

Legacy Generation  
P3A SOQ Evaluation Worksheet

*SRP*

Respondent: Ahemgora NTPC Consortium  
C. G.

Overall Rating:

Narrative: Based on P3's long/short term recommendations

Evaluation Scores

Summary Evaluation	
Compliance with Requirements of the PPP Act and Act 120	Pass / Fail
SOQ Requirements and Procedures	Pass / Fail
Background & Team Information (25%)	5.2 / 10
Financial Capabilities (10%)	5.4 / 10
Technical & Operational Capabilities (50%)	7.1 / 10
Safety Performance (15%)	8.0 / 10

1. Compliance with Requirements of PPP Act and Act 120

Compliance with Requirements of PPP Act and Act 120		
Pass / Fail for Section: _____		
<i>Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee</i>		
Requirements	Score	Comments
Respondent provides evidence or certification that it shall be properly registered, or capable of being properly registered, to do business in Puerto Rico at the time of execution of the PPP Contract  <i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)</i>	Pass	
Respondent provides statement it shall comply with all applicable Puerto Rico and U.S. laws and/or requirements  <i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)</i>	Pass	
Each Respondent and Team Member provides a certification that complies with the requirements of Section 3, Part 1, 1.2 of the RFQ  <i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)</i>	Pass	
Respondent provides executed Document Acknowledgement and Contact Information letter, on behalf of the Respondent and each Team Member (executed by the contact person ("Respondent Representative") for all future communication between the Authority and the Respondent)  <i>(Satisfied by executing the Form of Document Acknowledgement &amp; Contact Information in Appendix B of the RFQ)</i>	Pass	
Respondent provides an Appendix B Form of Document Acknowledgement & Contact Information stating the documents related to the RFP that were downloaded.	Pass	

2. SOQ Requirements and Procedures

SOQ Requirements		
<i>Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee</i>		
Requirements	Pass/Fail	Comments
Respondents shall comply with the SOQ format requirements:		
SOQ must be prepared in English	Pass	
Cover page (to include identification of all management Team Members)	Pass	
Cover Letter (two pages maximum)	Pass	
Table of Contents	Pass	
Executive Summary (two pages maximum)	Pass	
SOQ is delivered no later than the Submission Deadline	Pass	
Respondent declares, and agrees to be under an obligation to declare, that it does not have knowledge of or the ability to avail itself of confidential information of the Government, PREPA or the Authority relevant to the Project, except to the extent it has been expressly authorized by the Government, PREPA, or the Authority	Pass	
Respondent declares, if applicable, any Conflicts of Interest or any situation that may be reasonably perceived as a Conflict of Interest that exists now or may exist in the future, in accordance with Section 4.7 of the RFQ	Pass	

**Scored Sections**

*Use the following worksheets to rate the substantive sections of the Respondents' SOQs, assigning a score to each section as follows.*

**Excellent (9-10 pts):** The Respondent has provided information relative to its qualifications which indicates a consistently outstanding level of quality. No weaknesses are identified.

**Good (7-8 pts):** The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses (if any) are identified and no correction is necessary.

**Adequate (5-6 pts):** The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses are identified and need to be corrected.

**Deficient (3-4 pts):** The Respondent has presented information relative to its qualifications which fails to fully meet the stated requirements. Major weaknesses are identified and need to be corrected.

**Poor (0-2 pts):** The Respondent has either presented information relative to its qualifications that contains significant weaknesses or the required information was not presented. Weaknesses are so major that a major revision to the SOQ would be necessary.

3. Background & Team Information

Background & Team Information (25%)			
Section Score (0-10): _____			
(15 pages maximum, excluding resumes)			
(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)			
Requirements	Weighting	Score	Comments
Respondent and Team Member(s) are encouraged to provide enough supporting information and details to enable the evaluators to perform a thorough evaluation of their strengths, roles and responsibilities			
<p>A. Respondent shall provide a description of the Respondent and all Team Members that identifies</p> <ul style="list-style-type: none"> <li>• Anticipated roles, functions and overview of business operations</li> <li>• Jurisdiction, form of entity organization, ownership structure and capitalization</li> <li>• Anticipated legal relationships (e.g. joint ventures, partnerships), and percentage of ownership interest</li> <li>• Up to five (5) individuals who will play an important role on behalf of the Respondent and Team Member(s) (the "Key Individuals") and their roles and previous experience (experience of the individuals should include experience in all phases of power plant project development including operating, maintaining, repairing, managing capital expenditures and decommissioning combined cycle, gas turbines and steam turbine generation plants)</li> <li>• Instances of working with Spanish-speaking workforces</li> </ul>	70%	5	

**Background & Team Information (25%)**

Section Score (0-10): \_\_\_\_\_

(15 pages maximum, excluding resumes)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
<ul style="list-style-type: none"> <li>Instances, if relevant, in which the Respondent and Team Member(s) have worked together</li> </ul>			
<ul style="list-style-type: none"> <li>Evidence and tenor of operations and management experience in electric power generation (including experience with operating agreements)</li> </ul> <p>Anticipated roles and legal relationships include, among other relevant descriptions, whether Respondent is the entity expected to submit the response to the RFP and execute the PPP Contract as the Private Partner. Description also includes the entity expected to guarantee the Private Partner's performance under the PPP Contract in the case where Respondent is not the Private Partner (to the extent known at this time).</p>	20%	6	
<p>B. Respondent provides resumes for specific Key Individuals, including Spanish-speaking skills. The anticipated management team is comprised of individuals with at least ten (10) years of relevant electric generation managerial experience for all executive-level positions</p>			
<p>C. Respondent submits a list of all technical, financial, legal, accounting, or other advisors that the Respondent or any Team Member has engaged or intends to engage</p>	10%	5	

4. Financial Capabilities

Financial Capabilities (10%)

Section Score (0-10): \_\_\_\_\_

(10 pages maximum, excluding audited financials & supporting information)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
Financial Capacity of Team: Respondent must demonstrate adequate financial wherewithal to fulfill the terms of the PPP Contract. Each Respondent or at least one (1) Team Member must provide:			
A. Evidence of the financial capability to obtain operational security in the form of an unconditional and irrevocable direct pay letter(s) of credit	30%	2	
B. Evidence of creditworthiness, such as credit ratings, if any, for each Team Member, or historical metrics supporting credit quality comparable to those used by credit analysts	40%	5	
C. Copies of financial statements (audited preferred), Form 10-Ks, 20-Fs or similar types of annual reports for the past two (2) years, together with any other relevant financial information	30%	4	

5. Technical & Operational Capabilities

Technical & Operational Capabilities (50%) Section Score (0-10): _____ (50 pages maximum) (The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)			
Requirements	Weighting	Score	Comments
Respondent must demonstrate its technical and operational capabilities to fulfill the terms of the PPP Contract. At least one (1) Team Member must provide:  A. Concurrently operating and maintaining either (i) two (2) or more oil and/or gas-fired generating facilities larger than 100 MW, or (ii) a fleet of at least 1,000 MW combined of oil and/or gas-fired generating facilities;	20%	7	
B. Repairing and/or replacing oil and/or gas-fired generating facilities	10%	4	
C. Experience with execution or oversight of plant decommissioning, including the procurement of qualified subcontractors to decommission power generation facilities	10%	7	
D. Demonstrated ability to safely operate similar technology generation facilities and managing outages and maintenance programs in order to achieve the operating objectives of the T&D Operator;	20%	7	

**Technical & Operational Capabilities (50%)**  
**Section Score (0-10): \_\_\_\_\_**

(50 pages maximum)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

<b>Requirements</b>	<b>Weighting</b>	<b>Score</b>	<b>Comments</b>
E. Managing fuel supply for similar or similarly situated generating facilities, including delivery and storage and fuel quality testing;	20%	4	
F. Names of the locations where the Respondent or subcontractors have performed similar work as described above;	5%	7	
G. Certification of no significant or sustained environmental regulation violations or OSHA fines/violations;	10%	9	
H. A demonstrable history of compliance with energy related policies, practices, and regulations from a state, commission, or other regulatory body	5%	9	

6. Safety Performance

Safety Performance (15%)			
Section Score (0-10): _____			
Requirements	Weighting	Score	Comments
Respondent and Team Member(s) demonstrate their ability to address and resolve safety issues and their knowledge of safety strategies and methodologies			
A. Demonstrated ability to address and resolve safety issues	30%	7	
B. Demonstrated knowledge of safety strategies and methodologies	40%	8	
C. Submit copies of the Occupational Safety and Health Administration (OSHA) 300 forms for the past three (3) years, only as related to electric generation operations. If not applicable, Respondent and Team Member(s) must present a document explaining the reasons for not submitting the form	30%	9	

Legacy Generation  
P3A SOQ Evaluation Worksheet

SAD

Respondent: Brown Energy LLC

Overall Rating: 6.6

Narrative: Based on P3A Consultant comments

Evaluation Scores

Summary Evaluation	
	Pass / Fail
Compliance with Requirements of the PPP Act and Act 120	Pass / Fail
SOQ Requirements and Procedures	Pass / Fail
Background & Team Information (25%)	7.3 / 10
Financial Capabilities (10%)	9 / 10
Technical & Operational Capabilities (50%)	7.8 / 10
Safety Performance (15%)	6.5 / 10

1. Compliance with Requirements of PPP Act and Act 120

Compliance with Requirements of PPP Act and Act 120		
Pass / Fail for Section: _____		
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Requirements	Score	Comments
Respondent provides evidence or certification that it shall be properly registered, or capable of being properly registered, to do business in Puerto Rico at the time of execution of the PPP Contract	Fail	
<i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)</i>		
Respondent provides statement it shall comply with all applicable Puerto Rico and U.S. laws and/or requirements	Fail	
<i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)</i>		
Each Respondent and Team Member provides a certification that complies with the requirements of Section 3, Part 1, 1.2 of the RFQ	Pass	
<i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)</i>		
Respondent provides executed Document Acknowledgement and Contact Information letter, on behalf of the Respondent and each Team Member (executed by the contact person ("Respondent Representative") for all future communication between the Authority and the Respondent)	Pass	
<i>(Satisfied by executing the Form of Document Acknowledgement &amp; Contact Information in Appendix B of the RFQ)</i>		
Respondent provides an Appendix B Form of Document Acknowledgement & Contact Information stating the documents related to the RFP that were downloaded.	Fail	

2. SOQ Requirements and Procedures

SOQ Requirements		
<i>Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee</i>		
Requirements	Pass/Fail	Comments
Respondents shall comply with the SOQ format requirements:		
SOQ must be prepared in English	Pass	
Cover page (to include identification of all management Team Members)	Pass	
Cover Letter (two pages maximum)	Pass	
Table of Contents	Pass	
Executive Summary (two pages maximum)	Pass	
SOQ is delivered no later than the Submission Deadline	Pass	
Respondent declares, and agrees to be under an obligation to declare, that it does not have knowledge of or the ability to avail itself of confidential information of the Government, PREPA or the Authority relevant to the Project, except to the extent it has been expressly authorized by the Government, PREPA, or the Authority	Pass	
Respondent declares, if applicable, any Conflicts of Interest or any situation that may be reasonably perceived as a Conflict of Interest that exists now or may exist in the future, in accordance with Section 4.7 of the RFQ	Pass	

**Scored Sections**

*Use the following worksheets to rate the substantive sections of the Respondents' SOQs, assigning a score to each section as follows.*

**Excellent (9-10 pts):** The Respondent has provided information relative to its qualifications which indicates a consistently outstanding level of quality. No weaknesses are identified.

**Good (7-8 pts):** The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses (if any) are identified and no correction is necessary.

**Adequate (5-6 pts):** The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses are identified and need to be corrected.

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**Poor (0-2 pts):** The Respondent has either presented information relative to its qualifications that contains significant weaknesses or the required information was not presented. Weaknesses are so major that a major revision to the SOQ would be necessary.

3. Background & Team Information

Background & Team Information (25%)			
Section Score (0-10): _____			
(15 pages maximum, excluding resumes)			
(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)			
Requirements	Weighting	Score	Comments
<p>Respondent and Team Member(s) are encouraged to provide enough supporting information and details to enable the evaluators to perform a thorough evaluation of their strengths, roles and responsibilities</p> <p>A. Respondent shall provide a description of the Respondent and all Team Members that identifies</p> <ul style="list-style-type: none"> <li>• Anticipated roles, functions and overview of business operations</li> <li>• Jurisdiction, form of entity organization, ownership structure and capitalization</li> <li>• Anticipated legal relationships (e.g. joint ventures, partnerships), and percentage of ownership interest</li> <li>• Up to five (5) individuals who will play an important role on behalf of the Respondent and Team Member(s) (the "Key Individuals") and their roles and previous experience (experience of the individuals should include experience in all phases of power plant project development including operating, maintaining, repairing, managing capital expenditures and decommissioning combined cycle, gas turbines and steam turbine generation plants)</li> <li>• Instances of working with Spanish-speaking workforces</li> </ul>	70%	7	

**Background & Team Information (25%)**

**Section Score (0-10): \_\_\_\_\_**

(15 pages maximum, excluding resumes)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements		Weighting	Score	Comments
<ul style="list-style-type: none"> <li>Instances, if relevant, in which the Respondent and Team Member(s) have worked together</li> </ul>				
<ul style="list-style-type: none"> <li>Evidence and tenor of operations and management experience in electric power generation (including experience with operating agreements)</li> </ul>				
<p>Anticipated roles and legal relationships include, among other relevant descriptions, whether Respondent is the entity expected to submit the response to the RFP and execute the PPP Contract as the Private Partner. Description also includes the entity expected to guarantee the Private Partner's performance under the PPP Contract in the case where Respondent is not the Private Partner (to the extent known at this time).</p>				
<p>B. Respondent provides resumes for specific Key Individuals, including Spanish-speaking skills. The anticipated management team is comprised of individuals with at least ten (10) years of relevant electric generation managerial experience for all executive-level positions</p>		20%	7	
<p>C. Respondent submits a list of all technical, financial, legal, accounting, or other advisors that the Respondent or any Team Member has engaged or intends to engage</p>		10%	10	

4. Financial Capabilities

Financial Capabilities (10%)				
Section Score (0-10): _____				
<i>(10 pages maximum, excluding audited financials &amp; supporting information)</i>				
<i>(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)</i>				
Requirements	Weighting	Score	Comments	
Financial Capacity of Team: Respondent must demonstrate adequate financial wherewithal to fulfill the terms of the PPP Contract. Each Respondent or at least one (1) Team Member must provide:				
A. Evidence of the financial capability to obtain operational security in the form of an unconditional and irrevocable direct pay letter(s) of credit	30%	0		
B. Evidence of creditworthiness, such as credit ratings, if any, for each Team Member, or historical metrics supporting credit quality comparable to those used by credit analysts	40%	0		
C. Copies of financial statements (audited preferred), Form 10-Ks, 20-Fs or similar types of annual reports for the past two (2) years, together with any other relevant financial information	30%	0		

5. Technical & Operational Capabilities

Technical & Operational Capabilities (50%) Section Score (0-10): _____ (50 pages maximum) (The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)			
Requirements	Weighting	Score	Comments
Respondent must demonstrate its technical and operational capabilities to fulfill the terms of the PPP Contract. At least one (1) Team Member must provide:  A. Concurrently operating and maintaining either (i) two (2) or more oil and/or gas-fired generating facilities larger than 100 MW, or (ii) a fleet of at least 1,000 MW combined of oil and/or gas-fired generating facilities;	20%	9	
B. Repairing and/or replacing oil and/or gas-fired generating facilities	10%	4	
C. Experience with execution or oversight of plant decommissioning, including the procurement of qualified subcontractors to decommission power generation facilities	10%	8	
D. Demonstrated ability to safely operate similar technology generation facilities and managing outages and maintenance programs in order to achieve the operating objectives of the T&D Operator;	20%	7	

**Technical & Operational Capabilities (50%)**  
**Section Score (0-10): \_\_\_\_\_**

(50 pages maximum)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
E. Managing fuel supply for similar or similarly situated generating facilities, including delivery and storage and fuel quality testing;	20%	00	
F. Names of the locations where the Respondent or subcontractors have performed similar work as described above;	5%	00	
G. Certification of no significant or sustained environmental regulation violations or OSHA fines/violations;	10%	4	
H. A demonstrable history of compliance with energy related policies, practices, and regulations from a state, commission, or other regulatory body	5%	2	

6. Safety Performance

Safety Performance (15%)			
Section Score (0-10): _____			
Requirements	Weighting	Score	Comments
Respondent and Team Member(s) demonstrate their ability to address and resolve safety issues and their knowledge of safety strategies and methodologies			
A. Demonstrated ability to address and resolve safety issues	30%	8	
B. Demonstrated knowledge of safety strategies and methodologies	40%	9	
C. Submit copies of the Occupational Safety and Health Administration (OSHA) 300 forms for the past three (3) years, only as related to electric generation operations. If not applicable, Respondent and Team Member(s) must present a document explaining the reasons for not submitting the form	30%	2	

Legacy Generation  
P3A SOQ Evaluation Worksheet

GAD

Respondent: Camilo Morales Asst Management Services, LLC

Overall Rating: C-0

Narrative: Based on P3's Consultant Recommendations

Evaluation Scores

Summary Evaluation	
	Pass / Fail
Compliance with Requirements of the PPP Act and Act 120	Pass / Fail
SOQ Requirements and Procedures	Pass / Fail <u>Not a Fail</u>
Background & Team Information (25%)	<u>4.9</u> / 10
Financial Capabilities (10%)	<u>4.5</u> / 10
Technical & Operational Capabilities (50%)	<u>7.4</u> / 10
Safety Performance (15%)	<u>8.2</u> / 10

Privileged and  
Confidential

1. Compliance with Requirements of PPP Act and Act 120

Compliance with Requirements of PPP Act and Act 120		
Pass / Fail for Section: _____		
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Requirements	Score	Comments
Respondent provides evidence or certification that it shall be properly registered, or capable of being properly registered, to do business in Puerto Rico at the time of execution of the PPP Contract  <i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)</i>	Pass	
Respondent provides statement it shall comply with all applicable Puerto Rico and U.S. laws and/or requirements  <i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)</i>	Pass	
Each Respondent and Team Member provides a certification that complies with the requirements of Section 3, Part 1, 1.2 of the RFQ  <i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)</i>	Pass	
Respondent provides executed Document Acknowledgement and Contact Information letter, on behalf of the Respondent and each Team Member (executed by the contact person ("Respondent Representative") for all future communication between the Authority and the Respondent)  <i>(Satisfied by executing the Form of Document Acknowledgement &amp; Contact Information in Appendix B of the RFQ)</i>	Pass	
Respondent provides an Appendix B Form of Document Acknowledgement & Contact Information stating the documents related to the RFP that were downloaded.	Pass	

2. SOQ Requirements and Procedures

SOQ Requirements		
Pass / Fail for Section: <u>Pass</u>		
Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee		
Requirements	Pass/Fail	Comments
Respondents shall comply with the SOQ format requirements:		
SOQ must be prepared in English	Pass	
Cover page (to include identification of all management Team Members)	Pass	
Cover Letter (two pages maximum)	Pass	
Table of Contents	Pass	
Executive Summary (two pages maximum)	Pass	
SOQ is delivered no later than the Submission Deadline	Pass	
Respondent declares, and agrees to be under an obligation to declare, that it does not have knowledge of or the ability to avail itself of confidential information of the Government, PREPA or the Authority relevant to the Project, except to the extent it has been expressly authorized by the Government, PREPA, or the Authority	Pass	
Respondent declares, if applicable, any Conflicts of Interest or any situation that may be reasonably perceived as a Conflict of Interest that exists now or may exist in the future, in accordance with Section 4.7 of the RFQ	Pass	

on 9/22/21  
 reviewed  
 on 9/22/21

**Scored Sections**

*Use the following worksheets to rate the substantive sections of the Respondents' SOQs, assigning a score to each section as follows.*

**Excellent (9-10 pts):** The Respondent has provided information relative to its qualifications which indicates a consistently outstanding level of quality. No weaknesses are identified.

**Good (7-8 pts):** The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses (if any) are identified and no correction is necessary.

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**Poor (0-2 pts):** The Respondent has either presented information relative to its qualifications that contains significant weaknesses or the required information was not presented. Weaknesses are so major that a major revision to the SOQ would be necessary.

3. Background & Team Information

Background & Team Information (25%)			
Section Score (0-10): _____			
(15 pages maximum, excluding resumes)			
<i>(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)</i>			
Requirements	Weighting	Score	Comments
<p>Respondent and Team Member(s) are encouraged to provide enough supporting information and details to enable the evaluators to perform a thorough evaluation of their strengths, roles and responsibilities</p> <p>A. Respondent shall provide a description of the Respondent and all Team Members that identifies</p> <ul style="list-style-type: none"> <li>• Anticipated roles, functions and overview of business operations</li> <li>• Jurisdiction, form of entity organization, ownership structure and capitalization</li> <li>• Anticipated legal relationships (e.g. joint ventures, partnerships), and percentage of ownership interest</li> <li>• Up to five (5) individuals who will play an important role on behalf of the Respondent and Team Member(s) (the "Key Individuals") and their roles and previous experience (experience of the individuals should include experience in all phases of power plant project development including operating, maintaining, repairing, managing capital expenditures and decommissioning combined cycle, gas turbines and steam turbine generation plants)</li> <li>• Instances of working with Spanish-speaking workforces</li> </ul>	70%	X	

**Background & Team Information (25%)**

Section Score (0-10): \_\_\_\_\_

(15 pages maximum, excluding resumes)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
<ul style="list-style-type: none"> <li>Instances, if relevant, in which the Respondent and Team Member(s) have worked together</li> </ul>			
<ul style="list-style-type: none"> <li>Evidence and tenor of operations and management experience in electric power generation (including experience with operating agreements)</li> </ul> <p>Anticipated roles and legal relationships include, among other relevant descriptions, whether Respondent is the entity expected to submit the response to the RFP and execute the PPP Contract as the Private Partner. Description also includes the entity expected to guarantee the Private Partner's performance under the PPP Contract in the case where Respondent is not the Private Partner (to the extent known at this time).</p>			
<p><b>B.</b> Respondent provides resumes for specific Key Individuals, including Spanish-speaking skills. The anticipated management team is comprised of individuals with at least ten (10) years of relevant electric generation managerial experience for all executive-level positions</p>	20%	7	
<p><b>C.</b> Respondent submits a list of all technical, financial, legal, accounting, or other advisors that the Respondent or any Team Member has engaged or intends to engage</p>	10%	0	

4. Financial Capabilities

Financial Capabilities (10%)

Section Score (0-10): \_\_\_\_\_

(10 pages maximum, excluding audited financials & supporting information)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
Financial Capacity of Team: Respondent must demonstrate adequate financial wherewithal to fulfill the terms of the PPP Contract. Each Respondent or at least one (1) Team Member must provide:			
A. Evidence of the financial capability to obtain operational security in the form of an unconditional and irrevocable direct pay letter(s) of credit	30%	5	
B. Evidence of creditworthiness, such as credit ratings, if any, for each Team Member, or historical metrics supporting credit quality comparable to those used by credit analysts	40%	0	
C. Copies of financial statements (audited preferred), Form 10-Ks, 20-Fs or similar types of annual reports for the past two (2) years, together with any other relevant financial information	30%	10	

5. Technical & Operational Capabilities

Technical & Operational Capabilities (50%)  
 Section Score (0-10): \_\_\_\_\_

(50 pages maximum)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
Respondent must demonstrate its technical and operational capabilities to fulfill the terms of the PPP Contract. At least one (1) Team Member must provide:			
A. Concurrently operating and maintaining either (i) two (2) or more oil and/or gas-fired generating facilities larger than 100 MW, or (ii) a fleet of at least 1,000 MW combined of oil and/or gas-fired generating facilities;	20%	9	
B. Repairing and/or replacing oil and/or gas-fired generating facilities	10%	2	
C. Experience with execution or oversight of plant decommissioning, including the procurement of qualified subcontractors to decommission power generation facilities	10%	9	
D. Demonstrated ability to safely operate similar technology generation facilities and managing outages and maintenance programs in order to achieve the operating objectives of the T&D Operator;	20%	8	

**Technical & Operational Capabilities (50%)**

Section Score (0-10): \_\_\_\_\_

(50 pages maximum)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
E. Managing fuel supply for similar or similarly situated generating facilities, including delivery and storage and fuel quality testing;	20%	7	
F. Names of the locations where the Respondent or subcontractors have performed similar work as described above;	5%	8	
G. Certification of no significant or sustained environmental regulation violations or OSHA fines/violations;	10%	4	
H. A demonstrable history of compliance with energy related policies, practices, and regulations from a state, commission, or other regulatory body	5%	10	

6. Safety Performance

Safety Performance (15%)			
Section Score (0-10): _____			
Requirements	Weighting	Score	Comments
<p>Respondent and Team Member(s) demonstrate their ability to address and resolve safety issues and their knowledge of safety strategies and methodologies</p>			
<p>A. Demonstrated ability to address and resolve safety issues</p>	30%	7	
<p>B. Demonstrated knowledge of safety strategies and methodologies</p>	40%	7	
<p>C. Submit copies of the Occupational Safety and Health Administration (OSHA) 300 forms for the past three (3) years, only as related to electric generation operations. If not applicable, Respondent and Team Member(s) must present a document explaining the reasons for not submitting the form</p>	30%	7	

Legacy Generation  
P3A SOQ Evaluation Worksheet

Respondent: Leo Buehner, VP

Overall Rating: 7.5

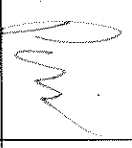



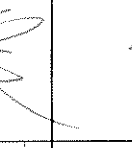
Narrative: Based on P3A consultant recommendation

*(Signature)*









Evaluation Scores

Summary Evaluation	
	Pass / Fail
Compliance with Requirements of the PPP Act and Act 120	Pass / Fail
SOQ Requirements and Procedures	Pass / Fail
Background & Team Information (25%)	9.2 / 10
Financial Capabilities (10%)	8.1 / 10
Technical & Operational Capabilities (50%)	9.8 / 10
Safety Performance (15%)	9.7 / 10

**1. Compliance with Requirements of PPP Act and Act 120**

<b>Compliance with Requirements of PPP Act and Act 120</b>		
<b>Pass / Fail for Section:</b>		
<i>Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee</i>		
<b>Requirements</b>	<b>Score</b>	<b>Comments</b>
Respondent provides evidence or certification that it shall be properly registered, or capable of being properly registered, to do business in Puerto Rico at the time of execution of the PPP Contract	Pass	
<i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFO)</i>		
Respondent provides statement it shall comply with all applicable Puerto Rico and U.S. laws and/or requirements	Pass	
<i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFO)</i>		
Each Respondent and Team Member provides a certification that complies with the requirements of Section 3, Part 1, 1.2 of the RFO	Pass	
<i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFO)</i>		
Respondent provides executed Document Acknowledgement and Contact Information letter, on behalf of the Respondent and each Team Member (executed by the contact person ("Respondent Representative")) for all future communication between the Authority and the Respondent	Pass	
<i>(Satisfied by executing the Form of Document Acknowledgement &amp; Contact Information in Appendix B of the RFO)</i>		
Respondent provides an Appendix B Form of Document Acknowledgement & Contact Information stating the documents related to the RFP that were downloaded.	Pass	
		

2. SOQ Requirements and Procedures

SOQ Requirements		
<i>Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee</i>		
Requirements	Pass/Fail	Comments
Respondents shall comply with the SOQ format requirements:		
SOQ must be prepared in English		
Cover page (to include identification of all management Team Members)		
Cover Letter (two pages maximum)		
Table of Contents		
Executive Summary (two pages maximum)		
SOQ is delivered no later than the Submission Deadline		
Respondent declares, and agrees to be under an obligation to declare, that it does not have knowledge of or the ability to avail itself of confidential information of the Government, PREPA or the Authority relevant to the Project, except to the extent it has been expressly authorized by the Government, PREPA, or the Authority		
Respondent declares, if applicable, any Conflicts of Interest or any situation that may be reasonably perceived as a Conflict of Interest that exists now or may exist in the future, in accordance with Section 4.7 of the RFQ		

**Scored Sections**

*Use the following worksheets to rate the substantive sections of the Respondents' SOQs, assigning a score to each section as follows.*

**Excellent (9-10 pts):** The Respondent has provided information relative to its qualifications which indicates a consistently outstanding level of quality. No weaknesses are identified.

**Good (7-8 pts):** The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses (if any) are identified and no correction is necessary.

**Adequate (5-6 pts):** The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses are identified and need to be corrected.

**Deficient (3-4 pts):** The Respondent has presented information relative to its qualifications which fails to fully meet the stated requirements. Major weaknesses are identified and need to be corrected.

**Poor (0-2 pts):** The Respondent has either presented information relative to its qualifications that contains significant weaknesses or the required information was not presented. Weaknesses are so major that a major revision to the SOQ would be necessary.

3. Background & Team Information

Background & Team Information (25%)

Section Score (0-10): \_\_\_\_\_

(15 pages maximum, excluding resumes)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
<p>Respondent and Team Member(s) are encouraged to provide enough supporting information and details to enable the evaluators to perform a thorough evaluation of their strengths, roles and responsibilities</p>			
<p>A. Respondent shall provide a description of the Respondent and all Team Members that identifies</p>		7	
<ul style="list-style-type: none"> <li>Anticipated roles, functions and overview of business operations</li> </ul>			
<ul style="list-style-type: none"> <li>Jurisdiction, form of entity organization, ownership structure and capitalization</li> </ul>			
<ul style="list-style-type: none"> <li>Anticipated legal relationships (e.g. joint ventures, partnerships), and percentage of ownership interest</li> </ul>			
<ul style="list-style-type: none"> <li>Up to five (5) individuals who will play an important role on behalf of the Respondent and Team Member(s) (the "Key Individuals") and their roles and previous experience (experience of the individuals should include experience in all phases of power plant project development including operating, maintaining, repairing, managing capital expenditures and decommissioning combined cycle, gas turbines and steam turbine generation plants)</li> </ul>	70%		
<ul style="list-style-type: none"> <li>Instances of working with Spanish-speaking workforces</li> </ul>			

**Background & Team Information (25%)**

Section Score (0-10): \_\_\_\_\_

(15 pages maximum, excluding resumes)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
<ul style="list-style-type: none"> <li>Instances, if relevant, in which the Respondent and Team Member(s) have worked together</li> </ul>			
<ul style="list-style-type: none"> <li>Evidence and tenor of operations and management experience in electric power generation (including experience with operating agreements)</li> </ul> <p>Anticipated roles and legal relationships include, among other relevant descriptions, whether Respondent is the entity expected to submit the response to the RFP and execute the PPP Contract as the Private Partner. Description also includes the entity expected to guarantee the Private Partner's performance under the PPP Contract in the case where Respondent is not the Private Partner (to the extent known at this time).</p>	20%	X	
<p>B. Respondent provides resumes for specific Key Individuals, including Spanish-speaking skills. The anticipated management team is comprised of individuals with at least ten (10) years of relevant electric generation managerial experience for all executive-level positions</p>		X	
<p>C. Respondent submits a list of all technical, financial, legal, accounting, or other advisors that the Respondent or any Team Member has engaged or intends to engage</p>	10%	10	

4. Financial Capabilities

Financial Capabilities (10%)

Section Score (0-10): \_\_\_\_\_

(10 pages maximum, excluding audited financials & supporting information)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
Financial Capacity of Team: Respondent must demonstrate adequate financial wherewithal to fulfill the terms of the PPP Contract. Each Respondent or at least one (1) Team Member must provide:			
A. Evidence of the financial capability to obtain operational security in the form of an unconditional and irrevocable direct pay letter(s) of credit	30%	0	
B. Evidence of creditworthiness, such as credit ratings, if any, for each Team Member, or historical metrics supporting credit quality comparable to those used by credit analysts	40%	0	
C. Copies of financial statements (audited preferred), Form 10-Ks, 20-Fs or similar types of annual reports for the past two (2) years, together with any other relevant financial information	30%	0	

5. Technical & Operational Capabilities

Technical & Operational Capabilities (50%) Section Score (0-10): _____ (50 pages maximum) (The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)			
Requirements	Weighting	Score	Comments
Respondent must demonstrate its technical and operational capabilities to fulfill the terms of the PPP Contract. At least one (1) Team Member must provide:  A. Concurrently operating and maintaining either (i) two (2) or more oil and/or gas-fired generating facilities larger than 100 MW, or (ii) a fleet of at least 1,000 MW combined of oil and/or gas-fired generating facilities;	20%	4	
B. Repairing and/or replacing oil and/or gas-fired generating facilities	10%	5	
C. Experience with execution or oversight of plant decommissioning, including the procurement of qualified subcontractors to decommission power generation facilities	10%	4	
D. Demonstrated ability to safely operate similar technology generation facilities and managing outages and maintenance programs in order to achieve the operating objectives of the T&D Operator;	20%	4	

**Technical & Operational Capabilities (50%)**

Section Score (0-10): \_\_\_\_\_

(50 pages maximum)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
E. Managing fuel supply for similar or similarly situated generating facilities, including delivery and storage and fuel quality testing;	20%	9	
F. Names of the locations where the Respondent or subcontractors have performed similar work as described above;	5%	9	
G. Certification of no significant or sustained environmental regulation violations or OSHA fines/violations;	10%	9	
H. A demonstrable history of compliance with energy related policies, practices, and regulations from a state, commission, or other regulatory body	5%	9	

6. Safety Performance

Safety Performance (15%)			
Section Score (0-10): _____			
Requirements	Weighting	Score	Comments
Respondent and Team Member(s) demonstrate their ability to address and resolve safety issues and their knowledge of safety strategies and methodologies			
A. Demonstrated ability to address and resolve safety issues	30%	9	
B. Demonstrated knowledge of safety strategies and methodologies	40%	9	
C. Submit copies of the Occupational Safety and Health Administration (OSHA) 300 forms for the past three (3) years, only as related to electric generation operations. If not applicable, Respondent and Team Member(s) must present a document explaining the reasons for not submitting the form	30%	10	

Legacy Generation  
P3A SOQ Evaluation Worksheet

4AD

Respondent: Empire Generation de Electricidad Humana, SA

Overall Rating: B-1

Narrative: Based on P3A Consultants recommendations

Evaluation Scores

Summary Evaluation	
Compliance with Requirements of the PPP Act and Act 120	Pass / Fail
SOQ Requirements and Procedures	Pass / Fail
Background & Team Information (25%)	7.5 / 10
Financial Capabilities (10%)	8.0 / 10
Technical & Operational Capabilities (50%)	8.2 / 10
Safety Performance (15%)	8.0 / 10






Privileged and  
Confidential

1. Compliance with Requirements of PPP Act and Act 120

Compliance with Requirements of PPP Act and Act 120

Pass / Fail for Section:

*Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee*

Requirements	Score	Pass/Fail	Comments
Respondent provides evidence or certification that it shall be properly registered, or capable of being properly registered, to do business in Puerto Rico at the time of execution of the PPP Contract  <i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)</i>			
Respondent provides statement it shall comply with all applicable Puerto Rico and U.S. laws and/or requirements  <i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)</i>			
Each Respondent and Team Member provides a certification that complies with the requirements of Section 3, Part 1, 1.2 of the RFQ  <i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)</i>			
Respondent provides executed Document Acknowledgement and Contact Information letter, on behalf of the Respondent and each Team Member (executed by the contact person ("Respondent Representative") for all future communication between the Authority and the Respondent)  <i>(Satisfied by executing the Form of Document Acknowledgement &amp; Contact Information in Appendix B of the RFQ)</i>			
Respondent provides an Appendix B Form of Document Acknowledgement & Contact Information stating the documents related to the RFP that were downloaded.			

2. SOQ Requirements and Procedures

SOQ Requirements		
<i>Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee</i>		
Requirements	Pass/Fail	Comments
Respondents shall comply with the SOQ format requirements:		
SOQ must be prepared in English	Pass	
Cover page (to include identification of all management Team Members)	Pass	
Cover Letter (two pages maximum)	Pass	
Table of Contents	Pass	
Executive Summary (two pages maximum)	Pass	
SOQ is delivered no later than the Submission Deadline	Pass	
Respondent declares, and agrees to be under an obligation to declare, that it does not have knowledge of or the ability to avail itself of confidential information of the Government, PREPA or the Authority relevant to the Project, except to the extent it has been expressly authorized by the Government, PREPA, or the Authority	Pass	
Respondent declares, if applicable, any Conflicts of Interest or any situation that may be reasonably perceived as a Conflict of Interest that exists now or may exist in the future, in accordance with Section 4.7 of the RFQ	Pass	

Privileged and Confidential

**Scored Sections**

*Use the following worksheets to rate the substantive sections of the Respondents' SOQs, assigning a score to each section as follows.*

**Excellent (9-10 pts):** The Respondent has provided information relative to its qualifications which indicates a consistently outstanding level of quality. No weaknesses are identified.

**Good (7-8 pts):** The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses (if any) are identified and no correction is necessary.

**Adequate (5-6 pts):** The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses are identified and need to be corrected.

**Deficient (3-4 pts):** The Respondent has presented information relative to its qualifications which fails to fully meet the stated requirements. Major weaknesses are identified and need to be corrected.

**Poor (0-2 pts):** The Respondent has either presented information relative to its qualifications that contains significant weaknesses or the required information was not presented. Weaknesses are so major that a major revision to the SOQ would be necessary.

3. Background & Team Information

Background & Team Information (25%)			
Section Score (0-10): _____			
(15 pages maximum, excluding resumes)			
<i>(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)</i>			
Requirements	Weighting	Score	Comments
<p>Respondent and Team Member(s) are encouraged to provide enough supporting information and details to enable the evaluators to perform a thorough evaluation of their strengths, roles and responsibilities</p> <p>A. Respondent shall provide a description of the Respondent and all Team Members that identifies</p> <ul style="list-style-type: none"> <li>• Anticipated roles, functions and overview of business operations</li> <li>• Jurisdiction, form of entity organization, ownership structure and capitalization</li> <li>• Anticipated legal relationships (e.g. joint ventures, partnerships), and percentage of ownership interest</li> <li>• Up to five (5) individuals who will play an important role on behalf of the Respondent and Team Member(s) (the "Key Individuals") and their roles and previous experience (experience of the individuals should include experience in all phases of power plant project development including operating, maintaining, repairing, managing capital expenditures and decommissioning combined cycle, gas turbines and steam turbine generation plants)</li> <li>• Instances of working with Spanish-speaking workforces</li> </ul>	70%	8	

**Background & Team Information (25%)**

Section Score (0-10): \_\_\_\_\_

(15 pages maximum, excluding resumes)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
<ul style="list-style-type: none"> <li>Instances, if relevant, in which the Respondent and Team Member(s) have worked together</li> </ul>			
<ul style="list-style-type: none"> <li>Evidence and tenor of operations and management experience in electric power generation (including experience with operating agreements)</li> </ul> <p>Anticipated roles and legal relationships include, among other relevant descriptions, whether Respondent is the entity expected to submit the response to the RFP and execute the PPP Contract as the Private Partner. Description also includes the entity expected to guarantee the Private Partner's performance under the PPP Contract in the case where Respondent is not the Private Partner (to the extent known at this time).</p>			
<p>B. Respondent provides resumes for specific Key Individuals, including Spanish-speaking skills. The anticipated management team is comprised of individuals with at least ten (10) years of relevant electric generation managerial experience for all executive-level positions</p>	20%	10	
<p>C. Respondent submits a list of all technical, financial, legal, accounting, or other advisors that the Respondent or any Team Member has engaged or intends to engage</p>	10%	0	

4. Financial Capabilities

Financial Capabilities (10%)

Section Score (0-10): \_\_\_\_\_

(10 pages maximum, excluding audited financials & supporting information)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
Financial Capacity of Team: Respondent must demonstrate adequate financial wherewithal to fulfill the terms of the PPP Contract. Each Respondent or at least one (1) Team Member must provide:			
A. Evidence of the financial capability to obtain operational security in the form of an unconditional and irrevocable direct pay letter(s) of credit	30%	10	
B. Evidence of creditworthiness, such as credit ratings, if any, for each Team Member, or historical metrics supporting credit quality comparable to those used by credit analysts	40%	2	
C. Copies of financial statements (audited preferred), Form 10-Ks, 20-Fs or similar types of annual reports for the past two (2) years, together with any other relevant financial information	30%	10	

5. Technical & Operational Capabilities

Technical & Operational Capabilities (50%)  
 Section Score (0-10): \_\_\_\_\_

(50 pages maximum)

(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
Respondent must demonstrate its technical and operational capabilities to fulfill the terms of the PPP Contract. At least one (1) Team Member must provide:			
A. Concurrently operating and maintaining either (i) two (2) or more oil and/or gas-fired generating facilities larger than 100 MW, or (ii) a fleet of at least 1,000 MW combined of oil and/or gas-fired generating facilities;	20%	7	
B. Repairing and/or replacing oil and/or gas-fired generating facilities	10%	4	
C. Experience with execution or oversight of plant decommissioning, including the procurement of qualified subcontractors to decommission power generation facilities	10%	7	
D. Demonstrated ability to safely operate similar technology generation facilities and managing outages and maintenance programs in order to achieve the operating objectives of the T&D Operator;	20%	9	

**Technical & Operational Capabilities (50%)**  
**Section Score (0-10): \_\_\_\_\_**

(50 pages maximum)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
E. Managing fuel supply for similar or similarly situated generating facilities, including delivery and storage and fuel quality testing;	20%	70	
F. Names of the locations where the Respondent or subcontractors have performed similar work as described above;	5%	7	
G. Certification of no significant or sustained environmental regulation violations or OSHA fines/violations;	10%	7	
H. A demonstrable history of compliance with energy related policies, practices, and regulations from a state, commission, or other regulatory body	5%	8	

6. Safety Performance

Safety Performance (15%)			
Section Score (0-10): _____			
Requirements	Weighting	Score	Comments
Respondent and Team Member(s) demonstrate their ability to address and resolve safety issues and their knowledge of safety strategies and methodologies			
A. Demonstrated ability to address and resolve safety issues	30%	B	
B. Demonstrated knowledge of safety strategies and methodologies	40%	B	
C. Submit copies of the Occupational Safety and Health Administration (OSHA) 300 forms for the past three (3) years, only as related to electric generation operations. If not applicable, Respondent and Team Member(s) must present a document explaining the reasons for not submitting the form	30%	B	

Legacy Generation  
P3A SOQ Evaluation Worksheet

SAP

Respondent: Enchava / SPED Consultant

Overall Rating: 2.4

Narrative: Based on P3A Consultant recommendations

Evaluation Scores

Summary Evaluation	
	Pass / Fail
Compliance with Requirements of the PPP Act and Act 120	Pass / Fail
SOQ Requirements and Procedures	Pass / Fail
Background & Team Information (25%)	4.5 / 10
Financial Capabilities (10%)	0 / 10
Technical & Operational Capabilities (50%)	2.4 / 10
Safety Performance (15%)	0 / 10

possible fail

1. Compliance with Requirements of PPP Act and Act 120

Compliance with Requirements of PPP Act and Act 120		
Pass / Fail for Section: _____		
<i>Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee</i>		
Requirements	Score	Pass/Fail
Respondent provides evidence or certification that it shall be properly registered, or capable of being properly registered, to do business in Puerto Rico at the time of execution of the PPP Contract		
<i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFO)</i>		unseen (fail)
Respondent provides statement it shall comply with all applicable Puerto Rico and U.S. laws and/or requirements		
<i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFO)</i>		unseen (fail)
Each Respondent and Team Member provides a certification that complies with the requirements of Section 3, Part 1, 1.2 of the RFO		
<i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFO)</i>		unseen (fail)
Respondent provides executed Document Acknowledgement and Contact Information letter, on behalf of the Respondent and each Team Member (executed by the contact person ("Respondent Representative") for all future communication between the Authority and the Respondent)		
<i>(Satisfied by executing the Form of Document Acknowledgement &amp; Contact Information in Appendix B of the RFO)</i>		unseen (fail)
Respondent provides an Appendix B Form of Document Acknowledgement & Contact Information stating the documents related to the RFP that were downloaded.		
		unseen (fail)

2. SOQ Requirements and Procedures

SOQ Requirements		
Pass / Fail for Section: <u>Pass</u>		
<i>Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee</i>		
Requirements	Pass/Fail	Comments
Respondents shall comply with the SOQ format requirements:		
SOQ must be prepared in English	Pass	
Cover page (to include identification of all management Team Members)	Fail	
Cover Letter (two pages maximum)	Pass	
Table of Contents	Pass	
Executive Summary (two pages maximum)	Fail	
SOQ is delivered no later than the Submission Deadline	Pass	
Respondent declares, and agrees to be under an obligation to declare, that it does not have knowledge of or the ability to avail itself of confidential information of the Government, PREPA or the Authority relevant to the Project, except to the extent it has been expressly authorized by the Government, PREPA, or the Authority	Unreviewed	
Respondent declares, if applicable, any Conflicts of Interest or any situation that may be reasonably perceived as a Conflict of Interest that exists now or may exist in the future, in accordance with Section 4.7 of the RFQ	Pass	

**Scored Sections**

*Use the following worksheets to rate the substantive sections of the Respondents' SOQs, assigning a score to each section as follows.*

**Excellent (9-10 pts):** The Respondent has provided information relative to its qualifications which indicates a consistently outstanding level of quality. No weaknesses are identified.

**Good (7-8 pts):** The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses (if any) are identified and no correction is necessary.

**Adequate (5-6 pts):** The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses are identified and need to be corrected.

**Deficient (3-4 pts):** The Respondent has presented information relative to its qualifications which fails to fully meet the stated requirements. Major weaknesses are identified and need to be corrected.

**Poor (0-2 pts):** The Respondent has either presented information relative to its qualifications that contains significant weaknesses or the required information was not presented. Weaknesses are so major that a major revision to the SOQ would be necessary.

3. Background & Team Information

Requirements		Weighting		Score		Comments	
Respondent and Team Member(s) are encouraged to provide enough supporting information and details to enable the evaluators to perform a thorough evaluation of their strengths, roles and responsibilities  (The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)		Background & Team Information (25%)  Section Score (0-10): _____  (15 pages maximum, excluding resumes)					
A. Respondent shall provide a description of the Respondent and all Team Members that identifies		70%		5			
<ul style="list-style-type: none"> <li>Anticipated roles, functions and overview of business operations</li> <li>Jurisdiction, form of entity organization, ownership structure and capitalization</li> <li>Anticipated legal relationships (e.g. joint ventures, partnerships), and percentage of ownership interest</li> <li>Up to five (5) individuals who will play an important role on behalf of the Respondent and Team Member(s) (the "Key Individuals") and their roles and previous experience (experience of the individuals should include experience in all phases of power plant project development including operating, maintaining, repairing, managing capital expenditures and decommissioning combined cycle, gas turbines and steam turbine generation plants)</li> <li>Instances of working with Spanish-speaking workforces</li> </ul>							

**Background & Team Information (25%)**

Section Score (0-10): \_\_\_\_\_

(15 pages maximum, excluding resumes)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
<ul style="list-style-type: none"> <li>Instances, if relevant, in which the Respondent and Team Member(s) have worked together</li> </ul>			
<ul style="list-style-type: none"> <li>Evidence and tenor of operations and management experience in electric power generation (including experience with operating agreements)</li> </ul> <p>Anticipated roles and legal relationships include, among other relevant descriptions, whether Respondent is the entity expected to submit the response to the RFP and execute the PPP Contract as the Private Partner. Description also includes the entity expected to guarantee the Private Partner's performance under the PPP Contract in the case where Respondent is not the Private Partner (to the extent known at this time).</p>		5	
<p>B. Respondent provides resumes for specific Key Individuals, including Spanish-speaking skills. The anticipated management team is comprised of individuals with at least ten (10) years of relevant electric generation managerial experience for all executive-level positions</p>	20%		
<p>C. Respondent submits a list of all technical, financial, legal, accounting, or other advisors that the Respondent or any Team Member has engaged or intends to engage</p>	10%	0	

4. Financial Capabilities

**Financial Capabilities (10%)**

Section Score (0-10): \_\_\_\_\_

(10 pages maximum, excluding audited financials & supporting information)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
Financial Capacity of Team: Respondent must demonstrate adequate financial wherewithal to fulfill the terms of the PPP Contract. Each Respondent or at least one (1) Team Member must provide:			
A. Evidence of the financial capability to obtain operational security in the form of an unconditional and irrevocable direct pay letter(s) of credit	30%	0	
B. Evidence of creditworthiness, such as credit ratings, if any, for each Team Member, or historical metrics supporting credit quality comparable to those used by credit analysts	40%	0	
C. Copies of financial statements (audited preferred), Form 10-Ks, 20-Fs or similar types of annual reports for the past two (2) years, together with any other relevant financial information	30%	0	

5. Technical & Operational Capabilities

Technical & Operational Capabilities (50%) Section Score (0-10): _____ (50 pages maximum) (The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)			
Requirements	Weighting	Score	Comments
Respondent must demonstrate its technical and operational capabilities to fulfill the terms of the PPP Contract. At least one (1) Team Member must provide:  A. Concurrently operating and maintaining either (i) two (2) or more oil and/or gas-fired generating facilities larger than 100 MW, or (ii) a fleet of at least 1,000 MW combined of oil and/or gas-fired generating facilities;	20%	2	
B. Repairing and/or replacing oil and/or gas-fired generating facilities	10%	2	
C. Experience with execution or oversight of plant decommissioning, including the procurement of qualified subcontractors to decommission power generation facilities	10%	2	
D. Demonstrated ability to safely operate similar technology generation facilities and managing outages and maintenance programs in order to achieve the operating objectives of the T&D Operator;	20%	4	

**Technical & Operational Capabilities (50%)**  
**Section Score (0-10): \_\_\_\_\_**

(50 pages maximum)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
E. Managing fuel supply for similar or similarly situated generating facilities, including delivery and storage and fuel quality testing;	20%	3	
F. Names of the locations where the Respondent or subcontractors have performed similar work as described above;	5%	4	
G. Certification of no significant or sustained environmental regulation violations or OSHA fines/violations;	10%	1	
H. A demonstrable history of compliance with energy related policies, practices, and regulations from a state, commission, or other regulatory body	5%	2	

6. Safety Performance

Safety Performance (15%)			
Section Score (0-10): _____			
Requirements	Weighting	Score	Comments
Respondent and Team Member(s) demonstrate their ability to address and resolve safety issues and their knowledge of safety strategies and methodologies			
A. Demonstrated ability to address and resolve safety issues	30%	0	
B. Demonstrated knowledge of safety strategies and methodologies	40%	0	
C. Submit copies of the Occupational Safety and Health Administration (OSHA) 300 forms for the past three (3) years, only as related to electric generation operations. If not applicable, Respondent and Team Member(s) must present a document explaining the reasons for not submitting the form	30%	0	

Legacy Generation  
P3A SOQ Evaluation Worksheet

Respondent: Energy Power LLC

GAH

Overall Rating: 7.5

Narrative: Based on P3's Consultancy recommendations

Evaluation Scores

Summary Evaluation	
	Pass / Fail
Compliance with Requirements of the PPP Act and Act 120	Pass / Fail
SOQ Requirements and Procedures	Pass / Fail
Background & Team Information (25%)	10.9 / 10
Financial Capabilities (10%)	10.9 / 10
Technical & Operational Capabilities (50%)	7.5 / 10
Safety Performance (15%)	8.2 / 10

Privileged and  
Confidential

1. Compliance with Requirements of PPP Act and Act 120

Compliance with Requirements of PPP Act and Act 120		
Pass / Fail for Section: _____		
<i>Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee</i>		
Requirements	Score	Pass/Fail
Respondent provides evidence or certification that it shall be properly registered, or capable of being properly registered, to do business in Puerto Rico at the time of execution of the PPP Contract  <i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)</i>		Pass
Respondent provides statement it shall comply with all applicable Puerto Rico and U.S. laws and/or requirements  <i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)</i>		Pass
Each Respondent and Team Member provides a certification that complies with the requirements of Section 3, Part 1, 1.2 of the RFQ  <i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)</i>		Pass
Respondent provides executed Document Acknowledgement and Contact Information letter, on behalf of the Respondent and each Team Member (executed by the contact person ("Respondent Representative") for all future communication between the Authority and the Respondent)  <i>(Satisfied by executing the Form of Document Acknowledgement &amp; Contact Information in Appendix B of the RFQ)</i>		Pass
Respondent provides an Appendix B Form of Document Acknowledgement & Contact Information stating the documents related to the RFP that were downloaded.		Pass

2. SOQ Requirements and Procedures

SOQ Requirements		
Pass / Fail for Section: _____		
<i>Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee</i>		
Requirements	Pass/Fail	Comments
Respondents shall comply with the SOQ format requirements:		
SOQ must be prepared in English	Pass	
Cover page (to include identification of all management Team Members)	Pass	
Cover Letter (two pages maximum)	Pass	
Table of Contents	Pass	
Executive Summary (two pages maximum)	Pass	
SOQ is delivered no later than the Submission Deadline	Pass	
Respondent declares, and agrees to be under an obligation to declare, that it does not have knowledge of or the ability to avail itself of confidential information of the Government, PREPA or the Authority relevant to the Project, except to the extent it has been expressly authorized by the Government, PREPA, or the Authority	Pass	
Respondent declares, if applicable, any Conflicts of Interest or any situation that may be reasonably perceived as a Conflict of Interest that exists now or may exist in the future, in accordance with Section 4.7 of the RFQ	Pass	

**Scored Sections**

*Use the following worksheets to rate the substantive sections of the Respondents' SOQs, assigning a score to each section as follows.*

**Excellent (9-10 pts):** The Respondent has provided information relative to its qualifications which indicates a consistently outstanding level of quality. No weaknesses are identified.

**Good (7-8 pts):** The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses (if any) are identified and no correction is necessary.

**Adequate (5-6 pts):** The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses are identified and need to be corrected.

**Deficient (3-4 pts):** The Respondent has presented information relative to its qualifications which fails to fully meet the stated requirements. Major weaknesses are identified and need to be corrected.

**Poor (0-2 pts):** The Respondent has either presented information relative to its qualifications that contains significant weaknesses or the required information was not presented. Weaknesses are so major that a major revision to the SOQ would be necessary.

3. Background & Team Information

Background & Team Information (25%)

Section Score (0-10): \_\_\_\_\_

(15 pages maximum, excluding resumes)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
<p>Respondent and Team Member(s) are encouraged to provide enough supporting information and details to enable the evaluators to perform a thorough evaluation of their strengths, roles and responsibilities</p>			
<p>A. Respondent shall provide a description of the Respondent and all Team Members that identifies</p>		6	
<ul style="list-style-type: none"> <li>Anticipated roles, functions and overview of business operations</li> </ul>			
<ul style="list-style-type: none"> <li>Jurisdiction, form of entity organization, ownership structure and capitalization</li> </ul>			
<ul style="list-style-type: none"> <li>Anticipated legal relationships (e.g. joint ventures, partnerships), and percentage of ownership interest</li> </ul>			
<ul style="list-style-type: none"> <li>Up to five (5) individuals who will play an important role on behalf of the Respondent and Team Member(s) (the "Key Individuals") and their roles and previous experience (experience of the individuals should include experience in all phases of power plant project development including operating, maintaining, repairing, managing capital expenditures and decommissioning combined cycle, gas turbines and steam turbine generation plants)</li> </ul>	70%		
<ul style="list-style-type: none"> <li>Instances of working with Spanish-speaking workforces</li> </ul>			

**Background & Team Information (25%)**

Section Score (0-10): \_\_\_\_\_

(15 pages maximum, excluding resumes)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
<ul style="list-style-type: none"> <li>Instances, if relevant, in which the Respondent and Team Member(s) have worked together</li> </ul>			
<ul style="list-style-type: none"> <li>Evidence and tenor of operations and management experience in electric power generation (including experience with operating agreements)</li> </ul> <p>Anticipated roles and legal relationships include, among other relevant descriptions, whether Respondent is the entity expected to submit the response to the RFP and execute the PPP Contract as the Private Partner. Description also includes the entity expected to guarantee the Private Partner's performance under the PPP Contract in the case where Respondent is not the Private Partner (to the extent known at this time).</p>		A	
<p>B. Respondent provides resumes for specific Key Individuals, including Spanish-speaking skills. The anticipated management team is comprised of individuals with at least ten (10) years of relevant electric generation managerial experience for all executive-level positions</p>	20%	A	
<p>C. Respondent submits a list of all technical, financial, legal, accounting, or other advisors that the Respondent or any Team Member has engaged or intends to engage</p>	10%	P	

4. Financial Capabilities

Financial Capabilities (10%)			
Section Score (0-10): _____			
(10 pages maximum, excluding audited financials & supporting information)			
<i>(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)</i>			
Requirements	Weighting	Score	Comments
Financial Capacity of Team: Respondent must demonstrate adequate financial wherewithal to fulfill the terms of the PPP Contract. Each Respondent or at least one (1) Team Member must provide:			
A. Evidence of the financial capability to obtain operational security in the form of an unconditional and irrevocable direct pay letter(s) of credit	30%	5	
B. Evidence of creditworthiness, such as credit ratings, if any, for each Team Member, or historical metrics supporting credit quality comparable to those used by credit analysts	40%	8	
C. Copies of financial statements (audited preferred), Form 10-Ks, 20-Fs or similar types of annual reports for the past two (2) years, together with any other relevant financial information	30%	6	

5. Technical & Operational Capabilities

Technical & Operational Capabilities (50%) Section Score (0-10): _____ (50 pages maximum) (The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)			
Requirements	Weighting	Score	Comments
Respondent must demonstrate its technical and operational capabilities to fulfill the terms of the PPP Contract. At least one (1) Team Member must provide:  A. Concurrently operating and maintaining either (i) two (2) or more oil and/or gas-fired generating facilities larger than 100 MW, or (ii) a fleet of at least 1,000 MW combined of oil and/or gas-fired generating facilities;	20%	8	
B. Repairing and/or replacing oil and/or gas-fired generating facilities	10%	7	
C. Experience with execution or oversight of plant decommissioning, including the procurement of qualified subcontractors to decommission power generation facilities	10%	9	
D. Demonstrated ability to safely operate similar technology generation facilities and managing outages and maintenance programs in order to achieve the operating objectives of the T&D Operator;	20%	7	

**Technical & Operational Capabilities (50%)**  
**Section Score (0-10): \_\_\_\_\_**

(50 pages maximum)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
E. Managing fuel supply for similar or similarly situated generating facilities, including delivery and storage and fuel quality testing;	20%	9	
F. Names of the locations where the Respondent or subcontractors have performed similar work as described above;	5%	7	
G. Certification of no significant or sustained environmental regulation violations or OSHA fines/violations;	10%	7	
H. A demonstrable history of compliance with energy related policies, practices, and regulations from a state, commission, or other regulatory body	5%	9	

6. Safety Performance

Safety Performance (15%)			
Section Score (0-10): _____			
Requirements	Weighting	Score	Comments
Respondent and Team Member(s) demonstrate their ability to address and resolve safety issues and their knowledge of safety strategies and methodologies			
A. Demonstrated ability to address and resolve safety issues	30%	8	
B. Demonstrated knowledge of safety strategies and methodologies	40%	8	
C. Submit copies of the Occupational Safety and Health Administration (OSHA) 300 forms for the past three (3) years, only as related to electric generation operations. If not applicable. Respondent and Team Member(s) must present a document explaining the reasons for not submitting the form	30%	9	

Legacy Generation  
P3A SOQ Evaluation Worksheet

*Handwritten initials/signature*

Respondent: Enor Energy Group Limited

Overall Rating: B

Narrative: Based on P3's consultant recommendations

Evaluation Scores

Summary Evaluation	
Compliance with Requirements of the PPP Act and Act 120	Pass / Fail <u>Pass</u> / Fail
SOQ Requirements and Procedures	Pass / Fail <u>Pass</u> / Fail
Background & Team Information (25%)	<u>8.5</u> / 10
Financial Capabilities (10%)	<u>6.5</u> / 10
Technical & Operational Capabilities (50%)	<u>7.9</u> / 10
Safety Performance (15%)	<u>8.9</u> / 10

Privileged and  
Confidential

1. Compliance with Requirements of PPP Act and Act 120

Compliance with Requirements of PPP Act and Act 120		
Pass / Fail for Section: _____		
<i>Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee</i>		
Requirements	Score	Pass/Fail
Respondent provides evidence or certification that it shall be properly registered, or capable of being properly registered, to do business in Puerto Rico at the time of execution of the PPP Contract		
<i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)</i>		
Respondent provides statement it shall comply with all applicable Puerto Rico and U.S. laws and/or requirements		
<i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)</i>		
Each Respondent and Team Member provides a certification that complies with the requirements of Section 3, Part 1, 1.2 of the RFQ		
<i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)</i>		
Respondent provides executed Document Acknowledgement and Contact Information letter, on behalf of the Respondent and each Team Member (executed by the contact person ("Respondent Representative") for all future communication between the Authority and the Respondent)		
<i>(Satisfied by executing the Form of Document Acknowledgement &amp; Contact Information in Appendix B of the RFQ)</i>		
Respondent provides an Appendix B Form of Document Acknowledgement & Contact Information stating the documents related to the RFP that were downloaded.		

2. SOQ Requirements and Procedures

SOQ Requirements		
<i>Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee</i>		
Pass / Fail for Section:	Pass/Fail	Comments
Requirements		
Respondents shall comply with the SOQ format requirements:	Pass	
SOQ must be prepared in English	Pass	
Cover page (to include identification of all management Team Members)	Pass	
Cover Letter (two pages maximum)	Pass	
Table of Contents	Pass	
Executive Summary (two pages maximum)	Pass	
SOQ is delivered no later than the Submission Deadline	Pass	
Respondent declares, and agrees to be under an obligation to declare, that it does not have knowledge of or the ability to avail itself of confidential information of the Government, PREPA or the Authority relevant to the Project, except to the extent it has been expressly authorized by the Government, PREPA, or the Authority	Pass	
Respondent declares, if applicable, any Conflicts of Interest or any situation that may be reasonably perceived as a Conflict of Interest that exists now or may exist in the future, in accordance with Section 4.7 of the RFQ	Pass	

**Scored Sections**

*Use the following worksheets to rate the substantive sections of the Respondents' SOQs, assigning a score to each section as follows.*

**Excellent (9-10 pts):** The Respondent has provided information relative to its qualifications which indicates a consistently outstanding level of quality. No weaknesses are identified.

**Good (7-8 pts):** The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses (if any) are identified and no correction is necessary.

**Adequate (5-6 pts):** The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses are identified and need to be corrected.

**Deficient (3-4 pts):** The Respondent has presented information relative to its qualifications which fails to fully meet the stated requirements. Major weaknesses are identified and need to be corrected.

**Poor (0-2 pts):** The Respondent has either presented information relative to its qualifications that contains significant weaknesses or the required information was not presented. Weaknesses are so major that a major revision to the SOQ would be necessary.

3. Background & Team Information

Background & Team Information (25%)

Section Score (0-10): \_\_\_\_\_

(15 pages maximum, excluding resumes)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
<p>Respondent and Team Member(s) are encouraged to provide enough supporting information and details to enable the evaluators to perform a thorough evaluation of their strengths, roles and responsibilities</p>			
<p>A. Respondent shall provide a description of the Respondent and all Team Members that identifies</p> <ul style="list-style-type: none"> <li>• Anticipated roles, functions and overview of business operations</li> <li>• Jurisdiction, form of entity organization, ownership structure and capitalization</li> <li>• Anticipated legal relationships (e.g. joint ventures, partnerships), and percentage of ownership interest</li> <li>• Up to five (5) individuals who will play an important role on behalf of the Respondent and Team Member(s) (the "Key Individuals") and their roles and previous experience (experience of the individuals should include experience in all phases of power plant project development including operating, maintaining, repairing, managing capital expenditures and decommissioning combined cycle, gas turbines and steam turbine generation plants)</li> <li>• Instances of working with Spanish-speaking workforces</li> </ul>	70%	9	

**Background & Team Information (25%)**

Section Score (0-10): \_\_\_\_\_

(15 pages maximum, excluding resumes)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
<ul style="list-style-type: none"> <li>Instances, if relevant, in which the Respondent and Team Member(s) have worked together</li> </ul>			
<ul style="list-style-type: none"> <li>Evidence and tenor of operations and management experience in electric power generation (including experience with operating agreements)</li> </ul> <p>Anticipated roles and legal relationships include, among other relevant descriptions, whether Respondent is the entity expected to submit the response to the RFP and execute the PPP Contract as the Private Partner. Description also includes the entity expected to guarantee the Private Partner's performance under the PPP Contract in the case where Respondent is not the Private Partner (to the extent known at this time).</p>	20%	10	
<p>B. Respondent provides resumes for specific Key Individuals, including Spanish-speaking skills. The anticipated management team is comprised of individuals with at least ten (10) years of relevant electric generation managerial experience for all executive-level positions</p>	20%	10	
<p>C. Respondent submits a list of all technical, financial, legal, accounting, or other advisors that the Respondent or any Team Member has engaged or intends to engage</p>	10%	5	

4. Financial Capabilities

Financial Capabilities (10%)

Section Score (0-10): \_\_\_\_\_

(10 pages maximum, excluding audited financials & supporting information)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
Financial Capacity of Team: Respondent must demonstrate adequate financial wherewithal to fulfill the terms of the PPP Contract. Each Respondent or at least one (1) Team Member must provide:			
A. Evidence of the financial capability to obtain operational security in the form of an unconditional and irrevocable direct pay letter(s) of credit	30%	5	
B. Evidence of creditworthiness, such as credit ratings, if any, for each Team Member, or historical metrics supporting credit quality comparable to those used by credit analysts	40%	5	
C. Copies of financial statements (audited preferred), Form 10-Ks, 20-Fs or similar types of annual reports for the past two (2) years, together with any other relevant financial information	30%	10	

5. Technical & Operational Capabilities

Technical & Operational Capabilities (50%)  
 Section Score (0-10): \_\_\_\_\_

(50 pages maximum)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
Respondent must demonstrate its technical and operational capabilities to fulfill the terms of the PPP Contract. At least one (1) Team Member must provide:			
A. Concurrently operating and maintaining either (i) two (2) or more oil and/or gas-fired generating facilities larger than 100 MW, or (ii) a fleet of at least 1,000 MW combined of oil and/or gas-fired generating facilities;	20%	9	
B. Repairing and/or replacing oil and/or gas-fired generating facilities	10%	8	
C. Experience with execution or oversight of plant decommissioning, including the procurement of qualified subcontractors to decommission power generation facilities	10%	7	
D. Demonstrated ability to safely operate similar technology generation facilities and managing outages and maintenance programs in order to achieve the operating objectives of the T&D Operator;	20%	8	

**Technical & Operational Capabilities (50%)**  
 Section Score (0-10): \_\_\_\_\_

(50 pages maximum)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
E. Managing fuel supply for similar or similarly situated generating facilities, including delivery and storage and fuel quality testing;	20%	2	
F. Names of the locations where the Respondent or subcontractors have performed similar work as described above;	5%	0	
G. Certification of no significant or sustained environmental regulation violations or OSHA fines/violations;	10%	5	
H. A demonstrable history of compliance with energy related policies, practices, and regulations from a state, commission, or other regulatory body	5%	2	

*Privileged and Confidential*

6. Safety Performance

Safety Performance (15%)			
Section Score (0-10): _____			
Requirements	Weighting	Score	Comments
Respondent and Team Member(s) demonstrate their ability to address and resolve safety issues and their knowledge of safety strategies and methodologies			
A. Demonstrated ability to address and resolve safety issues	30%	9	
B. Demonstrated knowledge of safety strategies and methodologies	40%	9	
C. Submit copies of the Occupational Safety and Health Administration (OSHA) 300 forms for the past three (3) years, only as related to electric generation operations. If not applicable, Respondent and Team Member(s) must present a document explaining the reasons for not submitting the form	30%	8	

Legacy Generation  
P3A SOQ Evaluation Worksheet



Respondent: Excel Contractors, Inc.

Overall Rating: 4.7

Narrative: Based on P3's Consultant Recommendation

Evaluation Scores

Summary Evaluation	
	Pass / Fail
Compliance with Requirements of the PPP Act and Act 120	Pass / Fail
SOQ Requirements and Procedures	Pass / Fail
Background & Team Information (25%)	4.7 / 10
Financial Capabilities (10%)	6.0 / 10
Technical & Operational Capabilities (50%)	4.0 / 10
Safety Performance (15%)	6.0 / 10

1. Compliance with Requirements of PPP Act and Act 120

Compliance with Requirements of PPP Act and Act 120		
Pass / Fail for Section: _____		
<i>Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee</i>		
Requirements	Score	Comments
Respondent provides evidence or certification that it shall be properly registered, or capable of being properly registered, to do business in Puerto Rico at the time of execution of the PPP Contract		
<i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)</i>	Pass	
Respondent provides statement it shall comply with all applicable Puerto Rico and U.S. laws and/or requirements		
<i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)</i>	Pass	
Each Respondent and Team Member provides a certification that complies with the requirements of Section 3, Part 1, 1.2 of the RFQ		
<i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)</i>	Pass	
Respondent provides executed Document Acknowledgement and Contact Information letter, on behalf of the Respondent and each Team Member (executed by the contact person ("Respondent Representative") for all future communication between the Authority and the Respondent)		
<i>(Satisfied by executing the Form of Document Acknowledgement &amp; Contact Information in Appendix B of the RFQ)</i>	Pass	
Respondent provides an Appendix B Form of Document Acknowledgement & Contact Information stating the documents related to the RFP that were downloaded.		
	Pass	

2. SOQ Requirements and Procedures

SOQ Requirements		
Pass / Fail for Section: _____		
<i>Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee</i>		
Requirements	Pass/Fail	Comments
Respondents shall comply with the SOQ format requirements:		
SOQ must be prepared in English	Pass	
Cover page (to include identification of all management Team Members)	Pass	
Cover Letter (two pages maximum)	Pass	
Table of Contents	Pass	
Executive Summary (two pages maximum)	Pass	
SOQ is delivered no later than the Submission Deadline	Pass	
Respondent declares, and agrees to be under an obligation to declare, that it does not have knowledge of or the ability to avail itself of confidential information of the Government, PREPA or the Authority relevant to the Project, except to the extent it has been expressly authorized by the Government, PREPA, or the Authority	Pass	
Respondent declares, if applicable, any Conflicts of Interest or any situation that may be reasonably perceived as a Conflict of Interest that exists now or may exist in the future, in accordance with Section 4.7 of the RFQ	Pass	

**Scored Sections**

*Use the following worksheets to rate the substantive sections of the Respondents' SOQs, assigning a score to each section as follows.*

**Excellent (9-10 pts):** The Respondent has provided information relative to its qualifications which indicates a consistently outstanding level of quality. No weaknesses are identified.

**Good (7-8 pts):** The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses (if any) are identified and no correction is necessary.

**Adequate (5-6 pts):** The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses are identified and need to be corrected.

**Deficient (3-4 pts):** The Respondent has presented information relative to its qualifications which fails to fully meet the stated requirements. Major weaknesses are identified and need to be corrected.

**Poor (0-2 pts):** The Respondent has either presented information relative to its qualifications that contains significant weaknesses or the required information was not presented. Weaknesses are so major that a major revision to the SOQ would be necessary.

3. Background & Team Information

Background & Team Information (25%)			
Section Score (0-10): _____			
(15 pages maximum, excluding resumes)			
(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)			
Requirements	Weighting	Score	Comments
Respondent and Team Member(s) are encouraged to provide enough supporting information and details to enable the evaluators to perform a thorough evaluation of their strengths, roles and responsibilities			
A. Respondent shall provide a description of the Respondent and all Team Members that identifies <ul style="list-style-type: none"> <li>• Anticipated roles, functions and overview of business operations</li> <li>• Jurisdiction, form of entity organization, ownership structure and capitalization</li> <li>• Anticipated legal relationships (e.g. joint ventures, partnerships), and percentage of ownership interest</li> <li>• Up to five (5) individuals who will play an important role on behalf of the Respondent and Team Member(s) (the "Key Individuals") and their roles and previous experience (experience of the individuals should include experience in all phases of power plant project development including operating, maintaining, repairing, managing capital expenditures and decommissioning combined cycle, gas turbines and steam turbine generation plants)</li> <li>• Instances of working with Spanish-speaking workforces</li> </ul>	70%	5	

**Background & Team Information (25%)**

Section Score (0-10): \_\_\_\_\_

(15 pages maximum, excluding resumes)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
<ul style="list-style-type: none"> <li>Instances, if relevant, in which the Respondent and Team Member(s) have worked together</li> </ul>			
<ul style="list-style-type: none"> <li>Evidence and tenor of operations and management experience in electric power generation (including experience with operating agreements)</li> </ul> <p>Anticipated roles and legal relationships include, among other relevant descriptions, whether Respondent is the entity expected to submit the response to the RFP and execute the PPP Contract as the Private Partner. Description also includes the entity expected to guarantee the Private Partner's performance under the PPP Contract in the case where Respondent is not the Private Partner (to the extent known at this time).</p>	20%		4
<p>B. Respondent provides resumes for specific Key Individuals, including Spanish-speaking skills. The anticipated management team is comprised of individuals with at least ten (10) years of relevant electric generation managerial experience for all executive-level positions</p>	20%		0
<p>C. Respondent submits a list of all technical, financial, legal, accounting, or other advisors that the Respondent or any Team Member has engaged or intends to engage</p>	10%		0

4. Financial Capabilities

Financial Capabilities (10%)

Section Score (0-10): \_\_\_\_\_

(10 pages maximum, excluding audited financials & supporting information)

(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
Financial Capacity of Team: Respondent must demonstrate adequate financial wherewithal to fulfill the terms of the PPP Contract. Each Respondent or at least one (1) Team Member must provide:			
A. Evidence of the financial capability to obtain operational security in the form of an unconditional and irrevocable direct pay letter(s) of credit	30%	10	
B. Evidence of creditworthiness, such as credit ratings, if any, for each Team Member, or historical metrics supporting credit quality comparable to those used by credit analysts	40%	0	
C. Copies of financial statements (audited preferred), Form 10-Ks, 20-Fs or similar types of annual reports for the past two (2) years, together with any other relevant financial information	30%	10	

5. Technical & Operational Capabilities

Technical & Operational Capabilities (50%) Section Score (0-10): _____ (50 pages maximum) (The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)			
Requirements	Weighting	Score	Comments
Respondent must demonstrate its technical and operational capabilities to fulfill the terms of the PPP Contract. At least one (1) Team Member must provide:  A. Concurrently operating and maintaining either (i) two (2) or more oil and/or gas-fired generating facilities larger than 100 MW, or (ii) a fleet of at least 1,000 MW combined of oil and/or gas-fired generating facilities;	20%	4	
B. Repairing and/or replacing oil and/or gas-fired generating facilities	10%	2	
C. Experience with execution or oversight of plant decommissioning, including the procurement of qualified subcontractors to decommission power generation facilities	10%	4	
D. Demonstrated ability to safely operate similar technology generation facilities and managing outages and maintenance programs in order to achieve the operating objectives of the T&D Operator;	20%	5	

**Technical & Operational Capabilities (50%)**  
**Section Score (0-10): \_\_\_\_\_**

(50 pages maximum)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
E. Managing fuel supply for similar or similarly situated generating facilities, including delivery and storage and fuel quality testing;	20%	4	
F. Names of the locations where the Respondent or subcontractors have performed similar work as described above;	5%	5	
G. Certification of no significant or sustained environmental regulation violations or OSHA fines/violations;	10%	1	
H. A demonstrable history of compliance with energy related policies, practices, and regulations from a state, commission, or other regulatory body	5%	1	

6. Safety Performance

Safety Performance (15%)			
Section Score (0-10): _____			
Requirements	Weighting	Score	Comments
Respondent and Team Member(s) demonstrate their ability to address and resolve safety issues and their knowledge of safety strategies and methodologies			
A. Demonstrated ability to address and resolve safety issues	30%	5	
B. Demonstrated knowledge of safety strategies and methodologies	40%	4	
C. Submit copies of the Occupational Safety and Health Administration (OSHA) 300 forms for the past three (3) years, only as related to electric generation operations. If not applicable, Respondent and Team Member(s) must present a document explaining the reasons for not submitting the form	30%	7	

Legacy Generation  
P3A SOQ Evaluation Worksheet

Respondent:

PT Mitsubishi Power America, Inc.

Overall Rating:

A2

Narrative:

Based on P3A assessment recommendation

SAO

Evaluation Scores

Summary Evaluation	
	Pass / Fail
Compliance with Requirements of the PPP Act and Act 120	Pass / Fail
SOQ Requirements and Procedures	Pass / Fail
Background & Team Information (25%)	4.1 / 10
Financial Capabilities (10%)	9.7 / 10
Technical & Operational Capabilities (50%)	3.1 / 10
Safety Performance (15%)	4.9 / 10

1. Compliance with Requirements of PPP Act and Act 120

Compliance with Requirements of PPP Act and Act 120		
Pass / Fail for Section: _____		
<i>Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee</i>		
Requirements	Score	Comments
Respondent provides evidence or certification that it shall be properly registered, or capable of being properly registered, to do business in Puerto Rico at the time of execution of the PPP Contract  <i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)</i>	Pass	
Respondent provides statement it shall comply with all applicable Puerto Rico and U.S. laws and/or requirements  <i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)</i>	Pass	
Each Respondent and Team Member provides a certification that complies with the requirements of Section 3, Part 1, 1.2 of the RFQ  <i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)</i>	Pass	
Respondent provides executed Document Acknowledgement and Contact Information letter, on behalf of the Respondent and each Team Member (executed by the contact person ("Respondent Representative") for all future communication between the Authority and the Respondent)  <i>(Satisfied by executing the Form of Document Acknowledgement &amp; Contact Information in Appendix B of the RFQ)</i>	Pass	
Respondent provides an Appendix B Form of Document Acknowledgement & Contact Information stating the documents related to the RFP that were downloaded.	Pass	SOB didn't provide the copy of documents related to the RFP. RFP C requires SOB provide a reviewed App B with the cert.

2. SOQ Requirements and Procedures

SOQ Requirements		
<i>Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee</i>		
Requirements	Pass/Fail	Comments
Respondents shall comply with the SOQ format requirements:	Pass	
SOQ must be prepared in English	Pass	
Cover page (to include identification of all management Team Members)	Pass	
Cover Letter (two pages maximum)	Pass	
Table of Contents	Pass	
Executive Summary (two pages maximum)	Pass	
SOQ is delivered no later than the Submission Deadline	Pass	
Respondent declares, and agrees to be under an obligation to declare, that it does not have knowledge of or the ability to avail itself of confidential information of the Government, PREPA or the Authority relevant to the Project, except to the extent it has been expressly authorized by the Government, PREPA, or the Authority	Pass	
Respondent declares, if applicable, any Conflicts of Interest or any situation that may be reasonably perceived as a Conflict of Interest that exists now or may exist in the future, in accordance with Section 4.7 of the RFQ	Pass	

**Scored Sections**

*Use the following worksheets to rate the substantive sections of the Respondents' SOQs, assigning a score to each section as follows.*

**Excellent (9-10 pts):** The Respondent has provided information relative to its qualifications which indicates a consistently outstanding level of quality. No weaknesses are identified.

**Good (7-8 pts):** The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses (if any) are identified and no correction is necessary.

**Adequate (5-6 pts):** The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses are identified and need to be corrected.

**Deficient (3-4 pts):** The Respondent has presented information relative to its qualifications which fails to fully meet the stated requirements. Major weaknesses are identified and need to be corrected.

**Poor (0-2 pts):** The Respondent has either presented information relative to its qualifications that contains significant weaknesses or the required information was not presented. Weaknesses are so major that a major revision to the SOQ would be necessary.

3. Background & Team Information

Background & Team Information (25%)			
Section Score (0-10): _____			
(15 pages maximum, excluding resumes)			
(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)			
Requirements	Weighting	Score	Comments
Respondent and Team Member(s) are encouraged to provide enough supporting information and details to enable the evaluators to perform a thorough evaluation of their strengths, roles and responsibilities			
A. Respondent shall provide a description of the Respondent and all Team Members that identifies <ul style="list-style-type: none"> <li>• Anticipated roles, functions and overview of business operations</li> <li>• Jurisdiction, form of entity organization, ownership structure and capitalization</li> <li>• Anticipated legal relationships (e.g. joint ventures, partnerships), and percentage of ownership interest</li> <li>• Up to five (5) individuals who will play an important role on behalf of the Respondent and Team Member(s) (the "Key Individuals") and their roles and previous experience (experience of the individuals should include experience in all phases of power plant project development including operating, maintaining, repairing, managing capital expenditures and decommissioning combined cycle, gas turbines and steam turbine generation plants)</li> <li>• Instances of working with Spanish-speaking workforces</li> </ul>	70%	5	

**Background & Team Information (25%)**

Section Score (0-10): \_\_\_\_\_

(15 pages maximum, excluding resumes)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
<ul style="list-style-type: none"> <li>Instances, if relevant, in which the Respondent and Team Member(s) have worked together</li> </ul>			
<ul style="list-style-type: none"> <li>Evidence and tenor of operations and management experience in electric power generation (including experience with operating agreements)</li> </ul> <p>Anticipated roles and legal relationships include, among other relevant descriptions, whether Respondent is the entity expected to submit the response to the RFP and execute the PPP Contract as the Private Partner. Description also includes the entity expected to guarantee the Private Partner's performance under the PPP Contract in the case where Respondent is not the Private Partner (to the extent known at this time).</p>		20%	80
<p>B. Respondent provides resumes for specific Key Individuals, including Spanish-speaking skills. The anticipated management team is comprised of individuals with at least ten (10) years of relevant electric generation managerial experience for all executive-level positions</p>		20%	80
<p>C. Respondent submits a list of all technical, financial, legal, accounting, or other advisors that the Respondent or any Team Member has engaged or intends to engage</p>		10%	10

4. Financial Capabilities

Financial Capabilities (10%)

Section Score (0-10): \_\_\_\_\_

(10 pages maximum, excluding audited financials & supporting information)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
Financial Capacity of Team: Respondent must demonstrate adequate financial wherewithal to fulfill the terms of the PPP Contract. Each Respondent or at least one (1) Team Member must provide:			
A. Evidence of the financial capability to obtain operational security in the form of an unconditional and irrevocable direct pay letter(s) of credit	30%	9	
B. Evidence of creditworthiness, such as credit ratings, if any, for each Team Member, or historical metrics supporting credit quality comparable to those used by credit analysts	40%	9	
C. Copies of financial statements (audited preferred), Form 10-Ks, 20-Fs or similar types of annual reports for the past two (2) years, together with any other relevant financial information	30%	10	

5. Technical & Operational Capabilities

Technical & Operational Capabilities (50%) Section Score (0-10): _____ (50 pages maximum) (The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)			
Requirements	Weighting	Score	Comments
Respondent must demonstrate its technical and operational capabilities to fulfill the terms of the PPP Contract. At least one (1) Team Member must provide:  A. Concurrently operating and maintaining either (i) two (2) or more oil and/or gas-fired generating facilities larger than 100 MW, or (ii) a fleet of at least 1,000 MW combined of oil and/or gas-fired generating facilities;	20%	5	
B. Repairing and/or replacing oil and/or gas-fired generating facilities	10%	4	
C. Experience with execution or oversight of plant decommissioning, including the procurement of qualified subcontractors to decommission power generation facilities	10%	2	
D. Demonstrated ability to safely operate similar technology generation facilities and managing outages and maintenance programs in order to achieve the operating objectives of the T&D Operator;	20%	2	

**Technical & Operational Capabilities (50%)**  
**Section Score (0-10): \_\_\_\_\_**

(50 pages maximum)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
E. Managing fuel supply for similar or similarly situated generating facilities, including delivery and storage and fuel quality testing;	20%	0	
F. Names of the locations where the Respondent or subcontractors have performed similar work as described above;	5%	4	
G. Certification of no significant or sustained environmental regulation violations or OSHA fines/violations;	10%	4	
H. A demonstrable history of compliance with energy related policies, practices, and regulations from a state, commission, or other regulatory body	5%	5	

6. Safety Performance

Safety Performance (15%)			
Section Score (0-10): _____			
Requirements	Weighting	Score	Comments
<p>Respondent and Team Member(s) demonstrate their ability to address and resolve safety issues and their knowledge of safety strategies and methodologies</p>			
<p>A. Demonstrated ability to address and resolve safety issues</p>	30%	5	
<p>B. Demonstrated knowledge of safety strategies and methodologies</p>	40%	5	
<p>C. Submit copies of the Occupational Safety and Health Administration (OSHA) 300 forms for the past three (3) years, only as related to electric generation operations. If not applicable, Respondent and Team Member(s) must present a document explaining the reasons for not submitting the form</p>	30%	4	

Legacy Generation  
P3A SOQ Evaluation Worksheet

SRD

Respondent: WATES Corporation

Overall Rating: 9.4

Narrative: Based on P3's Consultant Performance Rating

Evaluation Scores

Summary Evaluation	
Compliance with Requirements of the PPP Act and Act 120	Pass / Fail
SOQ Requirements and Procedures	Pass / Fail
Background & Team Information (25%)	9.8 / 10
Financial Capabilities (10%)	9.9 / 10
Technical & Operational Capabilities (50%)	9.3 / 10
Safety Performance (15%)	9.4 / 10

1. Compliance with Requirements of PPP Act and Act 120

Compliance with Requirements of PPP Act and Act 120		
Pass / Fail for Section: _____		
<i>Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee</i>		
Requirements	Score	Comments
Respondent provides evidence or certification that it shall be properly registered, or capable of being properly registered, to do business in Puerto Rico at the time of execution of the PPP Contract		
<i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)</i>	Pass	
Respondent provides statement it shall comply with all applicable Puerto Rico and U.S. laws and/or requirements		
<i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)</i>	Pass	
Each Respondent and Team Member provides a certification that complies with the requirements of Section 3, Part 1, 1.2 of the RFQ		
<i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)</i>	Pass	
Respondent provides executed Document Acknowledgement and Contact Information letter, on behalf of the Respondent and each Team Member (executed by the contact person ("Respondent Representative") for all future communication between the Authority and the Respondent)		
<i>(Satisfied by executing the Form of Document Acknowledgement &amp; Contact Information in Appendix B of the RFQ)</i>	Pass	
Respondent provides an Appendix B Form of Document Acknowledgement & Contact Information stating the documents related to the RFP that were downloaded.		
	Pass	

2. SOQ Requirements and Procedures

SOQ Requirements		
<i>Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee</i>		
Requirements	Pass/Fail	Comments
Respondents shall comply with the SOQ format requirements:		
SOQ must be prepared in English	Pass	
Cover page (to include identification of all management Team Members)	Pass	
Cover Letter (two pages maximum)	Pass	
Table of Contents	Pass	
Executive Summary (two pages maximum)	Pass	
SOQ is delivered no later than the Submission Deadline	Pass	
Respondent declares, and agrees to be under an obligation to declare, that it does not have knowledge of or the ability to avail itself of confidential information of the Government, PREPA or the Authority relevant to the Project, except to the extent it has been expressly authorized by the Government, PREPA, or the Authority	Pass	
Respondent declares, if applicable, any Conflicts of Interest or any situation that may be reasonably perceived as a Conflict of Interest that exists now or may exist in the future, in accordance with Section 4.7 of the RFQ	Pass	

**Scored Sections**

*Use the following worksheets to rate the substantive sections of the Respondents' SOQs, assigning a score to each section as follows.*

**Excellent (9-10 pts):** The Respondent has provided information relative to its qualifications which indicates a consistently outstanding level of quality. No weaknesses are identified.

**Good (7-8 pts):** The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses (if any) are identified and no correction is necessary.

**Adequate (5-6 pts):** The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses are identified and need to be corrected.

**Deficient (3-4 pts):** The Respondent has presented information relative to its qualifications which fails to fully meet the stated requirements. Major weaknesses are identified and need to be corrected.

**Poor (0-2 pts):** The Respondent has either presented information relative to its qualifications that contains significant weaknesses or the required information was not presented. Weaknesses are so major that a major revision to the SOQ would be necessary.

3. Background & Team Information

Background & Team Information (25%)

Section Score (0-10): \_\_\_\_\_

(15 pages maximum, excluding resumes)

(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
<p>Respondent and Team Member(s) are encouraged to provide enough supporting information and details to enable the evaluators to perform a thorough evaluation of their strengths, roles and responsibilities</p>			
<p>A. Respondent shall provide a description of the Respondent and all Team Members that identifies</p> <ul style="list-style-type: none"> <li>• Anticipated roles, functions and overview of business operations</li> <li>• Jurisdiction, form of entity organization, ownership structure and capitalization</li> <li>• Anticipated legal relationships (e.g. Joint Ventures, partnerships), and percentage of ownership interest</li> <li>• Up to five (5) individuals who will play an important role on behalf of the Respondent and Team Member(s) (the "Key Individuals") and their roles and previous experience (experience of the individuals should include experience in all phases of power plant project development including operating, maintaining, repairing, managing capital expenditures and decommissioning combined cycle, gas turbines and steam turbine generation plants)</li> <li>• Instances of working with Spanish-speaking workforces</li> </ul>	70%		10

**Background & Team Information (25%)**

Section Score (0-10): \_\_\_\_\_

(15 pages maximum, excluding resumes)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
<ul style="list-style-type: none"> <li>Instances, if relevant, in which the Respondent and Team Member(s) have worked together</li> </ul>			
<ul style="list-style-type: none"> <li>Evidence and tenor of operations and management experience in electric power generation (including experience with operating agreements)</li> </ul> <p>Anticipated roles and legal relationships include, among other relevant descriptions, whether Respondent is the entity expected to submit the response to the RFP and execute the PPP Contract as the Private Partner. Description also includes the entity expected to guarantee the Private Partner's performance under the PPP Contract in the case where Respondent is not the Private Partner (to the extent known at this time).</p>	20%	9	
<p>B. Respondent provides resumes for specific Key Individuals, including Spanish-speaking skills. The anticipated management team is comprised of individuals with at least ten (10) years of relevant electric generation managerial experience for all executive-level positions</p>		9	
<p>C. Respondent submits a list of all technical, financial, legal, accounting, or other advisors that the Respondent or any Team Member has engaged or intends to engage</p>	10%	10	

4. Financial Capabilities

Financial Capabilities (10%)

Section Score (0-10): \_\_\_\_\_

(10 pages maximum, excluding audited financials & supporting information)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
Financial Capacity of Team: Respondent must demonstrate adequate financial wherewithal to fulfill the terms of the PPP Contract. Each Respondent or at least one (1) Team Member must provide:			
A. Evidence of the financial capability to obtain operational security in the form of an unconditional and irrevocable direct pay letter(s) of credit	30%	10	
B. Evidence of creditworthiness, such as credit ratings, if any, for each Team Member, or historical metrics supporting credit quality comparable to those used by credit analysts	40%	9	
C. Copies of financial statements (audited preferred), Form 10-Ks, 20-Fs or similar types of annual reports for the past two (2) years, together with any other relevant financial information	30%	10	

5. Technical & Operational Capabilities

Technical & Operational Capabilities (50%) Section Score (0-10): _____ (50 pages maximum) (The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)			
Requirements	Weighting	Score	Comments
Respondent must demonstrate its technical and operational capabilities to fulfill the terms of the PPP Contract. At least one (1) Team Member must provide:  A. Concurrently operating and maintaining either (i) two (2) or more oil and/or gas-fired generating facilities larger than 100 MW, or (ii) a fleet of at least 1,000 MW combined of oil and/or gas-fired generating facilities;	20%	10	
B. Repairing and/or replacing oil and/or gas-fired generating facilities	10%	6	
C. Experience with execution or oversight of plant decommissioning, including the procurement of qualified subcontractors to decommission power generation facilities	10%	10	
D. Demonstrated ability to safely operate similar technology generation facilities and managing outages and maintenance programs in order to achieve the operating objectives of the T&D Operator;	20%	10	

**Technical & Operational Capabilities (50%)**  
**Section Score (0-10): \_\_\_\_\_**

(50 pages maximum)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
E. Managing fuel supply for similar or similarly situated generating facilities, including delivery and storage and fuel quality testing;	20%	10	
F. Names of the locations where the Respondent or subcontractors have performed similar work as described above;	5%	10	
G. Certification of no significant or sustained environmental regulation violations or OSHA fines/violations;	10%	10	
H. A demonstrable history of compliance with energy related policies, practices, and regulations from a state, commission, or other regulatory body	5%	10	

6. Safety Performance

Safety Performance (15%)			
Section Score (0-10): _____			
Requirements	Weighting	Score	Comments
Respondent and Team Member(s) demonstrate their ability to address and resolve safety issues and their knowledge of safety strategies and methodologies			
A. Demonstrated ability to address and resolve safety issues	30%		
B. Demonstrated knowledge of safety strategies and methodologies	40%		
C. Submit copies of the Occupational Safety and Health Administration (OSHA) 300 forms for the past three (3) years, only as related to electric generation operations. If not applicable, Respondent and Team Member(s) must present a document explaining the reasons for not submitting the form	30%		

Legacy Generation  
P3A SOQ Evaluation Worksheet

Respondent: NR to Energy Services Group LLC

Overall Rating: 9.3





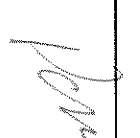
Narrative: Good on P3's subject to recommendations

Evaluation Scores

Summary Evaluation	
	Pass / Fail
Compliance with Requirements of the PPP Act and Act 120	Pass / Fail
SOQ Requirements and Procedures	Pass / Fail
Background & Team Information (25%)	8.9 / 10
Financial Capabilities (10%)	6.0 / 10
Technical & Operational Capabilities (50%)	9.7 / 10
Safety Performance (15%)	9.4 / 10

Privileged and  
Confidential

1. Compliance with Requirements of PPP Act and Act 120

Compliance with Requirements of PPP Act and Act 120		
Pass / Fail for Section: _____		
<i>Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee</i>		
Requirements	Score	Pass/Fail
		Comments
Respondent provides evidence or certification that it shall be properly registered, or capable of being properly registered, to do business in Puerto Rico at the time of execution of the PPP Contract  <i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)</i>		
Respondent provides statement it shall comply with all applicable Puerto Rico and U.S. laws and/or requirements  <i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)</i>		
Each Respondent and Team Member provides a certification that complies with the requirements of Section 3, Part 1, 1.2 of the RFQ  <i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)</i>		
Respondent provides executed Document Acknowledgement and Contact Information letter, on behalf of the Respondent and each Team Member (executed by the contact person ("Respondent Representative") for all future communication between the Authority and the Respondent)  <i>(Satisfied by executing the Form of Document Acknowledgement &amp; Contact Information in Appendix B of the RFQ)</i>		
Respondent provides an Appendix B Form of Document Acknowledgement & Contact Information stating the documents related to the RFP that were downloaded.		

2. SOQ Requirements and Procedures

SOQ Requirements		
<i>Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee</i>		
Requirements	Pass/Fail	Comments
Respondents shall comply with the SOQ format requirements:		
SOQ must be prepared in English	Pass	
Cover page (to include identification of all management Team Members)	Pass	
Cover Letter (two pages maximum)	Pass	
Table of Contents	Pass	
Executive Summary (two pages maximum)	Pass	
SOQ is delivered no later than the Submission Deadline	Pass	
Respondent declares, and agrees to be under an obligation to declare, that it does not have knowledge of or the ability to avail itself of confidential information of the Government, PREPA or the Authority relevant to the Project, except to the extent it has been expressly authorized by the Government, PREPA, or the Authority	Pass	
Respondent declares, if applicable, any Conflicts of Interest or any situation that may be reasonably perceived as a Conflict of Interest that exists now or may exist in the future, in accordance with Section 4.7 of the RFQ	Pass	

**Scored Sections**

*Use the following worksheets to rate the substantive sections of the Respondents' SOQs, assigning a score to each section as follows.*

**Excellent (9-10 pts):** The Respondent has provided information relative to its qualifications which indicates a consistently outstanding level of quality. No weaknesses are identified.

**Good (7-8 pts):** The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses (if any) are identified and no correction is necessary.

**Adequate (5-6 pts):** The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses are identified and need to be corrected.

**Deficient (3-4 pts):** The Respondent has presented information relative to its qualifications which fails to fully meet the stated requirements. Major weaknesses are identified and need to be corrected.

**Poor (0-2 pts):** The Respondent has either presented information relative to its qualifications that contains significant weaknesses or the required information was not presented. Weaknesses are so major that a major revision to the SOQ would be necessary.

3. Background & Team Information

Background & Team Information (25%)			
Section Score (0-10): _____			
(15 pages maximum, excluding resumes)			
(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)			
Requirements	Weighting	Score	Comments
<p>Respondent and Team Member(s) are encouraged to provide enough supporting information and details to enable the evaluators to perform a thorough evaluation of their strengths, roles and responsibilities</p> <p>A. Respondent shall provide a description of the Respondent and all Team Members that identifies</p> <ul style="list-style-type: none"> <li>Anticipated roles, functions and overview of business operations</li> <li>Jurisdiction, form of entity organization, ownership structure and capitalization</li> <li>Anticipated legal relationships (e.g. joint ventures, partnerships), and percentage of ownership interest</li> <li>Up to five (5) individuals who will play an important role on behalf of the Respondent and Team Member(s) (the "Key Individuals") and their roles and previous experience (experience in all phases of power plant project development including operating, maintaining, repairing, managing capital expenditures and decommissioning combined cycle, gas turbines and steam turbine generation plants)</li> <li>Instances of working with Spanish-speaking workforces</li> </ul>	70%	9	

**Background & Team Information (25%)**

Section Score (0-10): \_\_\_\_\_

(15 pages maximum, excluding resumes)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
<ul style="list-style-type: none"> <li>Instances, if relevant, in which the Respondent and Team Member(s) have worked together</li> </ul>			
<ul style="list-style-type: none"> <li>Evidence and tenor of operations and management experience in electric power generation (including experience with operating agreements)</li> </ul> <p>Anticipated roles and legal relationships include, among other relevant descriptions, whether Respondent is the entity expected to submit the response to the RFP and execute the PPP Contract as the Private Partner. Description also includes the entity expected to guarantee the Private Partner's performance under the PPP Contract in the case where Respondent is not the Private Partner (to the extent known at this time).</p>			
<p>B. Respondent provides resumes for specific Key Individuals, including Spanish-speaking skills. The anticipated management team is comprised of individuals with at least ten (10) years of relevant electric generation managerial experience for all executive-level positions</p>	20%	9	
<p>C. Respondent submits a list of all technical, financial, legal, accounting, or other advisors that the Respondent or any Team Member has engaged or intends to engage</p>	10%	5	

4. Financial Capabilities

Financial Capabilities (10%)

Section Score (0-10): \_\_\_\_\_

(10 pages maximum, excluding audited financials & supporting information)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
Financial Capacity of Team: Respondent must demonstrate adequate financial wherewithal to fulfill the terms of the PPP Contract. Each Respondent or at least one (1) Team Member must provide:			
A. Evidence of the financial capability to obtain operational security in the form of an unconditional and irrevocable direct pay letter(s) of credit	30%	10	
B. Evidence of creditworthiness, such as credit ratings, if any, for each Team Member, or historical metrics supporting credit quality comparable to those used by credit analysts	40%	17	
C. Copies of financial statements (audited preferred), Form 10-Ks, 20-Fs or similar types of annual reports for the past two (2) years, together with any other relevant financial information	30%	10	

5. Technical & Operational Capabilities

Technical & Operational Capabilities (50%) Section Score (0-10): _____ (50 pages maximum) (The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)			
Requirements	Weighting	Score	Comments
Respondent must demonstrate its technical and operational capabilities to fulfill the terms of the PPP Contract. At least one (1) Team Member must provide:  A. Concurrently operating and maintaining either (i) two (2) or more oil and/or gas-fired generating facilities larger than 100 MW, or (ii) a fleet of at least 1,000 MW combined of oil and/or gas-fired generating facilities;	20%	10	
B. Repairing and/or replacing oil and/or gas-fired generating facilities	10%	10	
C. Experience with execution or oversight of plant decommissioning, including the procurement of qualified subcontractors to decommission power generation facilities	10%	10	
D. Demonstrated ability to safely operate similar technology generation facilities and managing outages and maintenance programs in order to achieve the operating objectives of the T&D Operator;	20%	10	

**Technical & Operational Capabilities (50%)**  
**Section Score (0-10): \_\_\_\_\_**

(50 pages maximum)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
E. Managing fuel supply for similar or similarly situated generating facilities, including delivery and storage and fuel quality testing;	20%	9	
F. Names of the locations where the Respondent or subcontractors have performed similar work as described above;	5%	10	
G. Certification of no significant or sustained environmental regulation violations or OSHA fines/violations;	10%	9	
H. A demonstrable history of compliance with energy related policies, practices, and regulations from a state, commission, or other regulatory body	5%	9	

6. Safety Performance

Safety Performance (15%)			
Section Score (0-10): _____			
Requirements	Weighting	Score	Comments
Respondent and Team Member(s) demonstrate their ability to address and resolve safety issues and their knowledge of safety strategies and methodologies			
A. Demonstrated ability to address and resolve safety issues	30%	10	
B. Demonstrated knowledge of safety strategies and methodologies	40%	10	
C. Submit copies of the Occupational Safety and Health Administration (OSHA) 300 forms for the past three (3) years, only as related to electric generation operations. If not applicable, Respondent and Team Member(s) must present a document explaining the reasons for not submitting the form	30%	0	

Legacy Generation  
P3A SOQ Evaluation Worksheet

SKV

Respondent: Powergen Services, LLC

Overall Rating: B-F

Narrative: Based on P3's unsolicited recommendations

Evaluation Scores

Summary Evaluation	
Compliance with Requirements of the PPP Act and Act 120	Pass / Fail
SOQ Requirements and Procedures	Pass / Fail
Background & Team Information (25%)	8.8 / 10
Financial Capabilities (10%)	7.5 / 10
Technical & Operational Capabilities (50%)	9.0 / 10
Safety Performance (15%)	8.2 / 10

1. Compliance with Requirements of PPP Act and Act 120

Compliance with Requirements of PPP Act and Act 120		
Pass / Fail for Section: _____		
<i>Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee</i>		
Requirements	Score	Pass/Fail
Respondent provides evidence or certification that it shall be properly registered, or capable of being properly registered, to do business in Puerto Rico at the time of execution of the PPP Contract		Pass
<i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)</i>		
Respondent provides statement it shall comply with all applicable Puerto Rico and U.S. laws and/or requirements		Pass
<i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)</i>		
Each Respondent and Team Member provides a certification that complies with the requirements of Section 3, Part 1, 1.2 of the RFQ		Pass
<i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)</i>		
Respondent provides executed Document Acknowledgement and Contact Information letter, on behalf of the Respondent and each Team Member (executed by the contact person ("Respondent Representative") for all future communication between the Authority and the Respondent)		Pass
<i>(Satisfied by executing the Form of Document Acknowledgement &amp; Contact Information in Appendix B of the RFQ)</i>		
Respondent provides an Appendix B Form of Document Acknowledgement & Contact Information stating the documents related to the RFP that were downloaded.		Pass

2. SOQ Requirements and Procedures

SOQ Requirements		
<i>Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee</i>		
Requirements	Pass/Fail	Comments
Respondents shall comply with the SOQ format requirements:		
SOQ must be prepared in English	Pass	
Cover page (to include identification of all management Team Members)	Pass	
Cover Letter (two pages maximum)	Pass	
Table of Contents	Pass	
Executive Summary (two pages maximum)	Pass	
SOQ is delivered no later than the Submission Deadline	Pass	
Respondent declares, and agrees to be under an obligation to declare, that it does not have knowledge of or the ability to avail itself of confidential information of the Government, PREPA or the Authority relevant to the Project, except to the extent it has been expressly authorized by the Government, PREPA, or the Authority	Pass	
Respondent declares, if applicable, any Conflicts of Interest or any situation that may be reasonably perceived as a Conflict of Interest that exists now or may exist in the future, in accordance with Section 4.7 of the RFQ	Pass	

**Scored Sections**

*Use the following worksheets to rate the substantive sections of the Respondents' SOQs, assigning a score to each section as follows.*

**Excellent (9-10 pts):** The Respondent has provided information relative to its qualifications which indicates a consistently outstanding level of quality. No weaknesses are identified.

**Good (7-8 pts):** The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses (if any) are identified and no correction is necessary.

**Adequate (5-6 pts):** The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses are identified and need to be corrected.

**Deficient (3-4 pts):** The Respondent has presented information relative to its qualifications which fails to fully meet the stated requirements. Major weaknesses are identified and need to be corrected.

**Poor (0-2 pts):** The Respondent has either presented information relative to its qualifications that contains significant weaknesses or the required information was not presented. Weaknesses are so major that a major revision to the SOQ would be necessary.

3. Background & Team Information

Background & Team Information (25%)			
Section Score (0-10): _____			
(15 pages maximum, excluding resumes)			
<i>(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)</i>			
Requirements	Weighting	Score	Comments
<p>Respondent and Team Member(s) are encouraged to provide enough supporting information and details to enable the evaluators to perform a thorough evaluation of their strengths, roles and responsibilities</p> <p>A. Respondent shall provide a description of the Respondent and all Team Members that identifies</p> <ul style="list-style-type: none"> <li>Anticipated roles, functions and overview of business operations</li> <li>Jurisdiction, form of entity organization, ownership structure and capitalization</li> <li>Anticipated legal relationships (e.g. joint ventures, partnerships), and percentage of ownership interest</li> <li>Up to five (5) individuals who will play an important role on behalf of the Respondent and Team Member(s) (the "Key Individuals") and their roles and previous experience (experience of the individuals should include experience in all phases of power plant project development including operating, maintaining, repairing, managing capital expenditures and decommissioning combined cycle, gas turbines and steam turbine generation plants)</li> <li>Instances of working with Spanish-speaking workforces</li> </ul>	70%	9	

**Background & Team Information (25%)**

Section Score (0-10): \_\_\_\_\_

(15 pages maximum, excluding resumes)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
<ul style="list-style-type: none"> <li>Instances, if relevant, in which the Respondent and Team Member(s) have worked together</li> </ul>			
<ul style="list-style-type: none"> <li>Evidence and tenor of operations and management experience in electric power generation (including experience with operating agreements)</li> </ul> <p>Anticipated roles and legal relationships include, among other relevant descriptions, whether Respondent is the entity expected to submit the response to the RFP and execute the PPP Contract as the Private Partner. Description also includes the entity expected to guarantee the Private Partner's performance under the PPP Contract in the case where Respondent is not the Private Partner (to the extent known at this time).</p>		10	
<p>B. Respondent provides resumes for specific Key Individuals, including Spanish-speaking skills. The anticipated management team is comprised of individuals with at least ten (10) years of relevant electric generation managerial experience for all executive-level positions</p>	20%		
<p>C. Respondent submits a list of all technical, financial, legal, accounting, or other advisors that the Respondent or any Team Member has engaged or intends to engage</p>	10%	5	

4. Financial Capabilities

Financial Capabilities (10%)

Section Score (0-10): \_\_\_\_\_

(10 pages maximum, excluding audited financials & supporting information)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
Financial Capacity of Team: Respondent must demonstrate adequate financial wherewithal to fulfill the terms of the PPP Contract. Each Respondent or at least one (1) Team Member must provide:			
A. Evidence of the financial capability to obtain operational security in the form of an unconditional and irrevocable direct pay Letter(s) of credit	30%	80	
B. Evidence of creditworthiness, such as credit ratings, if any, for each Team Member, or historical metrics supporting credit quality comparable to those used by credit analysts	40%	5	
C. Copies of financial statements (audited preferred), Form 10-Ks, 20-Fs or similar types of annual reports for the past two (2) years, together with any other relevant financial information	30%	10	

5. Technical & Operational Capabilities

Technical & Operational Capabilities (50%) Section Score (0-10): _____ (50 pages maximum) (The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)			
Requirements	Weighting	Score	Comments
Respondent must demonstrate its technical and operational capabilities to fulfill the terms of the PPP Contract. At least one (1) Team Member must provide:  A. Concurrently operating and maintaining either (i) two (2) or more oil and/or gas-fired generating facilities larger than 100 MW, or (ii) a fleet of at least 1,000 MW combined of oil and/or gas-fired generating facilities;	20%	10	
B. Repairing and/or replacing oil and/or gas-fired generating facilities	10%	10	
C. Experience with execution or oversight of plant decommissioning, including the procurement of qualified subcontractors to decommission power generation facilities	10%	10	
D. Demonstrated ability to safely operate similar technology generation facilities and managing outages and maintenance programs in order to achieve the operating objectives of the T&D Operator;	20%	0	

**Technical & Operational Capabilities (50%)**  
 Section Score (0-10): \_\_\_\_\_

(50 pages maximum)  
 (The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
E. Managing fuel supply for similar or similarly situated generating facilities, including delivery and storage and fuel quality testing;	20%	7	
F. Names of the locations where the Respondent or subcontractors have performed similar work as described above;	5%	0	
G. Certification of no significant or sustained environmental regulation violations or OSHA fines/violations;	10%	10	
H. A demonstrable history of compliance with energy related policies, practices, and regulations from a state, commission, or other regulatory body	5%	0	

6. Safety Performance

Safety Performance (15%)			
Section Score (0-10): _____			
Requirements	Weighting	Score	Comments
Respondent and Team Member(s) demonstrate their ability to address and resolve safety issues and their knowledge of safety strategies and methodologies			
A. Demonstrated ability to address and resolve safety issues	30%	6	
B. Demonstrated knowledge of safety strategies and methodologies	40%	7	
C. Submit copies of the Occupational Safety and Health Administration (OSHA) 300 forms for the past three (3) years, only as related to electric generation operations. If not applicable, Respondent and Team Member(s) must present a document explaining the reasons for not submitting the form	30%	10	

Legacy Generation  
P3A SOQ Evaluation Worksheet

Respondent: PRD Power Systems LLC

Overall Rating: S-7

Narrative: Good on recommendations

PPA cons already

Evaluation Scores

Summary Evaluation	
Compliance with Requirements of the PPP Act and Act 120	Pass / Fail
SOQ Requirements and Procedures	Pass / Fail
Background & Team Information (25%)	5.9 / 10
Financial Capabilities (10%)	8 / 10
Technical & Operational Capabilities (50%)	5.3 / 10
Safety Performance (15%)	5.0 / 10

1. Compliance with Requirements of PPP Act and Act 120

Compliance with Requirements of PPP Act and Act 120		
Pass / Fail for Section: _____		
<i>Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee</i>		
Requirements	Score	Comments
Respondent provides evidence or certification that it shall be properly registered, or capable of being properly registered, to do business in Puerto Rico at the time of execution of the PPP Contract		
<i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFO)</i>	Fail	
Respondent provides statement it shall comply with all applicable Puerto Rico and U.S. laws and/or requirements		
<i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFO)</i>	Pass	
Each Respondent and Team Member provides a certification that complies with the requirements of Section 3, Part 1, 1.2 of the RFO		
<i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFO)</i>	Fail	
Respondent provides executed Document Acknowledgement and Contact Information letter, on behalf of the Respondent and each Team Member (executed by the contact person ("Respondent Representative") for all future communication between the Authority and the Respondent)		
<i>(Satisfied by executing the Form of Document Acknowledgement &amp; Contact Information in Appendix B of the RFO)</i>	Pass	
Respondent provides an Appendix B Form of Document Acknowledgement & Contact Information stating the documents related to the RFP that were downloaded.		
	Pass	

2. SOQ Requirements and Procedures

SOQ Requirements		
<i>Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee</i>		
Requirements	Pass/Fail	Comments
Respondents shall comply with the SOQ format requirements:		
SOQ must be prepared in English	Pass	
Cover page (to include identification of all management Team Members)	Pass	
Cover Letter (two pages maximum)	Pass	
Table of Contents	Pass	
Executive Summary (two pages maximum)	Pass	
SOQ is delivered no later than the Submission Deadline	Pass	
Respondent declares, and agrees to be under an obligation to declare, that it does not have knowledge of or the ability to avail itself of confidential information of the Government, PREPA or the Authority relevant to the Project, except to the extent it has been expressly authorized by the Government, PREPA, or the Authority	Pass	
Respondent declares, if applicable, any Conflicts of Interest or any situation that may be reasonably perceived as a Conflict of Interest that exists now or may exist in the future, in accordance with Section 4.7 of the RFQ	Pass	

**Scored Sections**

*Use the following worksheets to rate the substantive sections of the Respondents' SOQs, assigning a score to each section as follows.*

**Excellent (9-10 pts):** The Respondent has provided information relative to its qualifications which indicates a consistently outstanding level of quality. No weaknesses are identified.

**Good (7-8 pts):** The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses (if any) are identified and no correction is necessary.

**Adequate (5-6 pts):** The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses are identified and need to be corrected.

**Deficient (3-4 pts):** The Respondent has presented information relative to its qualifications which fails to fully meet the stated requirements. Major weaknesses are identified and need to be corrected.

**Poor (0-2 pts):** The Respondent has either presented information relative to its qualifications that contains significant weaknesses or the required information was not presented. Weaknesses are so major that a major revision to the SOQ would be necessary.

3. Background & Team Information

Background & Team Information (25%)			
Section Score (0-10): _____			
(15 pages maximum, excluding resumes)			
(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)			
Requirements	Weighting	Score	Comments
<p>Respondent and Team Member(s) are encouraged to provide enough supporting information and details to enable the evaluators to perform a thorough evaluation of their strengths, roles and responsibilities</p> <p>A. Respondent shall provide a description of the Respondent and all Team Members that identifies</p> <ul style="list-style-type: none"> <li>• Anticipated roles, functions and overview of business operations</li> <li>• Jurisdiction, form of entity organization, ownership structure and capitalization</li> <li>• Anticipated legal relationships (e.g. joint ventures, partnerships), and percentage of ownership interest</li> <li>• Up to five (5) individuals who will play an important role on behalf of the Respondent and Team Member(s) (the "Key Individuals") and their roles and previous experience (experience of the individuals should include experience in all phases of power plant project development including operating, maintaining, repairing, managing capital expenditures and decommissioning combined cycle, gas turbines and steam turbine generation plants)</li> <li>• Instances of working with Spanish-speaking workforces</li> </ul>	70%	6	

**Background & Team Information (25%)**

Section Score (0-10): \_\_\_\_\_

(15 pages maximum, excluding resumes)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
<ul style="list-style-type: none"> <li>• Instances, if relevant, in which the Respondent and Team Member(s) have worked together</li> </ul>			
<ul style="list-style-type: none"> <li>• Evidence and tenor of operations and management experience in electric power generation (including experience with operating agreements)</li> </ul> <p>Anticipated roles and legal relationships include, among other relevant descriptions, whether Respondent is the entity expected to submit the response to the RFP and execute the PPP Contract as the Private Partner. Description also includes the entity expected to guarantee the Private Partner's performance under the PPP Contract in the case where Respondent is not the Private Partner (to the extent known at this time).</p>			
<p>B. Respondent provides resumes for specific Key Individuals, including Spanish-speaking skills. The anticipated management team is comprised of individuals with at least ten (10) years of relevant electric generation managerial experience for all executive-level positions</p>	20%	6	
<p>C. Respondent submits a list of all technical, financial, legal, accounting, or other advisors that the Respondent or any Team Member has engaged or intends to engage</p>	10%	5	

4. Financial Capabilities

Financial Capabilities (10%)

Section Score (0-10): \_\_\_\_\_

(10 pages maximum, excluding audited financials & supporting information)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
Financial Capacity of Team: Respondent must demonstrate adequate financial wherewithal to fulfill the terms of the PPP Contract. Each Respondent or at least one (1) Team Member must provide:			
A. Evidence of the financial capability to obtain operational security in the form of an unconditional and irrevocable direct pay letter(s) of credit.	30%	8	
B. Evidence of creditworthiness, such as credit ratings, if any, for each Team Member, or historical metrics supporting credit quality comparable to those used by credit analysts	40%	8	
C. Copies of financial statements (audited preferred), Form 10-Ks, 20-Fs or similar types of annual reports for the past two (2) years, together with any other relevant financial information	30%	8	

5. Technical & Operational Capabilities

Technical & Operational Capabilities (50%) Section Score (0-10): _____ (50 pages maximum) (The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)			
Requirements	Weighting	Score	Comments
Respondent must demonstrate its technical and operational capabilities to fulfill the terms of the PPP Contract. At least one (1) Team Member must provide:  A. Concurrently operating and maintaining either (i) two (2) or more oil and/or gas-fired generating facilities larger than 100 MW, or (ii) a fleet of at least 1,000 MW combined of oil and/or gas-fired generating facilities;	20%	4	
B. Repairing and/or replacing oil and/or gas-fired generating facilities	10%	4	
C. Experience with execution or oversight of plant decommissioning, including the procurement of qualified subcontractors to decommission power generation facilities	10%	4	
D. Demonstrated ability to safely operate similar technology generation facilities and managing outages and maintenance programs in order to achieve the operating objectives of the T&D Operator;	20%	4	

**Technical & Operational Capabilities (50%)**  
 Section Score (0-10): \_\_\_\_\_

(50 pages maximum)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
E. Managing fuel supply for similar or similarly situated generating facilities, including delivery and storage and fuel quality testing:	20%	3	
F. Names of the locations where the Respondent or subcontractors have performed similar work as described above:	5%	4	
G. Certification of no significant or sustained environmental regulation violations or OSHA fines/violations:	10%	5	
H. A demonstrable history of compliance with energy related policies, practices, and regulations from a state, commission, or other regulatory body	5%	4	

6. Safety Performance

Safety Performance (15%)			
Section Score (0-10): _____			
Requirements	Weighting	Score	Comments
Respondent and Team Member(s) demonstrate their ability to address and resolve safety issues and their knowledge of safety strategies and methodologies			
A. Demonstrated ability to address and resolve safety issues	30%	5	
B. Demonstrated knowledge of safety strategies and methodologies	40%	5	
C. Submit copies of the Occupational Safety and Health Administration (OSHA) 300 forms for the past three (3) years, only as related to electric generation operations. If not applicable, Respondent and Team Member(s) must present a document explaining the reasons for not submitting the form	30%	5	

Legacy Generation  
P3A SOQ Evaluation Worksheet

*SRP*

Respondent: Siemens Energy Inc. 8.3

Overall Rating:

Narrative: Based on P3's bus sub part recommendations

Evaluation Scores

*\* Pending. Legal  
Covers all parts items  
clearing possible  
conflict of  
interest  
(been  
worked  
on for  
TRP)*

Summary Evaluation	
Compliance with Requirements of the PPP Act and Act 120	Pass / Fail
SOQ Requirements and Procedures	Pass / Fail
Background & Team Information (25%)	8.7 / 10
Financial Capabilities (10%)	9.7 / 10
Technical & Operational Capabilities (50%)	8.9 / 10
Safety Performance (15%)	8.7 / 10

1. Compliance with Requirements of PPP Act and Act 120

Compliance with Requirements of PPP Act and Act 120		
Pass / Fail for Section: _____		
<i>Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee</i>		
Requirements	Score	Comments
Respondent provides evidence or certification that it shall be properly registered, or capable of being properly registered, to do business in Puerto Rico at the time of execution of the PPP Contract	Pass	
<i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFO)</i>		
Respondent provides statement it shall comply with all applicable Puerto Rico and U.S. laws and/or requirements	Pass	
<i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFO)</i>		
Each Respondent and Team Member provides a certification that complies with the requirements of Section 3, Part 1, 1.2 of the RFO	Pass	
<i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFO)</i>		
Respondent provides executed Document Acknowledgement and Contact Information letter, on behalf of the Respondent and each Team Member (executed by the contact person ("Respondent Representative") for all future communication between the Authority and the Respondent)	Pass	
<i>(Satisfied by executing the Form of Document Acknowledgement &amp; Contact Information in Appendix B of the RFO)</i>		
Respondent provides an Appendix B Form of Document Acknowledgement & Contact Information stating the documents related to the RFP that were downloaded.	Pass	

2. SOQ Requirements and Procedures

SOQ Requirements		
<i>Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee</i>		
Requirements	Pass/Fail	Comments
Respondents shall comply with the SOQ format requirements:		
SOQ must be prepared in English	<i>[Signature]</i>	
Cover page (to include identification of all management Team Members)	<i>[Signature]</i>	
Cover Letter (two pages maximum)	<i>[Signature]</i>	
Table of Contents	<i>[Signature]</i>	
Executive Summary (two pages maximum)	<i>[Signature]</i>	
SOQ is delivered no later than the Submission Deadline	<i>[Signature]</i>	
Respondent declares, and agrees to be under an obligation to declare, that it does not have knowledge of or the ability to avail itself of confidential information of the Government, PREPA or the Authority relevant to the Project, except to the extent it has been expressly authorized by the Government, PREPA, or the Authority	<i>[Signature]</i>	
Respondent declares, if applicable, any Conflicts of Interest or any situation that may be reasonably perceived as a Conflict of Interest that exists now or may exist in the future, in accordance with Section 4.7 of the RFQ	<i>[Signature]</i>	

**Scored Sections**

*Use the following worksheets to rate the substantive sections of the Respondents' SOQs, assigning a score to each section as follows.*

**Excellent (9-10 pts):** The Respondent has provided information relative to its qualifications which indicates a consistently outstanding level of quality. No weaknesses are identified.

**Good (7-8 pts):** The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses (if any) are identified and no correction is necessary.

**Adequate (5-6 pts):** The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses are identified and need to be corrected.

**Deficient (3-4 pts):** The Respondent has presented information relative to its qualifications which fails to fully meet the stated requirements. Major weaknesses are identified and need to be corrected.

**Poor (0-2 pts):** The Respondent has either presented information relative to its qualifications that contains significant weaknesses or the required information was not presented. Weaknesses are so major that a major revision to the SOQ would be necessary.

3. Background & Team Information

Background & Team Information (25%)

Section Score (0-10): \_\_\_\_\_

(15 pages maximum, excluding resumes)

(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
<p>Respondent and Team Member(s) are encouraged to provide enough supporting information and details to enable the evaluators to perform a thorough evaluation of their strengths, roles and responsibilities</p>			
<p>A. Respondent shall provide a description of the Respondent and all Team Members that identifies</p> <ul style="list-style-type: none"> <li>• Anticipated roles, functions and overview of business operations</li> <li>• Jurisdiction, form of entity organization, ownership structure and capitalization</li> <li>• Anticipated legal relationships (e.g. joint ventures, partnerships), and percentage of ownership interest</li> <li>• Up to five (5) individuals who will play an important role on behalf of the Respondent and Team Member(s) (the "Key Individuals") and their roles and previous experience (experience of the individuals should include experience in all phases of power plant project development including operating, maintaining, repairing, managing capital expenditures and decommissioning combined cycle, gas turbines and steam turbine generation plants)</li> <li>• Instances of working with Spanish-speaking workforces</li> </ul>	70%	9	

**Background & Team Information (25%)**

Section Score (0-10): \_\_\_\_\_

(15 pages maximum, excluding resumes)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
<ul style="list-style-type: none"> <li>Instances, if relevant, in which the Respondent and Team Member(s) have worked together</li> </ul>			
<ul style="list-style-type: none"> <li>Evidence and tenor of operations and management experience in electric power generation (including experience with operating agreements)</li> </ul> <p>Anticipated roles and legal relationships include, among other relevant descriptions, whether Respondent is the entity expected to submit the response to the RFP and execute the PPP Contract as the Private Partner. Description also includes the entity expected to guarantee the Private Partner's performance under the PPP Contract in the case where Respondent is not the Private Partner (to the extent known at this time).</p>		10	
<p>B. Respondent provides resumes for specific Key Individuals, including Spanish-speaking skills. The anticipated management team is comprised of individuals with at least ten (10) years of relevant electric generation managerial experience for all executive-level positions</p>	20%	10	
<p>C. Respondent submits a list of all technical, financial, legal, accounting, or other advisors that the Respondent or any Team Member has engaged or intends to engage</p>	10%	0	

4. Financial Capabilities

Financial Capabilities (10%)

Section Score (0-10): \_\_\_\_\_

(10 pages maximum, excluding audited financials & supporting information)

(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
Financial Capacity of Team: Respondent must demonstrate adequate financial wherewithal to fulfill the terms of the PPP Contract. Each Respondent or at least one (1) Team Member must provide:			
A. Evidence of the financial capability to obtain operational security in the form of an unconditional and irrevocable direct pay letter(s) of credit	30%	8	
B. Evidence of creditworthiness, such as credit ratings, if any, for each Team Member, or historical metrics supporting credit quality comparable to those used by credit analysts	40%	10	
C. Copies of financial statements (audited preferred), Form 10-Ks, 20-Fs or similar types of annual reports for the past two (2) years, together with any other relevant financial information	30%	9	

5. Technical & Operational Capabilities

Technical & Operational Capabilities (50%) Section Score (0-10): _____ (50 pages maximum) (The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)			
Requirements	Weighting	Score	Comments
Respondent must demonstrate its technical and operational capabilities to fulfill the terms of the PPP Contract. At least one (1) Team Member must provide:  A. Concurrently operating and maintaining either (i) two (2) or more oil and/or gas-fired generating facilities larger than 100 MW, or (ii) a fleet of at least 1,000 MW combined of oil and/or gas-fired generating facilities;	20%	10	
B. Repairing and/or replacing oil and/or gas-fired generating facilities	10%	10	
C. Experience with execution or oversight of plant decommissioning, including the procurement of qualified subcontractors to decommission power generation facilities	10%	7	
D. Demonstrated ability to safely operate similar technology generation facilities and managing outages and maintenance programs in order to achieve the operating objectives of the T&D Operator;	20%	9	

**Technical & Operational Capabilities (50%)**  
**Section Score (0-10): \_\_\_\_\_**

(50 pages maximum)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
E. Managing fuel supply for similar or similarly situated generating facilities, including delivery and storage and fuel quality testing;	20%	4	
F. Names of the locations where the Respondent or subcontractors have performed similar work as described above;	5%	0	
G. Certification of no significant or sustained environmental regulation violations or OSHA fines/violations;	10%	5	
H. A demonstrable history of compliance with energy related policies, practices, and regulations from a state, commission, or other regulatory body	5%	0	

6. Safety Performance

Safety Performance (15%)			
Section Score (0-10): _____			
Requirements	Weighting	Score	Comments
Respondent and Team Member(s) demonstrate their ability to address and resolve safety issues and their knowledge of safety strategies and methodologies			
A. Demonstrated ability to address and resolve safety issues	30%	7	
B. Demonstrated knowledge of safety strategies and methodologies	40%	9	
C. Submit copies of the Occupational Safety and Health Administration (OSHA) 300 forms for the past three (3) years, only as related to electric generation operations. If not applicable, Respondent and Team Member(s) must present a document explaining the reasons for not submitting the form	30%	10	