California Act declaration

Axsome Therapeutics Inc.’s (“Axsome”) ethics and compliance program (the “Program”) reflects our commitment to compliance with the laws and regulations applicable to our business, including the California Health and Safety Code §§119400-119402 (the “California Act”). Interested parties may call 212-332-3241 for a copy of any of the Program materials.

In accordance with the California Act, Axsome has established a specific annual dollar limit of $2,500, which applies to promotional materials, items and activities provided to covered recipients in California. In addition, the following expenses are excluded from the limit: expenses that are directly associated with payments statutorily excluded from the limit (e.g., meals for consultants), items provided to health care professionals that are ultimately intended for patients or consumers, fellowships, receptions at third-party educational or professional meetings, and sales aids. To the best of its knowledge, Axsome declares that as of the date of this compliance disclosure, it is in all material respects in compliance with the requirements of the California Act.

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