TradingHub

RegHub SUMMIT

NEW YORK
2026



Shaping the future of surveillance

Wednesday, 4 November 2026

SECOND
849 6th Ave, New York, NY 10001

www.linkedin.com/company/tradinghub

www.tradinghub.com

TradingHub

RegHub SUMMIT

NEW YORK 2026

Agenda

08:30	**Registration (Tea & Coffee)**
09:00 - 09:45	**TradingHub Presentation** • **Mike Coats**, Chief Executive Officer, TradingHub • **Shayne Ganeson**, Chief Customer Officer, TradingHub
09:45 - 10:30	**AI Adoption in Surveillance: Lessons from the Front Line** Many firms recognise the potential of AI, but turning that potential into production requires more than technology alone. This discussion explores the strategic opportunities, governance considerations and practical lessons from organisations already on that journey, including how they navigated internal scrutiny, secured stakeholder buy-in and moved AI from concept to production. • **Arcangelo Grisi**, Global Head of Trade Surveillance, Wells Fargo • **Tony Cancellara**, Managing Director and Head, Supervision & Governance, CIBC • **Rob Hammond**, Head of Growth Markets, TradingHub • **Shayne Ganeson**, Chief Customer Officer, TradingHub (Moderator)
10:30 - 11:15	**Break**
11:15 - 12:00	**Market Manipulation on Trial: Debating the Neil Phillips Verdict** The Neil Phillips prosecution sparked one of the most closely watched market abuse cases in recent years, raising important questions about intent, liquidity provision and the boundaries of legitimate trading activity. In this interactive debate, leading industry experts will examine the facts of the case, challenge opposing viewpoints and explore what the outcome means for firms seeking to identify and investigate suspicious trading behaviour. • **Stephen Frank**, Partner, Quinn Emanuel • **Elizabeth Lan Davis**, Partner, Davis Wright Tremaine LLP • **Tony Main**, Global Head of Relationship Management (Moderator)
12:00 - 13:30	**Lunch**
13:30 - 14:15	**Fireside Chat** • **J. Christopher Giancarlo**, Former Chairman of the CFTC • **Andrew Waters,** Head of Partnerships and Regulatory Affairs, TradingHub

TradingHub

RegHub SUMMIT

NEW YORK 2026

Agenda

14:15 - 14:45	**TradingHub AI Showcase** AI is creating new possibilities for surveillance teams, from accelerating investigations to enabling more intelligent analyst workflows. This live demonstration showcases some of the latest innovations shaping the future of surveillance and the journey towards more agentic operating models. • **Oli Hodson**, Chief Technology Officer, TradingHub • **Kathryn Keenan**, Partnerships Manager, Regulatory Affairs, TradingHub
14:45 - 15:15	**Break**
15:15 - 16:00	**The Art of the Possible: Connecting Trade and Comms Surveillance with TradingHub and Shield** Surveillance technology is entering a new phase, where data, AI and human expertise can come together in increasingly powerful ways. Join TradingHub and Shield for a live demonstration exploring what the next generation of connected surveillance capabilities could look like in practice. • **Mike Coats**, Chief Executive Officer, TradingHub • **Shiran Weitzman**, Chief Executive Officer and Co-Founder, Shield
16:00-17:00	**Regulatory Forum: AI, Oversight and Evolving Supervisory Expectations** As AI moves from experimentation into day-to-day financial markets activity, regulators are considering both how firms use these tools and how supervisory authorities can use data and analytics more effectively themselves. This session brings together senior voices from across the U.S. regulatory landscape to discuss how AI is shaping market oversight, examination priorities and expectations for firms. The discussion will explore where AI may support surveillance and analysis, what risks regulators are watching most closely, and what firms should be prepared to evidence as adoption increases. • **Michael J. Otten**, Senior Vice President, Head of Member Oversight, NFA • **John Martino**, Vice President, Examinations, FINRA • **Ian McGinley**, Partner, Sidley • **Tejal Shah**, Partner, Cooley • **Andrew Waters,** Head of Partnerships and Regulatory Affairs, TradingHub (Moderator)
17:00-19:00	**Drinks Reception**