section 4.7 of the RFQ	Pass	

Scored Sections

Use the following worksheets to rate the substantive sections of the Respondents' SOQs, assigning a score to each section as follows.

Excellent (9-10 pts): The Respondent has provided information relative to its qualifications which indicates a consistently outstanding level of quality. No weaknesses are identified.

Good (7-8 pts): The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses (if any) are identified and no correction is necessary.

Adequate (5-6 pts): The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses are identified and need to be corrected.

Deficient (3-4 pts): The Respondent has presented information relative to its qualifications which fails to fully meet the stated requirements. Major weaknesses are identified and need to be corrected.

Poor (0-2 pts): The Respondent has either presented information relative to its qualifications that contains significant weaknesses or the required information was not presented. Weaknesses are so major that a major revision to the SOQ would be necessary.

3. Background & Team Information

Background & Team Information (25%)

Section Score (0-10): _____

(15 pages maximum, excluding resumes)

(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
<p>Respondent and Team Member(s) are encouraged to provide enough supporting information and details to enable the evaluators to perform a thorough evaluation of their strengths, roles and responsibilities</p>			
<p>A. Respondent shall provide a description of the Respondent and all Team Members that identifies</p> <ul style="list-style-type: none"> • Anticipated roles, functions and overview of business operations • Jurisdiction, form of entity organization, ownership structure and capitalization • Anticipated legal relationships (e.g. joint ventures, partnerships), and percentage of ownership interest • Up to five (5) individuals who will play an important role on behalf of the Respondent and Team Member(s) (the "Key Individuals") and their roles and previous experience (experience of the individuals should include experience in all phases of power plant project development including operating, maintaining, repairing, managing capital expenditures and decommissioning combined cycle, gas turbines and steam turbine generation plants) • Instances of working with Spanish-speaking workforces 	70%	5	Key persons role identified

Background & Team Information (25%)

Section Score (0-10): _____

(15 pages maximum, excluding resumes)

(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
<ul style="list-style-type: none"> Instances, if relevant, in which the Respondent and Team Member(s) have worked together 			<p><i>No experience as Team members working together.</i></p>
<ul style="list-style-type: none"> Evidence and tenor of operations and management experience in electric power generation (including experience with operating agreements) <p>Anticipated roles and legal relationships include, among other relevant descriptions, whether Respondent is the entity expected to submit the response to the RFP and execute the PPP Contract as the Private Partner. Description also includes the entity expected to guarantee the Private Partner's performance under the PPP Contract in the case where Respondent is not the Private Partner (to the extent known at this time).</p>			<p><i>See Opal experience</i></p>
<p>B. Respondent provides resumes for specific Key Individuals, including Spanish-speaking skills. The anticipated management team is comprised of individuals with at least ten (10) years of relevant electric generation managerial experience for all executive-level positions</p>	20%	7	<p><i>Resumes were provided</i></p>
<p>C. Respondent submits a list of all technical, financial, legal, accounting, or other advisors that the Respondent or any Team Member has engaged or intends to engage</p>	10%	3	<p><i>the person did</i></p>

4. Financial Capabilities

5,3)

Financial Capabilities (10%)

Section Score (0-10): _____

(10 pages maximum, excluding audited financials & supporting information)
 (The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
Financial Capacity of Team: Respondent must demonstrate adequate financial wherewithal to fulfill the terms of the PPP Contract. Each Respondent or at least one (1) Team Member must provide:			
A. Evidence of the financial capability to obtain operational security in the form of an unconditional and irrevocable direct pay letter(s) of credit	30%	7	Good credit line access.
B. Evidence of creditworthiness, such as credit ratings, if any, for each Team Member, or historical metrics supporting credit quality comparable to those used by credit analysts	40%	5	
C. Copies of financial statements (audited preferred), Form 10-Ks, 20-Fs or similar types of annual reports for the past two (2) years, together with any other relevant financial information	30%	4	Results for 2018 not provided

5. Technical & Operational Capabilities

6.95

Technical & Operational Capabilities (50%)
 Section Score (0-10): _____

(50 pages maximum)

(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
<p>Respondent must demonstrate its technical and operational capabilities to fulfill the terms of the PPP Contract. At least one (1) Team Member must provide:</p>			
<p>A. Concurrently operating and maintaining either (i) two (2) or more oil and/or gas-fired generating facilities larger than 100 MW, or (ii) a fleet of at least 1,000 MW combined of oil and/or gas-fired generating facilities;</p>	20%	4	
<p>B. Repairing and/or replacing oil and/or gas-fired generating facilities</p>	10%	7	
<p>C. Experience with execution or oversight of plant decommissioning, including the procurement of qualified subcontractors to decommission power generation facilities</p>	10%	7	
<p>D. Demonstrated ability to safely operate similar technology generation facilities and managing outages and maintenance programs in order to achieve the operating objectives of the T&D Operator;</p>	20%	6	

Technical & Operational Capabilities (50%)
Section Score (0-10): _____

(50 pages maximum)
 (The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
E. Managing fuel supply for similar or similarly situated generating facilities, including delivery and storage and fuel quality testing;	20%	7	they have some experience with processing, handling & storage fuel
F. Names of the locations where the Respondent or subcontractors have performed similar work as described above;	5%	7	
G. Certification of no significant or sustained environmental regulation violations or OSHA fines/violations;	10%	10	ISO-14001, OSHA 18001, etc
H. A demonstrable history of compliance with energy related policies, practices, and regulations from a state, commission, or other regulatory body	5%	8	

6. Safety Performance

Safety Performance (15%) *77*

Section Score (0-10): _____

Requirements	Weighting	Score	Comments
Respondent and Team Member(s) demonstrate their ability to address and resolve safety issues and their knowledge of safety strategies and methodologies			
A. Demonstrated ability to address and resolve safety issues	30%	<i>8</i>	
B. Demonstrated knowledge of safety strategies and methodologies	40%	<i>8</i>	
C. Submit copies of the Occupational Safety and Health Administration (OSHA) 300 forms for the past three (3) years, only as related to electric generation operations. If not applicable, Respondent and Team Member(s) must present a document explaining the reasons for not submitting the form	30%	<i>7</i>	<i>Some OSHA data missing (not provided)</i>

Legacy Generation
P3A SOQ Evaluation Worksheet

Respondent: Brownell Energy LLC

Overall Rating: 10/6

Narrative: _____

Evaluation Scores

Summary Evaluation	
Compliance with Requirements of the PPP Act and Act 120	Pass / Fail
SOQ Requirements and Procedures	Pass / Fail
Background & Team Information (25%)	2.4 / 10
Financial Capabilities (10%)	0 / 10
Technical & Operational Capabilities (50%)	7.35 / 10
Safety Performance (15%)	7.2 / 10

1. Compliance with Requirements of PPP Act and Act 120

Compliance with Requirements of PPP Act and Act 120

Pass / Fail for Section:

Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee

Requirements	Score	Pass/Fail	Comments
Respondent provides evidence or certification that it shall be properly registered, or capable of being properly registered, to do business in Puerto Rico at the time of execution of the PPP Contract <i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFO)</i>		Pass	
Respondent provides statement it shall comply with all applicable Puerto Rico and U.S. laws and/or requirements <i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFO)</i>		Pass	
Each Respondent and Team Member provides a certification that complies with the requirements of Section 3, Part 1, 1.2 of the RFO <i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFO)</i>		Pass	
Respondent provides executed Document Acknowledgement and Contact Information letter, on behalf of the Respondent and each Team Member (executed by the contact person ("Respondent Representative") for all future communication between the Authority and the Respondent) <i>(Satisfied by executing the Form of Document Acknowledgement & Contact Information in Appendix B of the RFO)</i>		Pass	
Respondent provides an Appendix B Form of Document Acknowledgement & Contact Information stating the documents related to the RFP that were downloaded.		Pass	

2. SOQ Requirements and Procedures

Handwritten signature

SOQ Requirements

Pass / Fail for Section: _____
Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee

Requirements	Pass/Fail	Comments
Respondents shall comply with the SOQ format requirements:		
SOQ must be prepared in English	<i>Pass</i>	
Cover page (to include identification of all management Team Members)	<i>Pass</i>	
Cover Letter (two pages maximum)	<i>Pass</i>	
Table of Contents	<i>Pass</i>	
Executive Summary (two pages maximum)	<i>Pass</i>	
SOQ is delivered no later than the Submission Deadline	<i>Pass</i>	
Respondent declares, and agrees to be under an obligation to declare, that it does not have knowledge of or the ability to avail itself of confidential information of the Government, PREPA or the Authority relevant to the Project, except to the extent it has been expressly authorized by the Government, PREPA, or the Authority	<i>Pass</i>	
Respondent declares, if applicable, any Conflicts of Interest or any situation that may be reasonably perceived as a Conflict of Interest that exists now or may exist in the future, in accordance with Section 4.7 of the RFQ	<i>Pass</i>	

Privileged and Confidential

Scored Sections

Use the following worksheets to rate the substantive sections of the Respondents' SOQs, assigning a score to each section as follows.

Excellent (9-10 pts): The Respondent has provided information relative to its qualifications which indicates a consistently outstanding level of quality. No weaknesses are identified.

Good (7-8 pts): The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses (if any) are identified and no correction is necessary.

Adequate (5-6 pts): The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses are identified and need to be corrected.

Deficient (3-4 pts): The Respondent has presented information relative to its qualifications which fails to fully meet the stated requirements. Major weaknesses are identified and need to be corrected.

Poor (0-2 pts): The Respondent has either presented information relative to its qualifications that contains significant weaknesses or the required information was not presented. Weaknesses are so major that a major revision to the SOQ would be necessary.

3. Background & Team Information

Background & Team Information (25%)

Section Score (0-10): 7.4

(15 pages maximum, excluding resumes)

(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
<p>Respondent and Team Member(s) are encouraged to provide enough supporting information and details to enable the evaluators to perform a thorough evaluation of their strengths, roles and responsibilities</p> <p>A. Respondent shall provide a description of the Respondent and all Team Members that identifies</p> <ul style="list-style-type: none"> • Anticipated roles, functions and overview of business operations • Jurisdiction, form of entity organization, ownership structure and capitalization • Anticipated legal relationships (e.g. joint ventures, partnerships), and percentage of ownership interest • Up to five (5) individuals who will play an important role on behalf of the Respondent and Team Member(s) (the "Key Individuals") and their roles and previous experience (experience of the individuals should include experience in all phases of power plant project development including operating, maintaining, repairing, managing capital expenditures and decommissioning combined cycle, gas turbines and steam turbine generation plants) • Instances of working with Spanish-speaking workforces 	70%	7	Specify roles & functions not above
			there are business in different parts of the world.

Background & Team Information (25%)

Section Score (0-10): _____

(15 pages maximum, excluding resumes)

(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
<ul style="list-style-type: none"> Instances, if relevant, in which the Respondent and Team Member(s) have worked together 			<p><i>Best ability of Team work not provided</i></p>
<ul style="list-style-type: none"> Evidence and tenor of operations and management experience in electric power generation (including experience with operating agreements) 			<p><i>More experience in management than O&M.</i></p>
<p>Anticipated roles and legal relationships include, among other relevant descriptions, whether Respondent is the entity expected to submit the response to the RFP and execute the PPP Contract as the Private Partner. Description also includes the entity expected to guarantee the Private Partner's performance under the PPP Contract in the case where Respondent is not the Private Partner (to the extent known at this time).</p>			
<p>B. Respondent provides resumes for specific Key Individuals, including Spanish-speaking skills. The anticipated management team is comprised of individuals with at least ten (10) years of relevant electric generation managerial experience for all executive-level positions</p>	20%	8	<p><i>Resumes provided.</i></p>
<p>C. Respondent submits a list of all technical, financial, legal, accounting, or other advisors that the Respondent or any Team Member has engaged or intends to engage</p>	10%	9	

4. Financial Capabilities

Financial Capabilities (10%)

Section Score (0-10): _____

(10 pages maximum, excluding audited financials & supporting information)
 (The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
Financial Capacity of Team: Respondent must demonstrate adequate financial wherewithal to fulfill the terms of the PPP Contract. Each Respondent or at least one (1) Team Member must provide:			
A. Evidence of the financial capability to obtain operational security in the form of an unconditional and irrevocable direct pay letter(s) of credit	30%	0	Not provided
B. Evidence of creditworthiness, such as credit ratings, if any, for each Team Member, or historical metrics supporting credit quality comparable to those used by credit analysts	40%	0	Not provided
C. Copies of financial statements (audited preferred), Form 10-Ks, 20-Fs or similar types of annual reports for the past two (2) years, together with any other relevant financial information	30%	0	

5. Technical & Operational Capabilities

7.35

Technical & Operational Capabilities (50%)
Section Score (0-10):

(50 pages maximum)

(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
Respondent must demonstrate its technical and operational capabilities to fulfill the terms of the PPP Contract. At least one (1) Team Member must provide:			
A. Concurrently operating and maintaining either (i) two (2) or more oil and/or gas-fired generating facilities larger than 100 MW, or (ii) a fleet of at least 1,000 MW combined of oil and/or gas-fired generating facilities;	20%	9	Good experience on O&M provided.
B. Repairing and/or replacing oil and/or gas-fired generating facilities	10%	6	not clear on their capabilities
C. Experience with execution or oversight of plant decommissioning, including the procurement of qualified subcontractors to decommission power generation facilities	10%	9	
D. Demonstrated ability to safely operate similar technology generation facilities and managing outages and maintenance programs in order to achieve the operating objectives of the T&D Operator;	20%	6	Information was provided via through with some discrepancies.

Technical & Operational Capabilities (50%)

Section Score (0-10): _____

(50 pages maximum)

(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
E. Managing fuel supply for similar or similarly situated generating facilities, including delivery and storage and fuel quality testing;	20%	8	
F. Names of the locations where the Respondent or subcontractors have performed similar work as described above;	5%	9	Experience listed
G. Certification of no significant or sustained environmental regulation violations or OSHA fines/violations;	10%	5	Not clear
H. A demonstrable history of compliance with energy related policies, practices, and regulations from a state, commission, or other regulatory body	5%	6	

6. Safety Performance

Safety Performance (15%)

7.25

Section Score (0-10): _____

Requirements	Weighting	Score	Comments
Respondent and Team Member(s) demonstrate their ability to address and resolve safety issues and their knowledge of safety strategies and methodologies			
A. Demonstrated ability to address and resolve safety issues	30%	8	
B. Demonstrated knowledge of safety strategies and methodologies	40%	9	
C. Submit copies of the Occupational Safety and Health Administration (OSHA) 300 forms for the past three (3) years, only as related to electric generation operations. If not applicable, Respondent and Team Member(s) must present a document explaining the reasons for not submitting the form	30%	4	Too many test days.

Legacy Generation
P3A SOQ Evaluation Worksheet

Respondent: Consolidated Asset Management Services LLC

Overall Rating: Fail

Narrative: _____

Evaluation Scores

Summary Evaluation	
Compliance with Requirements of the PPP Act and Act 120	Pass / Fail
SOQ Requirements and Procedures	Pass / Fail
Background & Team Information (25%)	___ / 10
Financial Capabilities (10%)	___ / 10
Technical & Operational Capabilities (50%)	___ / 10
Safety Performance (15%)	___ / 10

1. Compliance with Requirements of PPP Act and Act 120

Compliance with Requirements of PPP Act and Act 120

Pass / Fail for Section:

Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee

Requirements	Score	Pass/Fail	Comments
Respondent provides evidence or certification that it shall be properly registered, or capable of being properly registered, to do business in Puerto Rico at the time of execution of the PPP Contract		Pass	
<i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)</i>		Pass	
Respondent provides statement it shall comply with all applicable Puerto Rico and U.S. laws and/or requirements		Pass	
<i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)</i>		Pass	
Each Respondent and Team Member provides a certification that complies with the requirements of Section 3, Part 1, 1.2 of the RFQ		Pass	
<i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)</i>		Pass	
Respondent provides executed Document Acknowledgement and Contact Information letter, on behalf of the Respondent and each Team Member (executed by the contact person ("Respondent Representative") for all future communication between the Authority and the Respondent)		Pass	
<i>(Satisfied by executing the Form of Document Acknowledgement & Contact Information in Appendix B of the RFQ)</i>		Pass	
Respondent provides an Appendix B Form of Document Acknowledgement & Contact Information stating the documents related to the RFP that were downloaded.		Pass	

2. SOQ Requirements and Procedures

Pass

SOQ Requirements

Pass / Fail for Section: _____

Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee

Requirements	Pass/Fail	Comments
Respondents shall comply with the SOQ format requirements:		
SOQ must be prepared in English	<i>Pass</i>	
Cover page (to include identification of all management Team Members)	<i>Pass</i>	
Cover Letter (two pages maximum)	<i>Pass</i>	
Table of Contents	<i>Pass</i>	
Executive Summary (two pages maximum)	<i>Pass</i>	
SOQ is delivered no later than the Submission Deadline	<i>Fail</i>	<i>Electronic signature received 9/22/2020</i>
Respondent declares, and agrees to be under an obligation to declare, that it does not have knowledge of or the ability to avail itself of confidential information of the Government, PREPA or the Authority relevant to the Project, except to the extent it has been expressly authorized by the Government, PREPA, or the Authority	<i>Pass</i>	
Respondent declares, if applicable, any Conflicts of Interest or any situation that may be reasonably perceived as a Conflict of Interest that exists now or may exist in the future, in accordance with Section 4.7 of the RFQ	<i>Pass</i>	

[Handwritten signature]

Scored Sections

Use the following worksheets to rate the substantive sections of the Respondents' SOQs, assigning a score to each section as follows.

Excellent (9-10 pts): The Respondent has provided information relative to its qualifications which indicates a consistently outstanding level of quality. No weaknesses are identified.

Good (7-8 pts): The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses (if any) are identified and no correction is necessary.

Adequate (5-6 pts): The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses are identified and need to be corrected.

Deficient (3-4 pts): The Respondent has presented information relative to its qualifications which fails to fully meet the stated requirements. Major weaknesses are identified and need to be corrected.

Poor (0-2 pts): The Respondent has either presented information relative to its qualifications that contains significant weaknesses or the required information was not presented. Weaknesses are so major that a major revision to the SOQ would be necessary.

3. Background & Team Information

Background & Team Information (25%)

Section Score (0-10): _____

(15 pages maximum, excluding resumes)

(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
<p>Respondent and Team Member(s) are encouraged to provide enough supporting information and details to enable the evaluators to perform a thorough evaluation of their strengths, roles and responsibilities</p> <p>A. Respondent shall provide a description of the Respondent and all Team Members that identifies</p> <ul style="list-style-type: none"> • Anticipated roles, functions and overview of business operations • Jurisdiction, form of entity organization, ownership structure and capitalization • Anticipated legal relationships (e.g. joint ventures, partnerships), and percentage of ownership interest • Up to five (5) individuals who will play an important role on behalf of the Respondent and Team Member(s) (the "Key Individuals") and their roles and previous experience (experience of the individuals should include experience in all phases of power plant project development including operating, maintaining, repairing, managing capital expenditures and decommissioning combined cycle, gas turbines and steam turbine generation plants) • Instances of working with Spanish-speaking workforces 	70%		

Background & Team Information (25%)

Section Score (0-10): _____

(15 pages maximum, excluding resumes)

(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
<ul style="list-style-type: none"> Instances, if relevant, in which the Respondent and Team Member(s) have worked together 			
<ul style="list-style-type: none"> Evidence and tenor of operations and management experience in electric power generation (including experience with operating agreements) 			
<p>Anticipated roles and legal relationships include, among other relevant descriptions, whether Respondent is the entity expected to submit the response to the RFP and execute the PPP Contract as the Private Partner. Description also includes the entity expected to guarantee the Private Partner's performance under the PPP Contract in the case where Respondent is not the Private Partner (to the extent known at this time).</p>			
<p>B. Respondent provides resumes for specific Key Individuals, including Spanish-speaking skills. The anticipated management team is comprised of individuals with at least ten (10) years of relevant electric generation managerial experience for all executive-level positions</p>	20%		
<p>C. Respondent submits a list of all technical, financial, legal, accounting, or other advisors that the Respondent or any Team Member has engaged or intends to engage</p>	10%		

4. Financial Capabilities

Financial Capabilities (10%)

Section Score (0-10): _____

(10 pages maximum, excluding audited financials & supporting information)

(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
<p>Financial Capacity of Team: Respondent must demonstrate adequate financial wherewithal to fulfill the terms of the PPP Contract. Each Respondent or at least one (1) Team Member must provide:</p>			
<p>A. Evidence of the financial capability to obtain operational security in the form of an unconditional and irrevocable direct pay letter(s) of credit</p>	30%		
<p>B. Evidence of creditworthiness, such as credit ratings, if any, for each Team Member, or historical metrics supporting credit quality comparable to those used by credit analysts</p>	40%		
<p>C. Copies of financial statements (audited preferred), Form 10-Ks, 20-Fs or similar types of annual reports for the past two (2) years, together with any other relevant financial information</p>	30%		

5. Technical & Operational Capabilities

Technical & Operational Capabilities (50%)
 Section Score (0-10): _____

(50 pages maximum)
 (The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
<p>Respondent must demonstrate its technical and operational capabilities to fulfill the terms of the PPP Contract. At least one (1) Team Member must provide:</p> <p>A. Concurrently operating and maintaining either (i) two (2) or more oil and/or gas-fired generating facilities larger than 100 MW, or (ii) a fleet of at least 1,000 MW combined of oil and/or gas-fired generating facilities;</p> <p>B. Repairing and/or replacing oil and/or gas-fired generating facilities</p> <p>C. Experience with execution or oversight of plant decommissioning, including the procurement of qualified subcontractors to decommission power generation facilities</p> <p>D. Demonstrated ability to safely operate similar technology generation facilities and managing outages and maintenance programs in order to achieve the operating objectives of the T&D Operator;</p>	20%		
	10%		
	10%		
	20%		

Technical & Operational Capabilities (50%)
Section Score (0-10): _____

(50 pages maximum)

(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
E. Managing fuel supply for similar or similarly situated generating facilities, including delivery and storage and fuel quality testing:	20%		
F. Names of the locations where the Respondent or subcontractors have performed similar work as described above:	5%		
G. Certification of no significant or sustained environmental regulation violations or OSHA fines/violations:	10%		
H. A demonstrable history of compliance with energy related policies, practices, and regulations from a state, commission, or other regulatory body	5%		

6. Safety Performance

Safety Performance (15%)

Section Score (0-10): _____

Requirements	Weighting	Score	Comments
Respondent and Team Member(s) demonstrate their ability to address and resolve safety issues and their knowledge of safety strategies and methodologies			
A. Demonstrated ability to address and resolve safety issues	30%		
B. Demonstrated knowledge of safety strategies and methodologies	40%		
C. Submit copies of the Occupational Safety and Health Administration (OSHA) 300 forms for the past three (3) years, only as related to electric generation operations. If not applicable, Respondent and Team Member(s) must present a document explaining the reasons for not submitting the form	30%		

Legacy Generation
P3A SOQ Evaluation Worksheet

Respondent: Eco Electric, LP

Overall Rating: 79

Narrative: _____

Evaluation Scores



<u>Summary Evaluation</u>	
Compliance with Requirements of the PPP Act and Act 120	Pass / Fail <u>Pass</u>
SOQ Requirements and Procedures	Pass / Fail <u>Pass</u>
Background & Team Information (25%)	<u>82</u> / 10
Financial Capabilities (10%)	<u>84</u> / 10
Technical & Operational Capabilities (50%)	<u>78</u> / 10
Safety Performance (15%)	<u>93</u> / 10

1. Compliance with Requirements of PPP Act and Act 120

Compliance with Requirements of PPP Act and Act 120

Pass / Fail for Section:

Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee

Requirements	Score	Pass/Fail	Comments
Respondent provides evidence or certification that it shall be properly registered, or capable of being properly registered, to do business in Puerto Rico at the time of execution of the PPP Contract		Pass	
<i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFO)</i> Respondent provides statement it shall comply with all applicable Puerto Rico and U.S. laws and/or requirements		Pass	
<i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFO)</i> Each Respondent and Team Member provides a certification that complies with the requirements of Section 3, Part 1, 1.2 of the RFO		Pass	
<i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFO)</i> Respondent provides executed Document Acknowledgement and Contact Information letter, on behalf of the Respondent and each Team Member (executed by the contact person ("Respondent Representative") for all future communication between the Authority and the Respondent)		Pass	
<i>(Satisfied by executing the Form of Document Acknowledgement & Contact Information in Appendix B of the RFO)</i> Respondent provides an Appendix B Form of Document Acknowledgement & Contact Information stating the documents related to the RFP that were downloaded.		Pass	

2. SOQ Requirements and Procedures

SOQ Requirements

Pass / Fail for Section: Pass
Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee

Requirements	Pass/Fail	Comments
Respondents shall comply with the SOQ format requirements:		
SOQ must be prepared in English	Pass	
Cover page (to include identification of all management Team Members)	Pass	
Cover Letter (two pages maximum)	Pass	
Table of Contents	Pass	
Executive Summary (two pages maximum)	Pass	
SOQ is delivered no later than the Submission Deadline	Pass	
Respondent declares, and agrees to be under an obligation to declare, that it does not have knowledge of or the ability to avail itself of confidential information of the Government, PREPA or the Authority relevant to the Project, except to the extent it has been expressly authorized by the Government, PREPA, or the Authority	Pass	
Respondent declares, if applicable, any Conflicts of Interest or any situation that may be reasonably perceived as a Conflict of Interest that exists now or may exist in the future, in accordance with Section 4.7 of the RFQ	Pass	

Scored Sections

Use the following worksheets to rate the substantive sections of the Respondents' SOQs, assigning a score to each section as follows.

Excellent (9-10 pts): The Respondent has provided information relative to its qualifications which indicates a consistently outstanding level of quality. No weaknesses are identified.

Good (7-8 pts): The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses (if any) are identified and no correction is necessary.

Adequate (5-6 pts): The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses are identified and need to be corrected.

Deficient (3-4 pts): The Respondent has presented information relative to its qualifications which fails to fully meet the stated requirements. Major weaknesses are identified and need to be corrected.

Poor (0-2 pts): The Respondent has either presented information relative to its qualifications that contains significant weaknesses or the required information was not presented. Weaknesses are so major that a major revision to the SOQ would be necessary.

3. Background & Team Information

Background & Team Information (25%)

Section Score (0-10): 8.27

(15 pages maximum, excluding resumes)

(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
<p>Respondent and Team Member(s) are encouraged to provide enough supporting information and details to enable the evaluators to perform a thorough evaluation of their strengths, roles and responsibilities</p>			
<p>A. Respondent shall provide a description of the Respondent and all Team Members that identifies</p> <ul style="list-style-type: none"> • Anticipated roles, functions and overview of business operations • Jurisdiction, form of entity organization, ownership structure and capitalization • Anticipated legal relationships (e.g. joint ventures, partnerships), and percentage of ownership interest • Up to five (5) individuals who will play an important role on behalf of the Respondent and Team Member(s) (the "Key Individuals") and their roles and previous experience (experience of the individuals should include experience in all phases of power plant project development including operating, maintaining, repairing, managing capital expenditures and decommissioning combined cycle, gas turbines and steam turbine generation plants) • Instances of working with Spanish-speaking workforces 	70%	8	<p>Detailed structure and strength for award</p>
			<p>Spanish Speaking experience</p>

Background & Team Information (25%)

Section Score (0-10): _____

(15 pages maximum, excluding resumes)

(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
<ul style="list-style-type: none"> Instances, if relevant, in which the Respondent and Team Member(s) have worked together 			
<ul style="list-style-type: none"> Evidence and tenor of operations and management experience in electric power generation (including experience with operating agreements) 			<i>Very good experience with this</i>
<p>Anticipated roles and legal relationships include, among other relevant descriptions, whether Respondent is the entity expected to submit the response to the RFP and execute the PPP Contract as the Private Partner. Description also includes the entity expected to guarantee the Private Partner's performance under the PPP Contract in the case where Respondent is not the Private Partner (to the extent known at this time).</p>			
<p>B. Respondent provides resumes for specific Key Individuals, including Spanish-speaking skills. The anticipated management team is comprised of individuals with at least ten (10) years of relevant electric generation managerial experience for all executive-level positions</p>	20%	<i>8</i>	<i>Key personnel resumes were provided.</i>
<p>C. Respondent submits a list of all technical, financial, legal, accounting, or other advisors that the Respondent or any Team Member has engaged or intends to engage</p>	10%	<i>10</i>	

4. Financial Capabilities

Financial Capabilities (10%)

8.67

Section Score (0-10): _____

(10 pages maximum, excluding audited financials & supporting information)
 (The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
Financial Capacity of Team: Respondent must demonstrate adequate financial wherewithal to fulfill the terms of the PPP Contract. Each Respondent or at least one (1) Team Member must provide:			
A. Evidence of the financial capability to obtain operational security in the form of an unconditional and irrevocable direct pay letter(s) of credit	30%	8	
B. Evidence of creditworthiness, such as credit ratings, if any, for each Team Member, or historical metrics supporting credit quality comparable to those used by credit analysts	40%	8	Some info not clear
C. Copies of financial statements (audited preferred), Form 10-Ks, 20-Fs or similar types of annual reports for the past two (2) years, together with any other relevant financial information	30%	10	Financials were provided as requested

5. Technical & Operational Capabilities

7.25

Technical & Operational Capabilities (50%)
 Section Score (0-10): _____

(50 pages maximum)

(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
Respondent must demonstrate its technical and operational capabilities to fulfill the terms of the PPP Contract. At least one (1) Team Member must provide:			
A. Concurrently operating and maintaining either (i) two (2) or more oil and/or gas-fired generating facilities larger than 100 MW, or (ii) a fleet of at least 1,000 MW combined of oil and/or gas-fired generating facilities;	20%	7	
B. Repairing and/or replacing oil and/or gas-fired generating facilities	10%	5	More switches required
C. Experience with execution or oversight of plant decommissioning, including the procurement of qualified subcontractors to decommission power generation facilities	10%	4	
D. Demonstrated ability to safely operate similar technology generation facilities and managing outages and maintenance programs in order to achieve the operating objectives of the T&D Operator;	20%	4	

Technical & Operational Capabilities (50%)
Section Score (0-10): _____

(50 pages maximum)
 (The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
E. Managing fuel supply for similar or similarly situated generating facilities, including delivery and storage and fuel quality testing;	20%	9	Very good experience with LMO & other fuel
F. Names of the locations where the Respondent or subcontractors have performed similar work as described above:	5%	8	
G. Certification of no significant or sustained environmental regulation violations or OSHA fines/violations;	10%	9	
H. A demonstrable history of compliance with energy related policies, practices, and regulations from a state, commission, or other regulatory body	5%	9	

6. Safety Performance

Safety Performance (15%)

9.33

Section Score (0-10): _____

Requirements	Weighting	Score	Comments
Respondent and Team Member(s) demonstrate their ability to address and resolve safety issues and their knowledge of safety strategies and methodologies			
A. Demonstrated ability to address and resolve safety issues	30%	9	ISO 14001, OHSMS 18001 Key defined procedures
B. Demonstrated knowledge of safety strategies and methodologies	40%	9	
C. Submit copies of the Occupational Safety and Health Administration (OSHA) 300 forms for the past three (3) years, only as related to electric generation operations. If not applicable, Respondent and Team Member(s) must present a document explaining the reasons for not submitting the form	30%	10	cell

Legacy Generation
P3A SOQ Evaluation Worksheet

Respondent: Impress Generators of The Shoreland Training SA.

Overall Rating: 7.5

Narrative: _____

Evaluation Scores

Summary Evaluation	
Compliance with Requirements of the PPP Act and Act 120	Pass / Fail
SOQ Requirements and Procedures	Pass / Fail
Background & Team Information (25%)	24 / 10
Financial Capabilities (10%)	8 / 10
Technical & Operational Capabilities (50%)	25 / 10
Safety Performance (15%)	21 / 10

1. Compliance with Requirements of PPP Act and Act 120

Compliance with Requirements of PPP Act and Act 120

Pass / Fail for Section: Pass

Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee

Requirements	Score	Pass/Fail	Comments
Respondent provides evidence or certification that it shall be properly registered, or capable of being properly registered, to do business in Puerto Rico at the time of execution of the PPP Contract		Pass	
(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFO)		Pass	
Respondent provides statement it shall comply with all applicable Puerto Rico and U.S. laws and/or requirements		Pass	
(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFO)		Pass	
Each Respondent and Team Member provides a certification that complies with the requirements of Section 3, Part 1, 1.2 of the RFO		Pass	
(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFO)		Pass	
Respondent provides executed Document Acknowledgement and Contact Information letter, on behalf of the Respondent and each Team Member (executed by the contact person ("Respondent Representative") for all future communication between the Authority and the Respondent)		Pass	
(Satisfied by executing the Form of Document Acknowledgement & Contact Information in Appendix B of the RFO)		Pass	
Respondent provides an Appendix B Form of Document Acknowledgement & Contact Information stating the documents related to the RFP that were downloaded.		Pass	

2. SOQ Requirements and Procedures

Pass

SOQ Requirements

Pass / Fail for Section: Pass
Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee

Requirements	Pass/Fail	Comments
Respondents shall comply with the SOQ format requirements:		
SOQ must be prepared in English	<i>Pass</i>	
Cover page (to include identification of all management Team Members)	<i>Pass</i>	
Cover Letter (two pages maximum)	<i>Pass</i>	
Table of Contents	<i>Pass</i>	
Executive Summary (two pages maximum)	<i>Pass</i>	
SOQ is delivered no later than the Submission Deadline	<i>Pass</i>	
Respondent declares, and agrees to be under an obligation to declare, that it does not have knowledge of or the ability to avail itself of confidential information of the Government, PREPA or the Authority relevant to the Project, except to the extent it has been expressly authorized by the Government, PREPA, or the Authority	<i>Pass</i>	
Respondent declares, if applicable, any Conflicts of Interest or any situation that may be reasonably perceived as a Conflict of Interest that exists now or may exist in the future, in accordance with Section 4.7 of the RFQ	<i>Pass</i>	

Scored Sections

Use the following worksheets to rate the substantive sections of the Respondents' SOQs, assigning a score to each section as follows.

Excellent (9-10 pts): The Respondent has provided information relative to its qualifications which indicates a consistently outstanding level of quality. No weaknesses are identified.

Good (7-8 pts): The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses (if any) are identified and no correction is necessary.

Adequate (5-6 pts): The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses are identified and need to be corrected.

Deficient (3-4 pts): The Respondent has presented information relative to its qualifications which fails to fully meet the stated requirements. Major weaknesses are identified and need to be corrected.

Poor (0-2 pts): The Respondent has either presented information relative to its qualifications that contains significant weaknesses or the required information was not presented. Weaknesses are so major that a major revision to the SOQ would be necessary.

3. Background & Team Information

Background & Team Information (25%)

Section Score (0-10): _____

(15 pages maximum, excluding resumes)

(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

7.47

Requirements	Weighting	Score	Comments
<p>Respondent and Team Member(s) are encouraged to provide enough supporting information and details to enable the evaluators to perform a thorough evaluation of their strengths, roles and responsibilities</p>			
<p>A. Respondent shall provide a description of the Respondent and all Team Members that identifies</p> <ul style="list-style-type: none"> • Anticipated roles, functions and overview of business operations • Jurisdiction, form of entity organization, ownership structure and capitalization • Anticipated legal relationships (e.g. joint ventures, partnerships), and percentage of ownership interest • Up to five (5) individuals who will play an important role on behalf of the Respondent and Team Member(s) (the "Key Individuals") and their roles and previous experience (experience in all phases of power plant project development including operating, maintaining, repairing, managing capital expenditures and decommissioning combined cycle, gas turbines and steam turbine generation plants) • Instances of working with Spanish-speaking workforces 	70%	8	<p>Required information was provided. Some was not clear</p>
			<p>Spanish speaking <u>OK</u></p>

Background & Team Information (25%)

Section Score (0-10): _____

(15 pages maximum, excluding resumes)

(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
<ul style="list-style-type: none"> Instances, if relevant, in which the Respondent and Team Member(s) have worked together Evidence and tenor of operations and management experience in electric power generation (including experience with operating agreements) 			
<p>Anticipated roles and legal relationships include, among other relevant descriptions, whether Respondent is the entity expected to submit the response to the RFP and execute the PPP Contract as the Private Partner. Description also includes the entity expected to guarantee the Private Partner's performance under the PPP Contract in the case where Respondent is not the Private Partner (to the extent known at this time).</p>	20%	9	Provided as requested
<p>B. Respondent provides resumes for specific Key Individuals, including Spanish-speaking skills. The anticipated management team is comprised of individuals with at least ten (10) years of relevant electric generation managerial experience for all executive-level positions</p>			
<p>C. Respondent submits a list of all technical, financial, legal, accounting, or other advisors that the Respondent or any Team Member has engaged or intends to engage</p>	10%	0	Not mentioned

4. Financial Capabilities

8.17

Financial Capabilities (10%)

Section Score (0-10): _____

(10 pages maximum, excluding audited financials & supporting information)

(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
Financial Capacity of Team: Respondent must demonstrate adequate financial wherewithal to fulfill the terms of the PPP Contract. Each Respondent or at least one (1) Team Member must provide:			
A. Evidence of the financial capability to obtain operational security in the form of an unconditional and irrevocable direct pay letter(s) of credit	30%	9	ok
B. Evidence of creditworthiness, such as credit ratings, if any, for each Team Member, or historical metrics supporting credit quality comparable to those used by credit analysts	40%	6	ok
C. Copies of financial statements (audited preferred), Form 10-Ks, 20-Fs or similar types of annual reports for the past two (2) years, together with any other relevant financial information	30%	10	All provided

5. Technical & Operational Capabilities

7.55

Technical & Operational Capabilities (50%)
Section Score (0-10): _____

(50 pages maximum)

(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
Respondent must demonstrate its technical and operational capabilities to fulfill the terms of the PPP Contract. At least one (1) Team Member must provide:			
A. Concurrently operating and maintaining either (i) two (2) or more oil and/or gas-fired generating facilities larger than 100 MW, or (ii) a fleet of at least 1,000 MW combined of oil and/or gas-fired generating facilities;	20%	7	Good Operational Experience provided
B. Repairing and/or replacing oil and/or gas-fired generating facilities	10%	4	
C. Experience with execution or oversight of plant decommissioning, including the procurement of qualified subcontractors to decommission power generation facilities	10%	7	
D. Demonstrated ability to safely operate similar technology generation facilities and managing outages and maintenance programs in order to achieve the operating objectives of the T&D Operator;	20%	8	

Technical & Operational Capabilities (50%)
Section Score (0-10): _____

(50 pages maximum)
 (The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
E. Managing fuel supply for similar or similarly situated generating facilities, including delivery and storage and fuel quality testing:	20%	10	All experience required is covered.
F. Names of the locations where the Respondent or subcontractors have performed similar work as described above:	5%	6	
G. Certification of no significant or sustained environmental regulation violations or OSHA fines/violations:	10%	6	
H. A demonstrable history of compliance with energy related policies, practices, and regulations from a state, commission, or other regulatory body	5%	7	OK

6. Safety Performance

Safety Performance (15%)

7.17

Section Score (0-10): _____

Requirements	Weighting	Score	Comments
<p>Respondent and Team Member(s) demonstrate their ability to address and resolve safety issues and their knowledge of safety strategies and methodologies</p>			
<p>A. Demonstrated ability to address and resolve safety issues</p>	30%	8	OK - IT covers & exceeds requirements.
<p>B. Demonstrated knowledge of safety strategies and methodologies</p>	40%	8	
<p>C. Submit copies of the Occupational Safety and Health Administration (OSHA) 300 forms for the past three (3) years, only as related to electric generation operations. If not applicable, Respondent and Team Member(s) must present a document explaining the reasons for not submitting the form</p>	30%	5	OSHA records not provided

Legacy Generation
P3A SOQ Evaluation Worksheet

Respondent: Erin Ward (SOBEL Consortium)

Overall Rating: Good

Narrative: _____

Evaluation Scores

Summary Evaluation	
Compliance with Requirements of the PPP Act and Act 120	Pass/Fail
SOQ Requirements and Procedures	Pass/Fail
Background & Team Information (25%)	___/10
Financial Capabilities (10%)	___/10
Technical & Operational Capabilities (50%)	___/10
Safety Performance (15%)	___/10

1. Compliance with Requirements of PPP Act and Act 120

Compliance with Requirements of PPP Act and Act 120

Pass / Fail for Section:

Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee

Requirements	Score	Pass/Fail	Comments
Respondent provides evidence or certification that it shall be properly registered, or capable of being properly registered, to do business in Puerto Rico at the time of execution of the PPP Contract <i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFO)</i>		Pass	Complete information received from respondent. All clear
Respondent provides statement it shall comply with all applicable Puerto Rico and U.S. laws and/or requirements <i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFO)</i>		Fail	
Each Respondent and Team Member provides a certification that complies with the requirements of Section 3, Part 1, 1.2 of the RFO <i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFO)</i>		Fail	
Respondent provides executed Document Acknowledgement and Contact Information letter, on behalf of the Respondent and each Team Member (executed by the contact person ("Respondent Representative") for all future communication between the Authority and the Respondent) <i>(Satisfied by executing the Form of Document Acknowledgement & Contact Information in Appendix B of the RFO)</i>		Fail	
Respondent provides an Appendix B Form of Document Acknowledgement & Contact Information stating the documents related to the RFP that were downloaded.		Fail	



2. SOQ Requirements and Procedures

SOQ Requirements

Pass / Fail for Section: Pass
Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee

Requirements	Pass/Fail	Comments
Respondents shall comply with the SOQ format requirements:	Pass	
SOQ must be prepared in English	Pass	
Cover page (to include identification of all management Team Members)	Fail	All team members not listed
Cover Letter (two pages maximum)	Pass	
Table of Contents	Pass	
Executive Summary (two pages maximum)	Fail	Not provided
SOQ is delivered no later than the Submission Deadline	Pass	
Respondent declares, and agrees to be under an obligation to declare, that it does not have knowledge of or the ability to avail itself of confidential information of the Government, PREPA or the Authority relevant to the Project, except to the extent it has been expressly authorized by the Government, PREPA, or the Authority	Fail	
Respondent declares, if applicable, any Conflicts of Interest or any situation that may be reasonably perceived as a Conflict of Interest that exists now or may exist in the future, in accordance with Section 4.7 of the RFQ	Pass	



Scored Sections

Use the following worksheets to rate the substantive sections of the Respondents' SOQs, assigning a score to each section as follows.

Excellent (9-10 pts): The Respondent has provided information relative to its qualifications which indicates a consistently outstanding level of quality. No weaknesses are identified.

Good (7-8 pts): The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses (if any) are identified and no correction is necessary.

Adequate (5-6 pts): The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses are identified and need to be corrected.

Deficient (3-4 pts): The Respondent has presented information relative to its qualifications which fails to fully meet the stated requirements. Major weaknesses are identified and need to be corrected.

Poor (0-2 pts): The Respondent has either presented information relative to its qualifications that contains significant weaknesses or the required information was not presented. Weaknesses are so major that a major revision to the SOQ would be necessary.

3. Background & Team Information

Background & Team Information (25%)

Section Score (0-10): _____

(15 pages maximum, excluding resumes)

(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
<p>Respondent and Team Member(s) are encouraged to provide enough supporting information and details to enable the evaluators to perform a thorough evaluation of their strengths, roles and responsibilities</p>			
<p>A. Respondent shall provide a description of the Respondent and all Team Members that identifies</p> <ul style="list-style-type: none"> • Anticipated roles, functions and overview of business operations • Jurisdiction, form of entity organization, ownership structure and capitalization • Anticipated legal relationships (e.g. joint ventures, partnerships), and percentage of ownership interest • Up to five (5) individuals who will play an important role on behalf of the Respondent and Team Member(s) (the "Key Individuals") and their roles and previous experience (experience of the individuals should include experience in all phases of power plant project development including operating, maintaining, repairing, managing capital expenditures and decommissioning combined cycle, gas turbines and steam turbine generation plants) • Instances of working with Spanish-speaking workforces 	70%		

Background & Team Information (25%)

Section Score (0-10): _____

(15 pages maximum, excluding resumes)

(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
<ul style="list-style-type: none"> Instances, if relevant, in which the Respondent and Team Member(s) have worked together 			
<ul style="list-style-type: none"> Evidence and tenor of operations and management experience in electric power generation (including experience with operating agreements) <p>Anticipated roles and legal relationships include, among other relevant descriptions, whether Respondent is the entity expected to submit the response to the RFP and execute the PPP Contract as the Private Partner. Description also includes the entity expected to guarantee the Private Partner's performance under the PPP Contract in the case where Respondent is not the Private Partner (to the extent known at this time).</p>	20%		
<p>B. Respondent provides resumes for specific Key Individuals, including Spanish-speaking skills. The anticipated management team is comprised of individuals with at least ten (10) years of relevant electric generation managerial experience for all executive-level positions</p>	20%		
<p>C. Respondent submits a list of all technical, financial, legal, accounting, or other advisors that the Respondent or any Team Member has engaged or intends to engage</p>	10%		

4. Financial Capabilities

Financial Capabilities (10%)

Section Score (0-10): _____

(10 pages maximum, excluding audited financials & supporting information)
 (The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
Financial Capacity of Team: Respondent must demonstrate adequate financial wherewithal to fulfill the terms of the PPP Contract. Each Respondent or at least one (1) Team Member must provide:			
A. Evidence of the financial capability to obtain operational security in the form of an unconditional and irrevocable direct pay letter(s) of credit	30%		
B. Evidence of creditworthiness, such as credit ratings, if any, for each Team Member, or historical metrics supporting credit quality comparable to those used by credit analysts	40%		
C. Copies of financial statements (audited preferred), Form 10-Ks, 20-Fs or similar types of annual reports for the past two (2) years, together with any other relevant financial information	30%		

5. Technical & Operational Capabilities

Technical & Operational Capabilities (50%)
 Section Score (0-10): _____

(50 pages maximum)

(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
Respondent must demonstrate its technical and operational capabilities to fulfill the terms of the PPP Contract. At least one (1) Team Member must provide:			
A. Concurrently operating and maintaining either (i) two (2) or more oil and/or gas-fired generating facilities larger than 100 MW, or (ii) a fleet of at least 1,000 MW combined of oil and/or gas-fired generating facilities;	20%		
B. Repairing and/or replacing oil and/or gas-fired generating facilities	10%		
C. Experience with execution or oversight of plant decommissioning, including the procurement of qualified subcontractors to decommission power generation facilities	10%		
D. Demonstrated ability to safely operate similar technology generation facilities and managing outages and maintenance programs in order to achieve the operating objectives of the T&D Operator;	20%		

Technical & Operational Capabilities (50%)
Section Score (0-10): _____

(50 pages maximum)

(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
E. Managing fuel supply for similar or similarly situated generating facilities, including delivery and storage and fuel quality testing:	20%		
F. Names of the locations where the Respondent or subcontractors have performed similar work as described above:	5%		
G. Certification of no significant or sustained environmental regulation violations or OSHA fines/violations:	10%		
H. A demonstrable history of compliance with energy related policies, practices, and regulations from a state, commission, or other regulatory body	5%		

6. Safety Performance

Safety Performance (15%)

Section Score (0-10): _____

Requirements	Weighting	Score	Comments
Respondent and Team Member(s) demonstrate their ability to address and resolve safety issues and their knowledge of safety strategies and methodologies			
A. Demonstrated ability to address and resolve safety issues	30%		
B. Demonstrated knowledge of safety strategies and methodologies	40%		
C. Submit copies of the Occupational Safety and Health Administration (OSHA) 300 forms for the past three (3) years, only as related to electric generation operations. If not applicable, Respondent and Team Member(s) must present a document explaining the reasons for not submitting the form	30%		

Legacy Generation
P3A SOQ Evaluation Worksheet

Respondent: Everato Power LLC

Overall Rating: 7.2

Narrative: _____

Evaluation Scores

Summary Evaluation	
Compliance with Requirements of the PPP Act and Act 120	Pass / Fail
SOQ Requirements and Procedures	Pass / Fail
Background & Team Information (25%)	6.5 / 10
Financial Capabilities (10%)	6.3 / 10
Technical & Operational Capabilities (50%)	7.55 / 10
Safety Performance (15%)	8 / 10

1. Compliance with Requirements of PPP Act and Act 120

Compliance with Requirements of PPP Act and Act 120

Pass / Fail for Section:

Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee

Requirements	Score	Pass/Fail	Comments
Respondent provides evidence of certification that it shall be properly registered, or capable of being properly registered, to do business in Puerto Rico at the time of execution of the PPP Contract		Pass	
<i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFO)</i>		Pass	
Respondent provides statement it shall comply with all applicable Puerto Rico and U.S. laws and/or requirements		Pass	
<i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFO)</i>		Pass	
Each Respondent and Team Member provides a certification that complies with the requirements of Section 3, Part 1, 1.2 of the RFO		Pass	
<i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFO)</i>		Pass	
Respondent provides executed Document Acknowledgement and Contact Information letter, on behalf of the Respondent and each Team Member (executed by the contact person ("Respondent Representative") for all future communication between the Authority and the Respondent)		Pass	
<i>(Satisfied by executing the Form of Document Acknowledgement & Contact Information in Appendix B of the RFO)</i>		Pass	
Respondent provides an Appendix B Form of Document Acknowledgement & Contact Information stating the documents related to the RFP that were downloaded.		Pass	

2. SOQ Requirements and Procedures

Pass

SOQ Requirements

Pass / Fail for Section: Pass
Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee

Requirements	Pass/Fail	Comments
Respondents shall comply with the SOQ format requirements:	<i>Pass</i>	
SOQ must be prepared in English	<i>Pass</i>	
Cover page (to include identification of all management Team Members)	<i>Pass</i>	
Cover Letter (two pages maximum)	<i>Pass</i>	
Table of Contents	<i>Pass</i>	
Executive Summary (two pages maximum)	<i>Pass</i>	
SOQ is delivered no later than the Submission Deadline	<i>Pass</i>	
Respondent declares, and agrees to be under an obligation to declare, that it does not have knowledge of or the ability to avail itself of confidential information of the Government, PREPA or the Authority relevant to the Project, except to the extent it has been expressly authorized by the Government, PREPA, or the Authority	<i>Pass</i>	
Respondent declares, if applicable, any Conflicts of Interest or any situation that may be reasonably perceived as a Conflict of Interest that exists now or may exist in the future, in accordance with Section 4.7 of the RFQ	<i>Pass</i>	

[Handwritten signature]

Scored Sections

Use the following worksheets to rate the substantive sections of the Respondents' SOQs, assigning a score to each section as follows.

Excellent (9-10 pts): The Respondent has provided information relative to its qualifications which indicates a consistently outstanding level of quality. No weaknesses are identified.

Good (7-8 pts): The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses (if any) are identified and no correction is necessary.

Adequate (5-6 pts): The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses are identified and need to be corrected.

Deficient (3-4 pts): The Respondent has presented information relative to its qualifications which fails to fully meet the stated requirements. Major weaknesses are identified and need to be corrected.

Poor (0-2 pts): The Respondent has either presented information relative to its qualifications that contains significant weaknesses or the required information was not presented. Weaknesses are so major that a major revision to the SOQ would be necessary.

3. Background & Team Information

6.5

Background & Team Information (25%)

Section Score (0-10): _____

(15 pages maximum, excluding resumes)

(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
<p>Respondent and Team Member(s) are encouraged to provide enough supporting information and details to enable the evaluators to perform a thorough evaluation of their strengths, roles and responsibilities</p>			
<p>A. Respondent shall provide a description of the Respondent and all Team Members that identifies</p> <ul style="list-style-type: none"> • Anticipated roles, functions and overview of business operations • Jurisdiction, form of entity organization, ownership structure and capitalization • Anticipated legal relationships (e.g. joint ventures, partnerships), and percentage of ownership interest • Up to five (5) individuals who will play an important role on behalf of the Respondent and Team Member(s) (the "Key Individuals") and their roles and previous experience (experience of the individuals should include experience in all phases of power plant project development including operating, maintaining, repairing, managing capital expenditures and decommissioning combined cycle, gas turbines and steam turbine generation plants) • Instances of working with Spanish-speaking workforces 	70%		<p>6</p> <p>Some roles were not clear</p> <p>100% covered with power credit limits</p> <p>Spanish speaking experience provided</p>

Background & Team Information (25%)

Section Score (0-10): _____

(15 pages maximum, excluding resumes)

(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
<ul style="list-style-type: none"> Instances, if relevant, in which the Respondent and Team Member(s) have worked together 			ok
<ul style="list-style-type: none"> Evidence and tenor of operations and management experience in electric power generation (including experience with operating agreements) <p>Anticipated roles and legal relationships include, among other relevant descriptions, whether Respondent is the entity expected to submit the response to the RFP and execute the PPP Contract as the Private Partner. Description also includes the entity expected to guarantee the Private Partner's performance under the PPP Contract in the case where Respondent is not the Private Partner (to the extent known at this time).</p>			ok
<p>B. Respondent provides resumes for specific Key Individuals, including Spanish-speaking skills. The anticipated management team is comprised of individuals with at least ten (10) years of relevant electric generation managerial experience for all executive-level positions</p>	20%	7	ok. Provided information for all individuals
<p>C. Respondent submits a list of all technical, financial, legal, accounting, or other advisors that the Respondent or any Team Member has engaged or intends to engage</p>	10%	9	ok

4. Financial Capabilities

(6.3)

Financial Capabilities (10%)

Section Score (0-10): _____

(10 pages maximum, excluding audited financials & supporting information)
 (The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
Financial Capacity of Team: Respondent must demonstrate adequate financial wherewithal to fulfill the terms of the PPP Contract. Each Respondent or at least one (1) Team Member must provide:			
A. Evidence of the financial capability to obtain operational security in the form of an unconditional and irrevocable direct pay letter(s) of credit	30%	4	More credit information received
B. Evidence of creditworthiness, such as credit ratings, if any, for each Team Member, or historical metrics supporting credit quality comparable to those used by credit analysts	40%	6	Credit rating OK
C. Copies of financial statements (audited preferred), Form 10-Ks, 20-Fs or similar types of annual reports for the past two (2) years, together with any other relevant financial information	30%	9	OK

5. Technical & Operational Capabilities

Technical & Operational Capabilities (50%)
 Section Score (0-10): _____

(50 pages maximum)

(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
<p>Respondent must demonstrate its technical and operational capabilities to fulfill the terms of the PPP Contract. At least one (1) Team Member must provide:</p> <p>A. Concurrently operating and maintaining either (i) two (2) or more oil and/or gas-fired generating facilities larger than 100 MW, or (ii) a fleet of at least 1,000 MW combined of oil and/or gas-fired generating facilities;</p> <p>B. Repairing and/or replacing oil and/or gas-fired generating facilities</p> <p>C. Experience with execution or oversight of plant decommissioning, including the procurement of qualified subcontractors to decommission power generation facilities</p> <p>D. Demonstrated ability to safely operate similar technology generation facilities and managing outages and maintenance programs in order to achieve the operating objectives of the T&D Operator;</p>	<p>20%</p> <p>10%</p> <p>10%</p> <p>20%</p>	<p>8</p> <p>6</p> <p>8</p> <p>7</p>	<p>Good experience demonstrated</p> <p>not clear scope contract on the gas part</p> <p>has decommissioning projects</p> <p>ok</p>

7.55)

Technical & Operational Capabilities (50%)
Section Score (0-10): _____

(50 pages maximum)
 (The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
E. Managing fuel supply for similar or similarly situated generating facilities, including delivery and storage and fuel quality testing;	20%	8	Demonstrated experience
F. Names of the locations where the Respondent or subcontractors have performed similar work as described above:	5%	8	ok
G. Certification of no significant or sustained environmental regulation violations or OSHA fines/violations;	10%	7	
H. A demonstrable history of compliance with energy related policies, practices, and regulations from a state, commission, or other regulatory body	5%	9	they are committed to comply with all requirements

6. Safety Performance

Safety Performance (15%)

Section Score (0-10): 8

Requirements	Weighting	Score	Comments
Respondent and Team Member(s) demonstrate their ability to address and resolve safety issues and their knowledge of safety strategies and methodologies			
A. Demonstrated ability to address and resolve safety issues	30%	8	ok
B. Demonstrated knowledge of safety strategies and methodologies	40%	8	Good detail explanation and provided
C. Submit copies of the Occupational Safety and Health Administration (OSHA) 300 forms for the past three (3) years, only as related to electric generation operations. If not applicable, Respondent and Team Member(s) must present a document explaining the reasons for not submitting the form	30%	8	Document is still to be prepared & signed

Privileged and Confidential

Legacy Generation
P3A SOQ Evaluation Worksheet

Respondent: ETHS Energy Group Limited

Overall Rating: 7.3

Narrative: _____



Evaluation Scores

Summary Evaluation	
Compliance with Requirements of the PPP Act and Act 120	Pass / Fail
SOQ Requirements and Procedures	Pass / Fail
Background & Team Information (25%)	24 / 10
Financial Capabilities (10%)	6 / 10
Technical & Operational Capabilities (50%)	___ / 10
Safety Performance (15%)	8 / 10

1. Compliance with Requirements of PPP Act and Act 120

Pass

Compliance with Requirements of PPP Act and Act 120

Pass / Fail for Section:

Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee

Requirements	Score	Pass/Fail	Comments
Respondent provides evidence or certification that it shall be properly registered, or capable of being properly registered, to do business in Puerto Rico at the time of execution of the PPP Contract		<i>Pass</i>	
<i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)</i>			
Respondent provides statement it shall comply with all applicable Puerto Rico and U.S. laws and/or requirements		<i>Pass</i>	
<i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)</i>			
Each Respondent and Team Member provides a certification that complies with the requirements of Section 3, Part 1, 1.2 of the RFQ		<i>Pass</i>	
<i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)</i>			
Respondent provides executed Document Acknowledgement and Contact Information letter, on behalf of the Respondent and each Team Member (executed by the contact person ("Respondent Representative") for all future communication between the Authority and the Respondent)		<i>Pass</i>	
<i>(Satisfied by executing the Form of Document Acknowledgement & Contact Information in Appendix B of the RFQ)</i>			
Respondent provides an Appendix B Form of Document Acknowledgement & Contact Information stating the documents related to the RFP that were downloaded.		<i>Pass</i>	

2. SOQ Requirements and Procedures

SOQ Requirements

Pass / Fail for Section: Pass
Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee

Requirements	Pass/Fail	Comments
Respondents shall comply with the SOQ format requirements:		
SOQ must be prepared in English	Pass	
Cover page (to include identification of all management Team Members)	Pass	
Cover Letter (two pages maximum)	Pass	
Table of Contents	Pass	
Executive Summary (two pages maximum)	Pass	
SOQ is delivered no later than the Submission Deadline	Pass	
Respondent declares, and agrees to be under an obligation to declare, that it does not have knowledge of or the ability to avail itself of confidential information of the Government, PREPA or the Authority relevant to the Project, except to the extent it has been expressly authorized by the Government, PREPA, or the Authority	Pass	
Respondent declares, if applicable, any Conflicts of Interest or any situation that may be reasonably perceived as a Conflict of Interest that exists now or may exist in the future, in accordance with Section 4.7 of the RFQ	Pass	

Scored Sections

Use the following worksheets to rate the substantive sections of the Respondents' SOQs, assigning a score to each section as follows.

Excellent (9-10 pts): The Respondent has provided information relative to its qualifications which indicates a consistently outstanding level of quality. No weaknesses are identified.

Good (7-8 pts): The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses (if any) are identified and no correction is necessary.

Adequate (5-6 pts): The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses are identified and need to be corrected.

Deficient (3-4 pts): The Respondent has presented information relative to its qualifications which fails to fully meet the stated requirements. Major weaknesses are identified and need to be corrected.

Poor (0-2 pts): The Respondent has either presented information relative to its qualifications that contains significant weaknesses or the required information was not presented. Weaknesses are so major that a major revision to the SOQ would be necessary.

3. Background & Team Information

Background & Team Information (25%)

Section Score (0-10): _____

(15 pages maximum, excluding resumes)

(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
<p>Respondent and Team Member(s) are encouraged to provide enough supporting information and details to enable the evaluators to perform a thorough evaluation of their strengths, roles and responsibilities</p>			
<p>A. Respondent shall provide a description of the Respondent and all Team Members that identifies</p> <ul style="list-style-type: none"> • Anticipated roles, functions and overview of business operations • Jurisdiction, form of entity organization, ownership structure and capitalization • Anticipated legal relationships (e.g. joint ventures, partnerships), and percentage of ownership interest • Up to five (5) individuals who will play an important role on behalf of the Respondent and Team Member(s) (the "Key Individuals") and their roles and previous experience (experience of the individuals should include experience in all phases of power plant project development including operating, maintaining, repairing, managing capital expenditures and decommissioning combined cycle, gas turbines and steam turbine generation plants) • Instances of working with Spanish-speaking workforces 	70%	7	<p>No specific roles provided</p> <p>Some Latin American experience</p>

Background & Team Information (25%)

Section Score (0-10): _____

(15 pages maximum, excluding resumes)

(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
<ul style="list-style-type: none"> Instances, if relevant, in which the Respondent and Team Member(s) have worked together 			
<ul style="list-style-type: none"> Evidence and tenor of operations and management experience in electric power generation (including experience with operating agreements) <p>Anticipated roles and legal relationships include, among other relevant descriptions, whether Respondent is the entity expected to submit the response to the RFP and execute the PPP Contract as the Private Partner. Description also includes the entity expected to guarantee the Private Partner's performance under the PPP Contract in the case where Respondent is not the Private Partner (to the extent known at this time).</p>			
<p>B. Respondent provides resumes for specific Key Individuals, including Spanish-speaking skills. The anticipated management team is comprised of individuals with at least ten (10) years of relevant electric generation managerial experience for all executive-level positions</p>	20%	10	All provided
<p>C. Respondent submits a list of all technical, financial, legal, accounting, or other advisors that the Respondent or any Team Member has engaged or intends to engage</p>	10%	5	No advisors mentioned

4. Financial Capabilities

Financial Capabilities (10%)

Section Score (0-10): _____

(10 pages maximum, excluding audited financials & supporting information)

(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
Financial Capacity of Team: Respondent must demonstrate adequate financial wherewithal to fulfill the terms of the PPP Contract. Each Respondent or at least one (1) Team Member must provide:			
A. Evidence of the financial capability to obtain operational security in the form of an unconditional and irrevocable direct pay letter(s) of credit	30%	5	
B. Evidence of creditworthiness, such as credit ratings, if any, for each Team Member, or historical metrics supporting credit quality comparable to those used by credit analysts	40%	4	No credit rating
C. Copies of financial statements (audited preferred), Form 10-Ks, 20-Fs or similar types of annual reports for the past two (2) years, together with any other relevant financial information	30%	10	All provided

5. Technical & Operational Capabilities

7.25

Technical & Operational Capabilities (50%)
 Section Score (0-10): _____

(50 pages maximum)
 (The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
Respondent must demonstrate its technical and operational capabilities to fulfill the terms of the PPP Contract. At least one (1) Team Member must provide:			
A. Concurrently operating and maintaining either (i) two (2) or more oil and/or gas-fired generating facilities larger than 100 MW, or (ii) a fleet of at least 1,000 MW combined of oil and/or gas-fired generating facilities;	20%	8	Good documentation was provided with experience on Op&M.
B. Repairing and/or replacing oil and/or gas-fired generating facilities	10%	8	OK
C. Experience with execution or oversight of plant decommissioning, including the procurement of qualified subcontractors to decommission power generation facilities	10%	6	
D. Demonstrated ability to safely operate similar technology generation facilities and managing outages and maintenance programs in order to achieve the operating objectives of the T&D Operator;	20%	8	

Privileged and Confidential

Technical & Operational Capabilities (50%)
Section Score (0-10): _____

(50 pages maximum)

(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
E. Managing fuel supply for similar or similarly situated generating facilities, including delivery and storage and fuel quality testing:	20%	7	Procedures with respect to fuel.
F. Names of the locations where the Respondent or subcontractors have performed similar work as described above:	5%	8	at
G. Certification of no significant or sustained environmental regulation violations or OSHA fines/violations:	10%	4	Certification not clear
H. A demonstrable history of compliance with energy related policies, practices, and regulations from a state, commission, or other regulatory body	5%	9	Good experience with Federal Agencies.

6. Safety Performance

Safety Performance (15%)

Section Score (0-10): _____

Requirements	Weighting	Score	Comments
Respondent and Team Member(s) demonstrate their ability to address and resolve safety issues and their knowledge of safety strategies and methodologies			
A. Demonstrated ability to address and resolve safety issues	30%	9	OK
B. Demonstrated knowledge of safety strategies and methodologies	40%	8	Seems OK
C. Submit copies of the Occupational Safety and Health Administration (OSHA) 300 forms for the past three (3) years, only as related to electric generation operations. If not applicable, Respondent and Team Member(s) must present a document explaining the reasons for not submitting the form	30%	7	

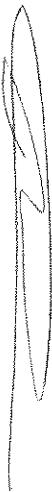
Legacy Generation
P3A SOQ Evaluation Worksheet

Respondent: Excel Contractors, Inc.

Overall Rating: 4.5

Narrative: _____

Evaluation Scores



Summary Evaluation	
Compliance with Requirements of the PPP Act and Act 120	Pass / Fail
SOQ Requirements and Procedures	Pass / Fail
Background & Team Information (25%)	4.3 / 10
Financial Capabilities (10%)	5.0 / 10
Technical & Operational Capabilities (50%)	4.0 / 10
Safety Performance (15%)	6.3 / 10

1. Compliance with Requirements of PPP Act and Act 120

Compliance with Requirements of PPP Act and Act 120

Pass / Fail for Section:

Pass

Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee

Requirements	Score	Pass/Fail	Comments
Respondent provides evidence or certification that it shall be properly registered, or capable of being properly registered, to do business in Puerto Rico at the time of execution of the PPP Contract		<i>Pass</i>	
<i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFO)</i>			
Respondent provides statement it shall comply with all applicable Puerto Rico and U.S. laws and/or requirements		<i>Pass</i>	
<i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFO)</i>			
Each Respondent and Team Member provides a certification that complies with the requirements of Section 3, Part 1, 1.2 of the RFO		<i>Pass</i>	
<i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFO)</i>			
Respondent provides executed Document Acknowledgement and Contact Information letter, on behalf of the Respondent and each Team Member (executed by the contact person ("Respondent Representative") for all future communication between the Authority and the Respondent)		<i>Pass</i>	
<i>(Satisfied by executing the Form of Document Acknowledgement & Contact Information in Appendix B of the RFO)</i>			
Respondent provides an Appendix B Form of Document Acknowledgement & Contact Information stating the documents related to the RFP that were downloaded.		<i>Pass</i>	

2. SOQ Requirements and Procedures

Pass

SOQ Requirements

Pass / Fail for Section: _____
Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee

Requirements	Pass/Fail	Comments
Respondents shall comply with the SOQ format requirements:		
SOQ must be prepared in English	<i>Pass</i>	
Cover page (to include identification of all management Team Members)	<i>Pass</i>	
Cover Letter (two pages maximum)	<i>Pass</i>	
Table of Contents	<i>Pass</i>	
Executive Summary (two pages maximum)	<i>Pass</i>	
SOQ is delivered no later than the Submission Deadline	<i>Pass</i>	
Respondent declares, and agrees to be under an obligation to declare, that it does not have knowledge of or the ability to avail itself of confidential information of the Government, PREPA or the Authority relevant to the Project, except to the extent it has been expressly authorized by the Government, PREPA, or the Authority	<i>Pass</i>	
Respondent declares, if applicable, any Conflicts of Interest or any situation that may be reasonably perceived as a Conflict of Interest that exists now or may exist in the future, in accordance with Section 4.7 of the RFQ	<i>Pass</i>	

Scored Sections

Use the following worksheets to rate the substantive sections of the Respondents' SOQs, assigning a score to each section as follows.

Excellent (9-10 pts): The Respondent has provided information relative to its qualifications which indicates a consistently outstanding level of quality. No weaknesses are identified.

Good (7-8 pts): The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses (if any) are identified and no correction is necessary.

Adequate (5-6 pts): The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses are identified and need to be corrected.

Deficient (3-4 pts): The Respondent has presented information relative to its qualifications which fails to fully meet the stated requirements. Major weaknesses are identified and need to be corrected.

Poor (0-2 pts): The Respondent has either presented information relative to its qualifications that contains significant weaknesses or the required information was not presented. Weaknesses are so major that a major revision to the SOQ would be necessary.

3. Background & Team Information


4.3

Background & Team Information (25%)

Section Score (0-10): _____

(15 pages maximum, excluding resumes)

(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
<p>Respondent and Team Member(s) are encouraged to provide enough supporting information and details to enable the evaluators to perform a thorough evaluation of their strengths, roles and responsibilities</p>			
<p>A. Respondent shall provide a description of the Respondent and all Team Members that identifies</p> <ul style="list-style-type: none"> • Anticipated roles, functions and overview of business operations • Jurisdiction, form of entity organization, ownership structure and capitalization • Anticipated legal relationships (e.g. joint ventures, partnerships), and percentage of ownership interest 	70%	5	
<ul style="list-style-type: none"> • Up to five (5) individuals who will play an important role on behalf of the Respondent and Team Member(s) (the "Key Individuals") and their roles and previous experience (experience of the individuals should include experience in all phases of power plant project development including operating, maintaining, repairing, managing capital expenditures and decommissioning combined cycle, gas turbines and steam turbine generation plants) • Instances of working with Spanish-speaking workforces 			

Background & Team Information (25%)

Section Score (0-10): _____

(15 pages maximum, excluding resumes)

(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
<ul style="list-style-type: none"> Instances, if relevant, in which the Respondent and Team Member(s) have worked together 			<i>Not provided</i>
<ul style="list-style-type: none"> Evidence and tenor of operations and management experience in electric power generation (including experience with operating agreements) <p>Anticipated roles and legal relationships include, among other relevant descriptions, whether Respondent is the entity expected to submit the response to the RFP and execute the PPP Contract as the Private Partner. Description also includes the entity expected to guarantee the Private Partner's performance under the PPP Contract in the case where Respondent is not the Private Partner (to the extent known at this time).</p>			
<p>B. Respondent provides resumes for specific Key Individuals, including Spanish-speaking skills. The anticipated management team is comprised of individuals with at least ten (10) years of relevant electric generation managerial experience for all executive-level positions</p>	20%	4	<i>Not complete information was provided.</i>
<p>C. Respondent submits a list of all technical, financial, legal, accounting, or other advisors that the Respondent or any Team Member has engaged or intends to engage</p>	10%	0	<i>Not provided.</i>

4. Financial Capabilities

5.17

Financial Capabilities (10%)

Section Score (0-10): _____

(10 pages maximum, excluding audited financials & supporting information)
 (The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
Financial Capacity of Team: Respondent must demonstrate adequate financial wherewithal to fulfill the terms of the PPP Contract. Each Respondent or at least one (1) Team Member must provide:			
A. Evidence of the financial capability to obtain operational security in the form of an unconditional and irrevocable direct pay letter(s) of credit	30%	8	Good credit line of BSNM.
B. Evidence of creditworthiness, such as credit ratings, if any, for each Team Member, or historical metrics supporting credit quality comparable to those used by credit analysts	40%	0	Not provided
C. Copies of financial statements (audited preferred), Form 10-Ks, 20-Fs or similar types of annual reports for the past two (2) years, together with any other relevant financial information	30%	9	

5. Technical & Operational Capabilities

4.5

Technical & Operational Capabilities (50%)
Section Score (0-10): _____

(50 pages maximum)

(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
Respondent must demonstrate its technical and operational capabilities to fulfill the terms of the PPP Contract. At least one (1) Team Member must provide:			
A. Concurrently operating and maintaining either (i) two (2) or more oil and/or gas-fired generating facilities larger than 100 MW, or (ii) a fleet of at least 1,000 MW combined of oil and/or gas-fired generating facilities;	20%	6	Seems to have some experience in this.
B. Repairing and/or replacing oil and/or gas-fired generating facilities	10%	1	Repair capabilities not included.
C. Experience with execution or oversight of plant decommissioning, including the procurement of qualified subcontractors to decommission power generation facilities	10%	5	Seems to have some experience decommissioning.
D. Demonstrated ability to safely operate similar technology generation facilities and managing outages and maintenance programs in order to achieve the operating objectives of the T&D Operator;	20%	5	

Technical & Operational Capabilities (50%)
Section Score (0-10): _____

(50 pages maximum)

(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
E. Managing fuel supply for similar or similarly situated generating facilities, including delivery and storage and fuel quality testing;	20%	4	Outsourced,
F. Names of the locations where the Respondent or subcontractors have performed similar work as described above;	5%	5	Score not clear
G. Certification of no significant or sustained environmental regulation violations or OSHA fines/violations;	10%	1	No real evidence
H. A demonstrable history of compliance with energy related policies, practices, and regulations from a state, commission, or other regulatory body	5%	1	Not clear in compliance

6. Safety Performance

Safety Performance (15%)

6.37

Section Score (0-10): _____

Requirements	Weighting	Score	Comments
Respondent and Team Member(s) demonstrate their ability to address and resolve safety issues and their knowledge of safety strategies and methodologies			
A. Demonstrated ability to address and resolve safety issues	30%	5	OSHA compliance
B. Demonstrated knowledge of safety strategies and methodologies	40%	6	General procedures were covered.
C. Submit copies of the Occupational Safety and Health Administration (OSHA) 300 forms for the past three (3) years, only as related to electric generation operations. If not applicable, Respondent and Team Member(s) must present a document explaining the reasons for not submitting the form	30%	8	

Legacy Generation
P3A SOQ Evaluation Worksheet

Respondent: Atsushi Pava Americas, Inc.

Overall Rating: 4.6

Narrative: _____



Evaluation Scores

Summary Evaluation	
Compliance with Requirements of the PPP Act and Act 120	Pass/Fail
SOQ Requirements and Procedures	Pass/Fail
Background & Team Information (25%)	6 / 10
Financial Capabilities (10%)	8.6 / 10
Technical & Operational Capabilities (50%)	3 / 10
Safety Performance (15%)	5 / 10

1. Compliance with Requirements of PPP Act and Act 120

Pass

Compliance with Requirements of PPP Act and Act 120

Pass / Fail for Section:

Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee

Requirements	Score	Pass/Fail	Comments
Respondent provides evidence or certification that it shall be properly registered, or capable of being properly registered, to do business in Puerto Rico at the time of execution of the PPP Contract <i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFO)</i>		<i>Pass</i>	
Respondent provides statement it shall comply with all applicable Puerto Rico and U.S. laws and/or requirements <i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFO)</i>		<i>Pass</i>	
Each Respondent and Team Member provides a certification that complies with the requirements of Section 3, Part 1, 1.2 of the RFO <i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFO)</i>		<i>Pass</i>	
Respondent provides executed Document Acknowledgement and Contact Information letter, on behalf of the Respondent and each Team Member (executed by the contact person ("Respondent Representative") for all future communication between the Authority and the Respondent) <i>(Satisfied by executing the Form of Document Acknowledgement & Contact Information in Appendix B of the RFO)</i>		<i>Pass</i>	
Respondent provides an Appendix B Form of Document Acknowledgement & Contact Information stating the documents related to the RFP that were downloaded.		<i>Pass</i>	

2. SOQ Requirements and Procedures

Pass

SOQ Requirements	
Pass / Fail for Section:	Comments
<i>Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee</i>	
Requirements	Pass/Fail
Respondents shall comply with the SOQ format requirements:	
SOQ must be prepared in English	<i>Pass</i>
Cover page (to include identification of all management Team Members)	<i>Pass</i>
Cover Letter (two pages maximum)	<i>Pass</i>
Table of Contents	<i>Pass</i>
Executive Summary (two pages maximum)	<i>Pass</i>
SOQ is delivered no later than the Submission Deadline	<i>Pass</i>
Respondent declares, and agrees to be under an obligation to declare, that it does not have knowledge of or the ability to avail itself of confidential information of the Government, PREPA or the Authority relevant to the Project, except to the extent it has been expressly authorized by the Government, PREPA, or the Authority	<i>Pass</i>
Respondent declares, if applicable, any Conflicts of Interest or any situation that may be reasonably perceived as a Conflict of Interest that exists now or may exist in the future, in accordance with Section 4.7 of the RFQ	<i>Pass</i>

Privileged and Confidential

Scored Sections

Use the following worksheets to rate the substantive sections of the Respondents' SOQs, assigning a score to each section as follows.

Excellent (9-10 pts): The Respondent has provided information relative to its qualifications which indicates a consistently outstanding level of quality. No weaknesses are identified.

Good (7-8 pts): The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses (if any) are identified and no correction is necessary.

Adequate (5-6 pts): The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses are identified and need to be corrected.

Deficient (3-4 pts): The Respondent has presented information relative to its qualifications which fails to fully meet the stated requirements. Major weaknesses are identified and need to be corrected.

Poor (0-2 pts): The Respondent has either presented information relative to its qualifications that contains significant weaknesses or the required information was not presented. Weaknesses are so major that a major revision to the SOQ would be necessary.

3. Background & Team Information

Background & Team Information (25%)

Section Score (0-10): _____

(15 pages maximum, excluding resumes)

(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

67

Requirements	Weighting	Score	Comments
<p>Respondent and Team Member(s) are encouraged to provide enough supporting information and details to enable the evaluators to perform a thorough evaluation of their strengths, roles and responsibilities</p>			
<p>A. Respondent shall provide a description of the Respondent and all Team Members that identifies</p> <ul style="list-style-type: none"> • Anticipated roles, functions and overview of business operations • Jurisdiction, form of entity organization, ownership structure and capitalization • Anticipated legal relationships (e.g. joint ventures, partnerships), and percentage of ownership interest • Up to five (5) individuals who will play an important role on behalf of the Respondent and Team Member(s) (the "Key Individuals") and their roles and previous experience (experience in all phases of power plant project development including operating, maintaining, repairing, managing capital expenditures and decommissioning combined cycle, gas turbines and steam turbine generation plants) • Instances of working with Spanish-speaking workforces 	70%	5	<p>Information was not all complete or not clear.</p>

Background & Team Information (25%)

Section Score (0-10): _____

(15 pages maximum, excluding resumes)

(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
<ul style="list-style-type: none"> Instances, if relevant, in which the Respondent and Team Member(s) have worked together 			
<ul style="list-style-type: none"> Evidence and tenor of operations and management experience in electric power generation (including experience with operating agreements) <p>Anticipated roles and legal relationships include, among other relevant descriptions, whether Respondent is the entity expected to submit the response to the RFP and execute the PPP Contract as the Private Partner. Description also includes the entity expected to guarantee the Private Partner's performance under the PPP Contract in the case where Respondent is not the Private Partner (to the extent known at this time).</p>			
<p>B. Respondent provides resumes for specific Key Individuals, including Spanish-speaking skills. The anticipated management team is comprised of individuals with at least ten (10) years of relevant electric generation managerial experience for all executive-level positions</p>	20%	8	Not too much other experience.
<p>C. Respondent submits a list of all technical, financial, legal, accounting, or other advisors that the Respondent or any Team Member has engaged or intends to engage</p>	10%	9	

4. Financial Capabilities

8.6

Financial Capabilities (10%)

Section Score (0-10): _____

(10 pages maximum, excluding audited financials & supporting information)
 (The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
Financial Capacity of Team: Respondent must demonstrate adequate financial wherewithal to fulfill the terms of the PPP Contract. Each Respondent or at least one (1) Team Member must provide:			
A. Evidence of the financial capability to obtain operational security in the form of an unconditional and irrevocable direct pay letter(s) of credit	30%	8	Good credit rating
B. Evidence of creditworthiness, such as credit ratings, if any, for each Team Member, or historical metrics supporting credit quality comparable to those used by credit analysts	40%	8	
C. Copies of financial statements (audited preferred), Form 10-Ks, 20-Fs or similar types of annual reports for the past two (2) years, together with any other relevant financial information	30%	10	All information provided

5. Technical & Operational Capabilities

Technical & Operational Capabilities (50%)

Section Score (0-10):

3

(50 pages maximum)

(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
Respondent must demonstrate its technical and operational capabilities to fulfill the terms of the PPP Contract. At least one (1) Team Member must provide:			
A. Concurrently operating and maintaining either (i) two (2) or more oil and/or gas-fired generating facilities larger than 100 MW, or (ii) a fleet of at least 1,000 MW combined of oil and/or gas-fired generating facilities;	20%	5	
B. Repairing and/or replacing oil and/or gas-fired generating facilities	10%	4	
C. Experience with execution or oversight of plant decommissioning, including the procurement of qualified subcontractors to decommission power generation facilities	10%	1	Decommissioning information not found.
D. Demonstrated ability to safely operate similar technology generation facilities and managing outages and maintenance programs in order to achieve the operating objectives of the T&D Operator;	20%	2	Nuclear

Technical & Operational Capabilities (50%)
Section Score (0-10): _____

(50 pages maximum)

(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
E. Managing fuel supply for similar or similarly situated generating facilities, including delivery and storage and fuel quality testing;	20%	0	Not done
F. Names of the locations where the Respondent or subcontractors have performed similar work as described above;	5%	4	Not done
G. Certification of no significant or sustained environmental regulation violations or OSHA fines/violations;	10%	5	OSHA data not provided.
H. A demonstrable history of compliance with energy related policies, practices, and regulations from a state, commission, or other regulatory body	5%	4	OSHA data information not provided.

6. Safety Performance

Safety Performance (15%)

Section Score (0-10): _____

Requirements	Weighting	Score	Comments
Respondent and Team Member(s) demonstrate their ability to address and resolve safety issues and their knowledge of safety strategies and methodologies			
A. Demonstrated ability to address and resolve safety issues	30%	5	Member provided. Copy not included.
B. Demonstrated knowledge of safety strategies and methodologies	40%	5	
C. Submit copies of the Occupational Safety and Health Administration (OSHA) 300 forms for the past three (3) years, only as related to electric generation operations. If not applicable, Respondent and Team Member(s) must present a document explaining the reasons for not submitting the form	30%	5	

Legacy Generation
P3A SOQ Evaluation Worksheet

Respondent: NAES Corporation

Overall Rating: 9.3

Narrative: _____

Evaluation Scores

Summary Evaluation	
Compliance with Requirements of the PPP Act and Act 120	Pass / Fail
SOQ Requirements and Procedures	Pass / Fail
Background & Team Information (25%)	25 / 10
Financial Capabilities (10%)	24 / 10
Technical & Operational Capabilities (50%)	21 / 10
Safety Performance (15%)	21 / 10

1. Compliance with Requirements of PPP Act and Act 120

Compliance with Requirements of PPP Act and Act 120

Pass / Fail for Section:

Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee

Requirements	Score	Pass/Fail	Comments
Respondent provides evidence or certification that it shall be properly registered, or capable of being properly registered, to do business in Puerto Rico at the time of execution of the PPP Contract <i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFO)</i>		Pass	
Respondent provides statement it shall comply with all applicable Puerto Rico and U.S. laws and/or requirements <i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFO)</i>		Pass	
Each Respondent and Team Member provides a certification that complies with the requirements of Section 3, Part 1, 1.2 of the RFO <i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFO)</i>		Pass	
Respondent provides executed Document Acknowledgement and Contact Information letter, on behalf of the Respondent and each Team Member (executed by the contact person ("Respondent Representative") for all future communication between the Authority and the Respondent) <i>(Satisfied by executing the Form of Document Acknowledgement & Contact Information in Appendix B of the RFO)</i>		Pass	
Respondent provides an Appendix B Form of Document Acknowledgement & Contact Information stating the documents related to the RFP that were downloaded.		Pass	

2. SOQ Requirements and Procedures

SOQ Requirements

Pass / Fail for Section: Pass
Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee

Requirements	Pass/Fail	Comments
Respondents shall comply with the SOQ format requirements:		
SOQ must be prepared in English	Pass	
Cover page (to include identification of all management Team Members)	Pass	
Cover Letter (two pages maximum)	Pass	
Table of Contents	Pass	
Executive Summary (two pages maximum)	Pass	
SOQ is delivered no later than the Submission Deadline	Pass	
Respondent declares, and agrees to be under an obligation to declare, that it does not have knowledge of or the ability to avail itself of confidential information of the Government, PREPA or the Authority relevant to the Project, except to the extent it has been expressly authorized by the Government, PREPA, or the Authority	Pass	
Respondent declares, if applicable, any Conflicts of Interest or any situation that may be reasonably perceived as a Conflict of Interest that exists now or may exist in the future, in accordance with Section 4.7 of the RFQ	Pass	

Scored Sections

Use the following worksheets to rate the substantive sections of the Respondents' SOQs, assigning a score to each section as follows.

Excellent (9-10 pts): The Respondent has provided information relative to its qualifications which indicates a consistently outstanding level of quality. No weaknesses are identified.

Good (7-8 pts): The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses (if any) are identified and no correction is necessary.

Adequate (5-6 pts): The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses are identified and need to be corrected.

Deficient (3-4 pts): The Respondent has presented information relative to its qualifications which fails to fully meet the stated requirements. Major weaknesses are identified and need to be corrected.

Poor (0-2 pts): The Respondent has either presented information relative to its qualifications that contains significant weaknesses or the required information was not presented. Weaknesses are so major that a major revision to the SOQ would be necessary.

3. Background & Team Information

9857

Background & Team Information (25%)

Section Score (0-10): _____

(15 pages maximum, excluding resumes)

(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
<p>Respondent and Team Member(s) are encouraged to provide enough supporting information and details to enable the evaluators to perform a thorough evaluation of their strengths, roles and responsibilities</p>			
<p>A. Respondent shall provide a description of the Respondent and all Team Members that identifies</p> <ul style="list-style-type: none"> • Anticipated roles, functions and overview of business operations • Jurisdiction, form of entity organization, ownership structure and capitalization • Anticipated legal relationships (e.g. joint ventures, partnerships), and percentage of ownership interest • Up to five (5) individuals who will play an important role on behalf of the Respondent and Team Member(s) (the "Key Individuals") and their roles and previous experience (experience of the individuals should include experience in all phases of power plant project development including operating, maintaining, repairing, managing capital expenditures and decommissioning combined cycle, gas turbines and steam turbine generation plants) • Instances of working with Spanish-speaking workforces 	70%	10	<p><i>Very good detailed information with very good experience</i></p>

Background & Team Information (25%)

Section Score (0-10): _____

(15 pages maximum, excluding resumes)

(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
<ul style="list-style-type: none"> Instances, if relevant, in which the Respondent and Team Member(s) have worked together 			
<ul style="list-style-type: none"> Evidence and tenor of operations and management experience in electric power generation (including experience with operating agreements) <p>Anticipated roles and legal relationships include, among other relevant descriptions, whether Respondent is the entity expected to submit the response to the RFP and execute the PPP Contract as the Private Partner. Description also includes the entity expected to guarantee the Private Partner's performance under the PPP Contract in the case where Respondent is not the Private Partner (to the extent known at this time).</p>	20%	95	
<p>B. Respondent provides resumes for specific Key Individuals, including Spanish-speaking skills. The anticipated management team is comprised of individuals with at least ten (10) years of relevant electric generation managerial experience for all executive-level positions</p>	20%	95	
<p>C. Respondent submits a list of all technical, financial, legal, accounting, or other advisors that the Respondent or any Team Member has engaged or intends to engage</p>	10%	95	

4. Financial Capabilities

945

Financial Capabilities (10%)

Section Score (0-10): _____

(10 pages maximum, excluding audited financials & supporting information)
 (The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
Financial Capacity of Team: Respondent must demonstrate adequate financial wherewithal to fulfill the terms of the PPP Contract. Each Respondent or at least one (1) Team Member must provide:			
A. Evidence of the financial capability to obtain operational security in the form of an unconditional and irrevocable direct pay letter(s) of credit	30%	95	Good Excl. line
B. Evidence of creditworthiness, such as credit ratings, if any, for each Team Member, or historical metrics supporting credit quality comparable to those used by credit analysts	40%	9	
C. Copies of financial statements (audited preferred), Form 10-Ks, 20-Fs or similar types of annual reports for the past two (2) years, together with any other relevant financial information	30%	10	All provided

5. Technical & Operational Capabilities

9.17

Technical & Operational Capabilities (50%)
Section Score (0-10):

(50 pages maximum)
(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
Respondent must demonstrate its technical and operational capabilities to fulfill the terms of the PPP Contract. At least one (1) Team Member must provide:			
A. Concurrently operating and maintaining either (i) two (2) or more oil and/or gas-fired generating facilities larger than 100 MW, or (ii) a fleet of at least 1,000 MW combined of oil and/or gas-fired generating facilities;	20%	10	Very good reference regarding capabilities with various different units
B. Repairing and/or replacing oil and/or gas-fired generating facilities	10%	6	Some organic capabilities was missing
C. Experience with execution or oversight of plant decommissioning, including the procurement of qualified subcontractors to decommission power generation facilities	10%	10	Provided Decommissioning procedures or other essential data.
D. Demonstrated ability to safely operate similar technology generation facilities and managing outages and maintenance programs in order to achieve the operating objectives of the T&D Operator;	20%	9	Detailed maintenance support information

Technical & Operational Capabilities (50%)
 Section Score (0-10): _____

(50 pages maximum)

(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
E. Managing fuel supply for similar or similarly situated generating facilities, including delivery and storage and fuel quality testing;	20%	10	
F. Names of the locations where the Respondent or subcontractors have performed similar work as described above;	5%	10	
G. Certification of no significant or sustained environmental regulation violations or OSHA fines/violations;	10%	7	Some OSHA migration with activities against NABES
H. A demonstrable history of compliance with energy related policies, practices, and regulations from a state, commission, or other regulatory body	5%	10	

6. Safety Performance

9.17

Safety Performance (15%)

Section Score (0-10): _____

Requirements	Weighting	Score	Comments
Respondent and Team Member(s) demonstrate their ability to address and resolve safety issues and their knowledge of safety strategies and methodologies			
A. Demonstrated ability to address and resolve safety issues	30%	10	well documented
B. Demonstrated knowledge of safety strategies and methodologies	40%	10	well documented
C. Submit copies of the Occupational Safety and Health Administration (OSHA) 300 forms for the past three (3) years, only as related to electric generation operations. If not applicable, Respondent and Team Member(s) must present a document explaining the reasons for not submitting the form	30%	7	See previous explanations

Legacy Generation
P3A SOQ Evaluation Worksheet

Respondent: NR Energy Services Group LLC

Overall Rating: 93

Narrative: _____

Evaluation Scores

Summary Evaluation	
Compliance with Requirements of the PPP Act and Act 120	Pass / Fail
SOQ Requirements and Procedures	Pass / Fail
Background & Team Information (25%)	98 / 10
Financial Capabilities (10%)	92 / 10
Technical & Operational Capabilities (50%)	92 / 10
Safety Performance (15%)	94 / 10



1. Compliance with Requirements of PPP Act and Act 120

Pass

Compliance with Requirements of PPP Act and Act 120

Pass / Fail for Section:

Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee

Requirements	Score	Pass/Fail	Comments
Respondent provides evidence or certification that it shall be properly registered, or capable of being properly registered, to do business in Puerto Rico at the time of execution of the PPP Contract		<i>Pass</i>	
<i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFO)</i>			
Respondent provides statement it shall comply with all applicable Puerto Rico and U.S. laws and/or requirements		<i>Pass</i>	
<i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFO)</i>			
Each Respondent and Team Member provides a certification that complies with the requirements of Section 3, Part 1, 1.2 of the RFO		<i>Pass</i>	
<i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFO)</i>			
Respondent provides executed Document Acknowledgement and Contact Information letter, on behalf of the Respondent and each Team Member (executed by the contact person ("Respondent Representative") for all future communication between the Authority and the Respondent)		<i>Pass</i>	
<i>(Satisfied by executing the Form of Document Acknowledgement & Contact Information in Appendix B of the RFO)</i>			
Respondent provides an Appendix B Form of Document Acknowledgement & Contact Information stating the documents related to the RFP that were downloaded.		<i>Pass</i>	

2. SOQ Requirements and Procedures

SOQ Requirements

Pass / Fail for Section: Pass
Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee

Pass

Requirements	Pass/Fail	Comments
Respondents shall comply with the SOQ format requirements:		
SOQ must be prepared in English	<i>Pass</i>	
Cover page (to include identification of all management Team Members)	<i>Pass</i>	
Cover Letter (two pages maximum)	<i>Pass</i>	
Table of Contents	<i>Pass</i>	
Executive Summary (two pages maximum)	<i>Pass</i>	
SOQ is delivered no later than the Submission Deadline	<i>Pass</i>	
Respondent declares, and agrees to be under an obligation to declare, that it does not have knowledge of or the ability to avail itself of confidential information of the Government, PREPA or the Authority relevant to the Project, except to the extent it has been expressly authorized by the Government, PREPA, or the Authority	<i>Pass</i>	
Respondent declares, if applicable, any Conflicts of Interest or any situation that may be reasonably perceived as a Conflict of Interest that exists now or may exist in the future, in accordance with Section 4.7 of the RFQ	<i>Pass</i>	

[Handwritten signature]

Scored Sections

Use the following worksheets to rate the substantive sections of the Respondents' SOQs, assigning a score to each section as follows.

Excellent (9-10 pts): The Respondent has provided information relative to its qualifications which indicates a consistently outstanding level of quality. No weaknesses are identified.

Good (7-8 pts): The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses (if any) are identified and no correction is necessary.

Adequate (5-6 pts): The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses are identified and need to be corrected.

Deficient (3-4 pts): The Respondent has presented information relative to its qualifications which fails to fully meet the stated requirements. Major weaknesses are identified and need to be corrected.

Poor (0-2 pts): The Respondent has either presented information relative to its qualifications that contains significant weaknesses or the required information was not presented. Weaknesses are so major that a major revision to the SOQ would be necessary.

3. Background & Team Information

985

Background & Team Information (25%)

Section Score (0-10): _____

(15 pages maximum, excluding resumes)

(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
<p>Respondent and Team Member(s) are encouraged to provide enough supporting information and details to enable the evaluators to perform a thorough evaluation of their strengths, roles and responsibilities</p>			
<p>A. Respondent shall provide a description of the Respondent and all Team Members that identifies</p> <ul style="list-style-type: none"> • Anticipated roles, functions and overview of business operations • Jurisdiction, form of entity organization, ownership structure and capitalization • Anticipated legal relationships (e.g. joint ventures, partnerships), and percentage of ownership interest 	70%	9	<p>Very good information provided.</p>
<ul style="list-style-type: none"> • Up to five (5) individuals who will play an important role on behalf of the Respondent and Team Member(s) (the "Key Individuals") and their roles and previous experience (experience of the individuals should include experience in all phases of power plant project development including operating, maintaining, repairing, managing capital expenditures and decommissioning combined cycle, gas turbines and steam turbine generation plants) • Instances of working with Spanish-speaking workforces 			<p>Needs more experience in Spanish speaking.</p>

Background & Team Information (25%)

Section Score (0-10): _____

(15 pages maximum, excluding resumes)

(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
<ul style="list-style-type: none"> Instances, if relevant, in which the Respondent and Team Member(s) have worked together 			<i>All good graduation experience</i>
<ul style="list-style-type: none"> Evidence and tenor of operations and management experience in electric power generation (including experience with operating agreements) <p>Anticipated roles and legal relationships include, among other relevant descriptions, whether Respondent is the entity expected to submit the response to the RFP and execute the PPP Contract as the Private Partner. Description also includes the entity expected to guarantee the Private Partner's performance under the PPP Contract in the case where Respondent is not the Private Partner (to the extent known at this time).</p>			<i>Very good information provided.</i>
<p>B. Respondent provides resumes for specific Key Individuals, including Spanish-speaking skills. The anticipated management team is comprised of individuals with at least ten (10) years of relevant electric generation managerial experience for all executive-level positions</p>	20%	10	<i>Very good information provided.</i>
<p>C. Respondent submits a list of all technical, financial, legal, accounting, or other advisors that the Respondent or any Team Member has engaged or intends to engage</p>	10%	5	<i>Very good legal counsel</i>

4. Financial Capabilities

9.27

Financial Capabilities (10%)

Section Score (0-10): _____

(10 pages maximum, excluding audited financials & supporting information)
 (The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
Financial Capacity of Team: Respondent must demonstrate adequate financial wherewithal to fulfill the terms of the PPP Contract. Each Respondent or at least one (1) Team Member must provide:			
A. Evidence of the financial capability to obtain operational security in the form of an unconditional and irrevocable direct pay letter(s) of credit	30%	10	Very good credit rating
B. Evidence of creditworthiness, such as credit ratings, if any, for each Team Member, or historical metrics supporting credit quality comparable to those used by credit analysts	40%	8	
C. Copies of financial statements (audited preferred), Form 10-Ks, 20-Fs or similar types of annual reports for the past two (2) years, together with any other relevant financial information	30%	10	

5. Technical & Operational Capabilities

9.77

Technical & Operational Capabilities (50%)
Section Score (0-10): _____

(50 pages maximum)

(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
Respondent must demonstrate its technical and operational capabilities to fulfill the terms of the PPP Contract. At least one (1) Team Member must provide:			
A. Concurrently operating and maintaining either (i) two (2) or more oil and/or gas-fired generating facilities larger than 100 MW, or (ii) a fleet of at least 1,000 MW combined of oil and/or gas-fired generating facilities;	20%	10	Very good
B. Repairing and/or replacing oil and/or gas-fired generating facilities	10%	10	
C. Experience with execution or oversight of plant decommissioning, including the procurement of qualified subcontractors to decommission power generation facilities	10%	10	
D. Demonstrated ability to safely operate similar technology generation facilities and managing outages and maintenance programs in order to achieve the operating objectives of the T&D Operator;	20%	10	

Technical & Operational Capabilities (50%)
Section Score (0-10): _____

(50 pages maximum)

(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
E. Managing fuel supply for similar or similarly situated generating facilities, including delivery and storage and fuel quality testing:	20%	9	
F. Names of the locations where the Respondent or subcontractors have performed similar work as described above:	5%	10	
G. Certification of no significant or sustained environmental regulation violations or OSHA fines/violations:	10%	9	
H. A demonstrable history of compliance with energy related policies, practices, and regulations from a state, commission, or other regulatory body	5%	10	

6. Safety Performance

9.4

Safety Performance (15%)

Section Score (0-10): _____

Requirements	Weighting	Score	Comments
Respondent and Team Member(s) demonstrate their ability to address and resolve safety issues and their knowledge of safety strategies and methodologies			
A. Demonstrated ability to address and resolve safety issues	30%	10	Very comprehensive detailed procedures + management.
B. Demonstrated knowledge of safety strategies and methodologies	40%	10	
C. Submit copies of the Occupational Safety and Health Administration (OSHA) 300 forms for the past three (3) years, only as related to electric generation operations. If not applicable, Respondent and Team Member(s) must present a document explaining the reasons for not submitting the form	30%	8	Minor OSHA details

Legacy Generation
P3A SOQ Evaluation Worksheet

Respondent: Pro Energy Services, LLC

Overall Rating: SO

Narrative: _____

Evaluation Scores

Summary Evaluation	
Compliance with Requirements of the PPP Act and Act 120	Pass / Fail
SOQ Requirements and Procedures	Pass / Fail
Background & Team Information (25%)	29 / 10
Financial Capabilities (10%)	2 / 10
Technical & Operational Capabilities (50%)	8.5 / 10
Safety Performance (15%)	7 / 10

2. SOQ Requirements and Procedures

Pass

SOQ Requirements

Pass / Fail for Section: Pass
Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee

Requirements	Pass/Fail	Comments
Respondents shall comply with the SOQ format requirements:		
SOQ must be prepared in English	<i>Pass</i>	
Cover page (to include identification of all management Team Members)	<i>Pass</i>	
Cover Letter (two pages maximum)	<i>Pass</i>	
Table of Contents	<i>Pass</i>	
Executive Summary (two pages maximum)	<i>Pass</i>	
SOQ is delivered no later than the Submission Deadline	<i>Pass</i>	
Respondent declares, and agrees to be under an obligation to declare, that it does not have knowledge of or the ability to avail itself of confidential information of the Government, PREPA or the Authority relevant to the Project, except to the extent it has been expressly authorized by the Government, PREPA, or the Authority	<i>Pass</i>	
Respondent declares, if applicable, any Conflicts of Interest or any situation that may be reasonably perceived as a Conflict of Interest that exists now or may exist in the future, in accordance with Section 4.7 of the RFQ	<i>Pass</i>	

Scored Sections

Use the following worksheets to rate the substantive sections of the Respondents' SOQs, assigning a score to each section as follows.

Excellent (9-10 pts): The Respondent has provided information relative to its qualifications which indicates a consistently outstanding level of quality. No weaknesses are identified.

Good (7-8 pts): The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses (if any) are identified and no correction is necessary.

Adequate (5-6 pts): The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses are identified and need to be corrected.

Deficient (3-4 pts): The Respondent has presented information relative to its qualifications which fails to fully meet the stated requirements. Major weaknesses are identified and need to be corrected.

Poor (0-2 pts): The Respondent has either presented information relative to its qualifications that contains significant weaknesses or the required information was not presented. Weaknesses are so major that a major revision to the SOQ would be necessary.

3. Background & Team Information

7.95

Background & Team Information (25%)

Section Score (0-10): _____

(15 pages maximum, excluding resumes)

(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
<p>Respondent and Team Member(s) are encouraged to provide enough supporting information and details to enable the evaluators to perform a thorough evaluation of their strengths, roles and responsibilities</p>			
<p>A. Respondent shall provide a description of the Respondent and all Team Members that identifies</p> <ul style="list-style-type: none"> • Anticipated roles, functions and overview of business operations • Jurisdiction, form of entity organization, ownership structure and capitalization • Anticipated legal relationships (e.g. joint ventures, partnerships), and percentage of ownership interest • Up to five (5) individuals who will play an important role on behalf of the Respondent and Team Member(s) (the "Key Individuals") and their roles and previous experience (experience of the individuals should include experience in all phases of power plant project development including operating, maintaining, repairing, managing capital expenditures and decommissioning combined cycle, gas turbines and steam turbine generation plants) • Instances of working with Spanish-speaking workforces 	70%	8	<p>Very good quality information even though some info. was not provided.</p>
			<p>Some Spanish speaking</p>

Background & Team Information (25%)

Section Score (0-10): _____

(15 pages maximum, excluding resumes)

(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
<ul style="list-style-type: none"> Instances, if relevant, in which the Respondent and Team Member(s) have worked together 			N/A
<ul style="list-style-type: none"> Evidence and tenor of operations and management experience in electric power generation (including experience with operating agreements) 			Good power generation awards/feedback on AT O'Neil
<p>Anticipated roles and legal relationships include, among other relevant descriptions, whether Respondent is the entity expected to submit the response to the RFP and execute the PPP Contract as the Private Partner. Description also includes the entity expected to guarantee the Private Partner's performance under the PPP Contract in the case where Respondent is not the Private Partner (to the extent known at this time).</p>			
<p>B. Respondent provides resumes for specific Key Individuals, including Spanish-speaking skills. The anticipated management team is comprised of individuals with at least ten (10) years of relevant electric generation managerial experience for all executive-level positions</p>	20%	9	Good experience, some on O'Neil
<p>C. Respondent submits a list of all technical, financial, legal, accounting, or other advisors that the Respondent or any Team Member has engaged or intends to engage</p>	10%	5	They have local legal advisors

4. Financial Capabilities

7.15

Financial Capabilities (10%)

Section Score (0-10): _____

(10 pages maximum, excluding audited financials & supporting information)
 (The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
Financial Capacity of Team: Respondent must demonstrate adequate financial wherewithal to fulfill the terms of the PPP Contract. Each Respondent or at least one (1) Team Member must provide:			
A. Evidence of the financial capability to obtain operational security in the form of an unconditional and irrevocable direct pay letter(s) of credit	30%	7	Good working credit
B. Evidence of creditworthiness, such as credit ratings, if any, for each Team Member, or historical metrics supporting credit quality comparable to those used by credit analysts	40%	5	No clear evidence
C. Copies of financial statements (audited preferred), Form 10-Ks, 20-Fs or similar types of annual reports for the past two (2) years, together with any other relevant financial information	30%	10	All items done

5. Technical & Operational Capabilities

8.55

Technical & Operational Capabilities (50%)
 Section Score (0-10):

(50 pages maximum)
 (The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
Respondent must demonstrate its technical and operational capabilities to fulfill the terms of the PPP Contract. At least one (1) Team Member must provide:			
A. Concurrently operating and maintaining either (i) two (2) or more oil and/or gas-fired generating facilities larger than 100 MW, or (ii) a fleet of at least 1,000 MW combined of oil and/or gas-fired generating facilities;	20%	10	Good Oil experience
B. Repairing and/or replacing oil and/or gas-fired generating facilities	10%	9	Large size experience in repairs
C. Experience with execution or oversight of plant decommissioning, including the procurement of qualified subcontractors to decommission power generation facilities	10%	9	
D. Demonstrated ability to safely operate similar technology generation facilities and managing outages and maintenance programs in order to achieve the operating objectives of the T&D Operator;	20%	9	

Technical & Operational Capabilities (50%)
Section Score (0-10): _____

(50 pages maximum)

(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
E. Managing fuel supply for similar or similarly situated generating facilities, including delivery and storage and fuel quality testing;	20%	4	Fuel management capabilities list was not explained.
F. Names of the locations where the Respondent or subcontractors have performed similar work as described above;	5%	8	
G. Certification of no significant or sustained environmental regulation violations or OSHA fines/violations;	10%	9	Not clear
H. A demonstrable history of compliance with energy related policies, practices, and regulations from a state, commission, or other regulatory body	5%	9	Fuel shops operated in compliance

6. Safety Performance

Safety Performance (15%) *77*

Section Score (0-10): _____

Requirements	Weighting	Score	Comments
Respondent and Team Member(s) demonstrate their ability to address and resolve safety issues and their knowledge of safety strategies and methodologies			
A. Demonstrated ability to address and resolve safety issues	30%	<i>6</i>	<i>Not solid guidance was provided regarding the solutions of issues.</i>
B. Demonstrated knowledge of safety strategies and methodologies	40%	<i>7</i>	<i>OK</i>
C. Submit copies of the Occupational Safety and Health Administration (OSHA) 300 forms for the past three (3) years, only as related to electric generation operations. If not applicable, Respondent and Team Member(s) must present a document explaining the reasons for not submitting the form	30%	<i>8</i>	<i>OSHA numbers provided were signed.</i>

Legacy Generation
P3A SOQ Evaluation Worksheet

Respondent: PWR Power Systems, LLC

Overall Rating: 5.5

Narrative: _____



Evaluation Scores

Summary Evaluation	
Compliance with Requirements of the PPP Act and Act 120	Pass / Fail
SOQ Requirements and Procedures	Pass / Fail
Background & Team Information (25%)	<u>5</u> / 10
Financial Capabilities (10%)	<u>2.2</u> / 10
Technical & Operational Capabilities (50%)	<u>5.5</u> / 10
Safety Performance (15%)	<u>4.7</u> / 10

1. Compliance with Requirements of PPP Act and Act 120

Compliance with Requirements of PPP Act and Act 120

Pass / Fail for Section:

Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee

Requirements	Score	Pass/Fail	Comments
Respondent provides evidence or certification that it shall be properly registered, or capable of being properly registered, to do business in Puerto Rico at the time of execution of the PPP Contract		Pass	
<i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFO)</i>			
Respondent provides statement it shall comply with all applicable Puerto Rico and U.S. laws and/or requirements		Pass	
<i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFO)</i>			
Each Respondent and Team Member provides a certification that complies with the requirements of Section 3, Part 1, 1.2 of the RFO		Pass	
<i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFO)</i>			
Respondent provides executed Document Acknowledgement and Contact Information letter, on behalf of the Respondent and each Team Member (executed by the contact person ("Respondent Representative") for all future communication between the Authority and the Respondent)		Pass	
<i>(Satisfied by executing the Form of Document Acknowledgement & Contact Information in Appendix B of the RFO)</i>			
Respondent provides an Appendix B Form of Document Acknowledgement & Contact Information stating the documents related to the RFP that were downloaded.		Pass	

2. SOQ Requirements and Procedures

SOQ Requirements

Pass / Fail for Section: Pass
Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee

Requirements	Pass/Fail	Comments
Respondents shall comply with the SOQ format requirements:		
SOQ must be prepared in English	Pass	
Cover page (to include identification of all management Team Members)	Pass	
Cover Letter (two pages maximum)	Pass	
Table of Contents	Pass	
Executive Summary (two pages maximum)	Pass	
SOQ is delivered no later than the Submission Deadline	Pass	
Respondent declares, and agrees to be under an obligation to declare, that it does not have knowledge of or the ability to avail itself of confidential information of the Government, PREPA or the Authority relevant to the Project, except to the extent it has been expressly authorized by the Government, PREPA, or the Authority	Pass	
Respondent declares, if applicable, any Conflicts of Interest or any situation that may be reasonably perceived as a Conflict of Interest that exists now or may exist in the future, in accordance with Section 4.7 of the RFQ	Pass	

Scored Sections

Use the following worksheets to rate the substantive sections of the Respondents' SOQs, assigning a score to each section as follows.

Excellent (9-10 pts): The Respondent has provided information relative to its qualifications which indicates a consistently outstanding level of quality. No weaknesses are identified.

Good (7-8 pts): The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses (if any) are identified and no correction is necessary.

Adequate (5-6 pts): The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses are identified and need to be corrected.

Deficient (3-4 pts): The Respondent has presented information relative to its qualifications which fails to fully meet the stated requirements. Major weaknesses are identified and need to be corrected.

Poor (0-2 pts): The Respondent has either presented information relative to its qualifications that contains significant weaknesses or the required information was not presented. Weaknesses are so major that a major revision to the SOQ would be necessary.

3. Background & Team Information

Background & Team Information (25%)

Section Score (0-10): _____

(15 pages maximum, excluding resumes)

(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
<p>Respondent and Team Member(s) are encouraged to provide enough supporting information and details to enable the evaluators to perform a thorough evaluation of their strengths, roles and responsibilities</p>			
<p>A. Respondent shall provide a description of the Respondent and all Team Members that identifies</p> <ul style="list-style-type: none"> • Anticipated roles, functions and overview of business operations • Jurisdiction, form of entity organization, ownership structure and capitalization • Anticipated legal relationships (e.g. joint ventures, partnerships), and percentage of ownership interest • Up to five (5) individuals who will play an important role on behalf of the Respondent and Team Member(s) (the "Key Individuals") and their roles and previous experience (experience of the individuals should include experience in all phases of power plant project development including operating, maintaining, repairing, managing capital expenditures and decommissioning combined cycle, gas turbines and steam turbine generation plants) • Instances of working with Spanish-speaking workforces 	70%	5	<p>16 relevant parts, but not clear details about individuals.</p> <p>Structure by Subsidiaries adding up to relevant list.</p> <p>Strong Spanish speaking.</p>

7.77

Background & Team Information (25%)

Section Score (0-10): _____

(15 pages maximum, excluding resumes)

(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
<ul style="list-style-type: none"> Instances, if relevant, in which the Respondent and Team Member(s) have worked together 			Team members list?
<ul style="list-style-type: none"> Evidence and tenor of operations and management experience in electric power generation (including experience with operating agreements) <p>Anticipated roles and legal relationships include, among other relevant descriptions, whether Respondent is the entity expected to submit the response to the RFP and execute the PPP Contract as the Private Partner. Description also includes the entity expected to guarantee the Private Partner's performance under the PPP Contract in the case where Respondent is not the Private Partner (to the extent known at this time).</p>			Limited O&M experience
<p>B. Respondent provides resumes for specific Key Individuals, including Spanish-speaking skills. The anticipated management team is comprised of individuals with at least ten (10) years of relevant electric generation managerial experience for all executive-level positions</p>	20%	5	Resumes are provided, very little O&M.
<p>C. Respondent submits a list of all technical, financial, legal, accounting, or other advisors that the Respondent or any Team Member has engaged or intends to engage</p>	10%	5	Nothing specific, even though subcontractors may be used

4. Financial Capabilities

Financial Capabilities (10%)

Section Score (0-10): _____

(10 pages maximum, excluding audited financials & supporting information)

The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
Financial Capacity of Team: Respondent must demonstrate adequate financial wherewithal to fulfill the terms of the PPP Contract. Each Respondent or at least one (1) Team Member must provide:			
A. Evidence of the financial capability to obtain operational security in the form of an unconditional and irrevocable direct pay letter(s) of credit	30%	7	Not available for past activities.
B. Evidence of creditworthiness, such as credit ratings, if any, for each Team Member, or historical metrics supporting credit quality comparable to those used by credit analysts	40%	8	
C. Copies of financial statements (audited preferred), Form 10-Ks, 20-Fs or similar types of annual reports for the past two (2) years, together with any other relevant financial information	30%	8	All years old for the past activities.

5. Technical & Operational Capabilities

5.57

Technical & Operational Capabilities (50%)
 Section Score (0-10): _____

(50 pages maximum)

(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
<p>Respondent must demonstrate its technical and operational capabilities to fulfill the terms of the PPP Contract. At least one (1) Team Member must provide:</p>			
<p>A. Concurrently operating and maintaining either (i) two (2) or more oil and/or gas-fired generating facilities larger than 100 MW, or (ii) a fleet of at least 1,000 MW combined of oil and/or gas-fired generating facilities;</p>	20%	6	Not clear on responsibility
<p>B. Repairing and/or replacing oil and/or gas-fired generating facilities</p>	10%	6	Not clear on specific repair capabilities nor experience.
<p>C. Experience with execution or oversight of plant decommissioning, including the procurement of qualified subcontractors to decommission power generation facilities</p>	10%	6	Need more information for evaluation.
<p>D. Demonstrated ability to safely operate similar technology generation facilities and managing outages and maintenance programs in order to achieve the operating objectives of the T&D Operator;</p>	20%	6	Need more evidence. Not enough.

Technical & Operational Capabilities (50%)
Section Score (0-10): _____

(50 pages maximum)
 (The PPF Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
E. Managing fuel supply for similar or similarly situated generating facilities, including delivery and storage and fuel quality testing;	20%	4	Evidence of approvals listed. No approval before found.
F. Names of the locations where the Respondent or subcontractors have performed similar work as described above;	5%	6	Specific decommissioning not listed.
G. Certification of no significant or sustained environmental regulation violations or OSHA fines/violations;	10%	5	No overall certification was found.
H. A demonstrable history of compliance with energy related policies, practices, and regulations from a state, commission, or other regulatory body	5%	6	Limited reference to comply with regulatory activities.

6. Safety Performance

4.77

Safety Performance (15%)

Section Score (0-10): _____

Requirements	Weighting	Score	Comments
Respondent and Team Member(s) demonstrate their ability to address and resolve safety issues and their knowledge of safety strategies and methodologies			
A. Demonstrated ability to address and resolve safety issues	30%	5	
B. Demonstrated knowledge of safety strategies and methodologies	40%	5	Information was provided separate - PWS & OHS
C. Submit copies of the Occupational Safety and Health Administration (OSHA) 300 forms for the past three (3) years, only as related to electric generation operations. If not applicable, Respondent and Team Member(s) must present a document explaining the reasons for not submitting the form	30%	4	not complete OSHA documentation provided

Legacy Generation
P3A SOQ Evaluation Worksheet

Respondent: Siemens Energy, Inc

Overall Rating: 7.7

Narrative: _____



Evaluation Scores

Summary Evaluation	
Compliance with Requirements of the PPP Act and Act 120	Pass / Fail
SOQ Requirements and Procedures	Pass / Fail
Background & Team Information (25%)	8 / 10
Financial Capabilities (10%)	8 / 10
Technical & Operational Capabilities (50%)	22 / 10
Safety Performance (15%)	87 / 10

1. Compliance with Requirements of PPP Act and Act 120

Pass

Compliance with Requirements of PPP Act and Act 120

Pass / Fail for Section:

Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee

Requirements	Score	Pass/Fail	Comments
Respondent provides evidence or certification that it shall be properly registered, or capable of being properly registered, to do business in Puerto Rico at the time of execution of the PPP Contract		Pass	
(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFO)		Pass	
Respondent provides statement it shall comply with all applicable Puerto Rico and U.S. laws and/or requirements		Pass	
(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFO)		Pass	
Each Respondent and Team Member provides a certification that complies with the requirements of Section 3, Part 1, 1.2 of the RFO		Pass	
(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFO)		Pass	
Respondent provides executed Document Acknowledgement and Contact Information letter, on behalf of the Respondent and each Team Member (executed by the contact person ("Respondent Representative") for all future communication between the Authority and the Respondent)		Pass	
(Satisfied by executing the Form of Document Acknowledgement & Contact Information in Appendix B of the RFO)		Pass	
Respondent provides an Appendix B Form of Document Acknowledgement & Contact Information stating the documents related to the RFP that were downloaded.		Pass	

2. SOQ Requirements and Procedures

Pass

SOQ Requirements

Pass / Fail for Section: Pass
Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee

Requirements	Pass/Fail	Comments
Respondents shall comply with the SOQ format requirements: SOQ must be prepared in English	<i>Pass</i>	
Cover page (to include identification of all management Team Members)	<i>Pass</i>	
Cover Letter (two pages maximum)	<i>Pass</i>	
Table of Contents	<i>Pass</i>	
Executive Summary (two pages maximum)	<i>Pass</i>	
SOQ is delivered no later than the Submission Deadline	<i>Pass</i>	
Respondent declares, and agrees to be under an obligation to declare, that it does not have knowledge of or the ability to avail itself of confidential information of the Government, PREPA or the Authority relevant to the Project, except to the extent it has been expressly authorized by the Government, PREPA, or the Authority	<i>Pass</i>	
Respondent declares, if applicable, any Conflicts of Interest or any situation that may be reasonably perceived as a Conflict of Interest that exists now or may exist in the future, in accordance with Section 4.7 of the RFQ	<i>Pass</i>	

Scored Sections

Use the following worksheets to rate the substantive sections of the Respondents' SOQs, assigning a score to each section as follows.

Excellent (9-10 pts): The Respondent has provided information relative to its qualifications which indicates a consistently outstanding level of quality. No weaknesses are identified.

Good (7-8 pts): The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses (if any) are identified and no correction is necessary.

Adequate (5-6 pts): The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses are identified and need to be corrected.

Deficient (3-4 pts): The Respondent has presented information relative to its qualifications which fails to fully meet the stated requirements. Major weaknesses are identified and need to be corrected.

Poor (0-2 pts): The Respondent has either presented information relative to its qualifications that contains significant weaknesses or the required information was not presented. Weaknesses are so major that a major revision to the SOQ would be necessary.

3. Background & Team Information

871

Background & Team Information (25%)

Section Score (0-10): _____

(15 pages maximum, excluding resumes)

(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
<p>Respondent and Team Member(s) are encouraged to provide enough supporting information and details to enable the evaluators to perform a thorough evaluation of their strengths, roles and responsibilities</p>			
<p>A. Respondent shall provide a description of the Respondent and all Team Members that identifies</p>		9	
<ul style="list-style-type: none"> Anticipated roles, functions and overview of business operations 			<p>Separation of roles</p>
<ul style="list-style-type: none"> Jurisdiction, form of entity organization, ownership structure and capitalization 			<p>Clearly presented</p>
<ul style="list-style-type: none"> Anticipated legal relationships (e.g. joint ventures, partnerships), and percentage of ownership interest 			
<ul style="list-style-type: none"> Up to five (5) individuals who will play an important role on behalf of the Respondent and Team Member(s) (the "Key Individuals") and their roles and previous experience (experience of the individuals should include experience in all phases of power plant project development including operating, maintaining, repairing, managing capital expenditures and decommissioning combined cycle, gas turbines and steam turbine generation plants) 	70%		
<ul style="list-style-type: none"> Instances of working with Spanish-speaking workforces 			<p>Spanish speaking staff</p>

Background & Team Information (25%)

Section Score (0-10): _____

(15 pages maximum, excluding resumes)

(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
<ul style="list-style-type: none"> Instances, if relevant, in which the Respondent and Team Member(s) have worked together 			
<ul style="list-style-type: none"> Evidence and tenor of operations and management experience in electric power generation (including experience with operating agreements) <p>Anticipated roles and legal relationships include, among other relevant descriptions, whether Respondent is the entity expected to submit the response to the RFP and execute the PPP Contract as the Private Partner. Description also includes the entity expected to guarantee the Private Partner's performance under the PPP Contract in the case where Respondent is not the Private Partner (to the extent known at this time).</p>			<i>Strong Experience</i>
<p>B. Respondent provides resumes for specific Key Individuals, including Spanish-speaking skills. The anticipated management team is comprised of individuals with at least ten (10) years of relevant electric generation managerial experience for all executive-level positions</p>	20%	9	<i>Good information provided.</i>
<p>C. Respondent submits a list of all technical, financial, legal, accounting, or other advisors that the Respondent or any Team Member has engaged or intends to engage</p>	10%	0	

4. Financial Capabilities

Financial Capabilities (10%)

80/100

Section Score (0-10): _____

(10 pages maximum, excluding audited financials & supporting information)
 (The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
Financial Capacity of Team: Respondent must demonstrate adequate financial wherewithal to fulfill the terms of the PPP Contract. Each Respondent or at least one (1) Team Member must provide:			
A. Evidence of the financial capability to obtain operational security in the form of an unconditional and irrevocable direct pay letter(s) of credit	30%	7	No evidence provided even though. Since last year provided letter
B. Evidence of creditworthiness, such as credit ratings, if any, for each Team Member, or historical metrics supporting credit quality comparable to those used by credit analysts	40%	9	
C. Copies of financial statements (audited preferred), Form 10-Ks, 20-Fs or similar types of annual reports for the past two (2) years, together with any other relevant financial information	30%	8	

5. Technical & Operational Capabilities

7.25

Technical & Operational Capabilities (50%)
Section Score (0-10): _____

(50 pages maximum)

(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
Respondent must demonstrate its technical and operational capabilities to fulfill the terms of the PPP Contract. At least one (1) Team Member must provide:			
A. Concurrently operating and maintaining either (i) two (2) or more oil and/or gas-fired generating facilities larger than 100 MW, or (ii) a fleet of at least 1,000 MW combined of oil and/or gas-fired generating facilities;	20%	9	Very good operation in detail.
B. Repairing and/or replacing oil and/or gas-fired generating facilities	10%	9	
C. Experience with execution or oversight of plant decommissioning, including the procurement of qualified subcontractors to decommission power generation facilities	10%	7	
D. Demonstrated ability to safely operate similar technology generation facilities and managing outages and maintenance programs in order to achieve the operating objectives of the T&D Operator;	20%	8	ISO 9001, 14001, 25000 OHSAS 18001

Technical & Operational Capabilities (50%)
Section Score (0-10): _____

(50 pages maximum)

(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
E. Managing fuel supply for similar or similarly situated generating facilities, including delivery and storage and fuel quality testing;	20%	5	Not clear
F. Names of the locations where the Respondent or subcontractors have performed similar work as described above;	5%	7	Some evidence w/1 rating
G. Certification of no significant or sustained environmental regulation violations or OSHA fines/violations;	10%	5	
H. A demonstrable history of compliance with energy related policies, practices, and regulations from a state, commission, or other regulatory body	5%	7	

6. Safety Performance

Safety Performance (15%)

8.77

Section Score (0-10): _____

Requirements	Weighting	Score	Comments
Respondent and Team Member(s) demonstrate their ability to address and resolve safety issues and their knowledge of safety strategies and methodologies			
A. Demonstrated ability to address and resolve safety issues	30%	7	Complete present and evidence not given del.
B. Demonstrated knowledge of safety strategies and methodologies	40%	9	
C. Submit copies of the Occupational Safety and Health Administration (OSHA) 300 forms for the past three (3) years, only as related to electric generation operations. If not applicable, Respondent and Team Member(s) must present a document explaining the reasons for not submitting the form	30%	10	all