BLOCH
PRIVATE WEALTH
– The Colony, TX –

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The Colony, TX 75056
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Privacy Policy

Effective November 23, 2026

Information we collect

The personal, non-public information we collect about you may include your name, address, Social Security number, date of birth, income, assets, account balances and holdings, investment experience, risk tolerance, and tax return information. We collect it from the following sources:

1. Applications, questionnaires, tax preparation worksheets and any other document that you provide for use in preparing your tax return or your financial plan.
2. Your transactions and accounts with us, your custodian and others.
3. Our conversations and meetings with you, including meeting notes and, where you agree, meeting recordings.

How we share information

We do not sell your personal information, and we do not share it with anyone for their own marketing. We disclose information about you only as permitted by law, including:

- To service providers that help us serve your account, such as your account custodian and the technology providers we use for client relationship management, financial planning, portfolio analysis, tax preparation and filing, secure communications, meeting notes and artificial intelligence tools. These providers may use your information only to perform services for us and must keep it confidential.
- To process transactions or maintain your account at your request.
- To comply with laws, regulations, court orders and regulatory examinations.
- With your consent.

Information from your tax return is used and disclosed only as permitted by Section 7216 of the Internal Revenue Code and its regulations. We will ask for your written consent before any use or disclosure that requires it.

How we protect information

Within our firm, access to your information is limited to those who need it to serve your account. We maintain physical, electronic and procedural safeguards that comply with federal standards for non-public personal information, and we review the security practices of the service providers we use.

Should you close your account with us or become an inactive client, we will continue to follow this policy.

We are committed to retaining your confidence and want to assure you that any information you give us remains safe and confidential. Please feel free to contact us if you have any questions concerning this policy.